

Crystallization from solutions

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Thermodynamics & Kinetics of crystallization - nucleation

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Thermodynamically stable phases of organic and inorganic substances depend on:

- Temperature
- Pressure
- surrounding media:
 - air (relative humidity)
 - solvent (solubility)
- additional: mechanical stress (e.g. grinding)

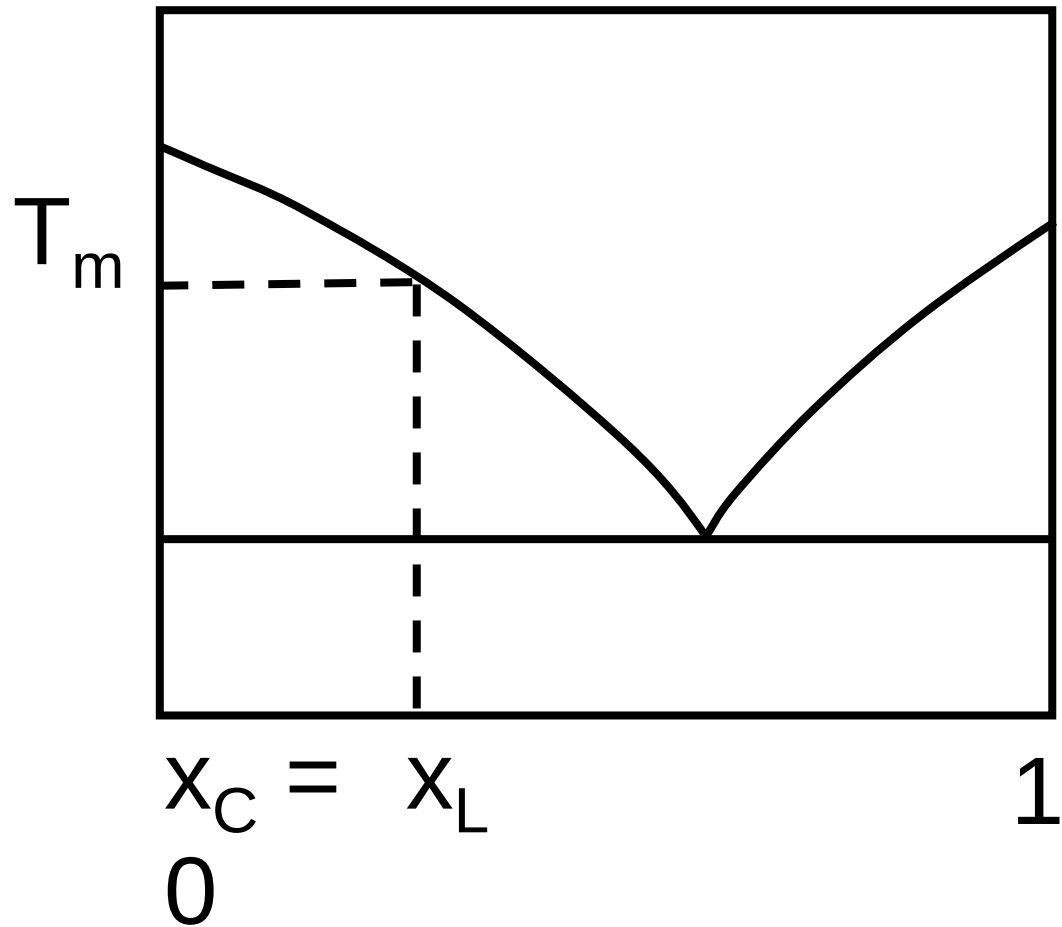
Phase diagrams

“A phase diagram graphically represents (in two or three dimensions) the equilibria between various phases of a system in a wide range of temperature, pressure and concentration/composition.

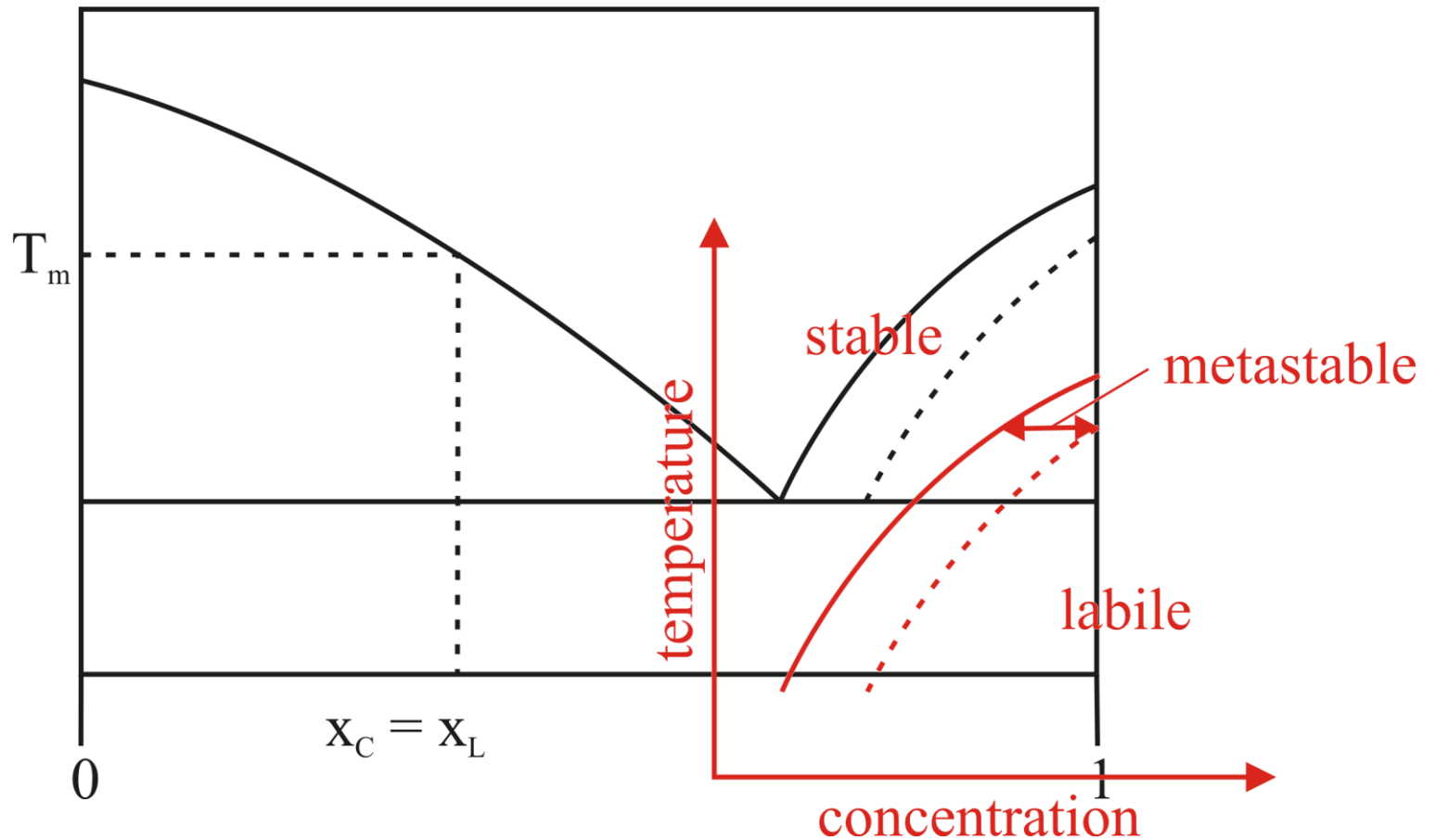
It specifies the equilibrium conditions (T , p and x) and the corresponding phase present at this state.

Thus, in case of SLE, the phase diagram also tells about the solid phases occurring in a system, such as polymorphs, solvates or intermediate compounds” [LOR13].

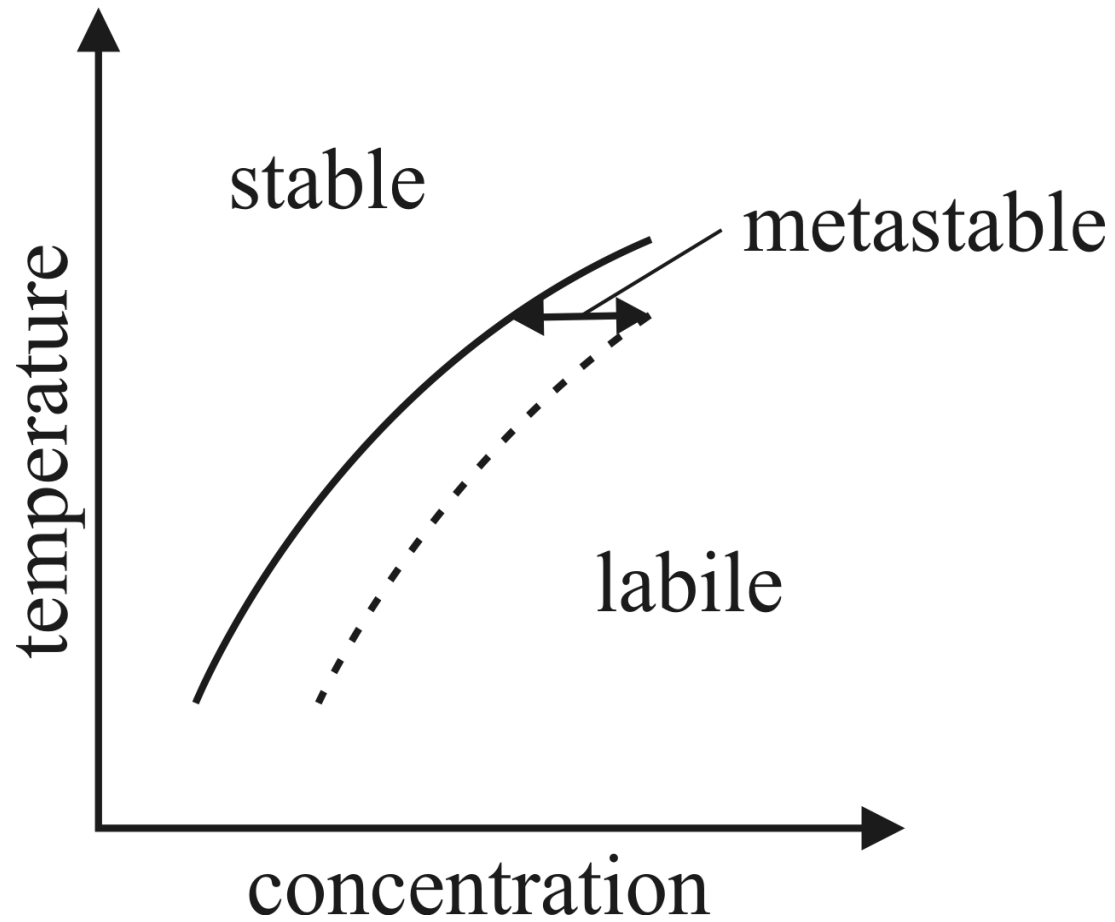
Phase diagram - melts



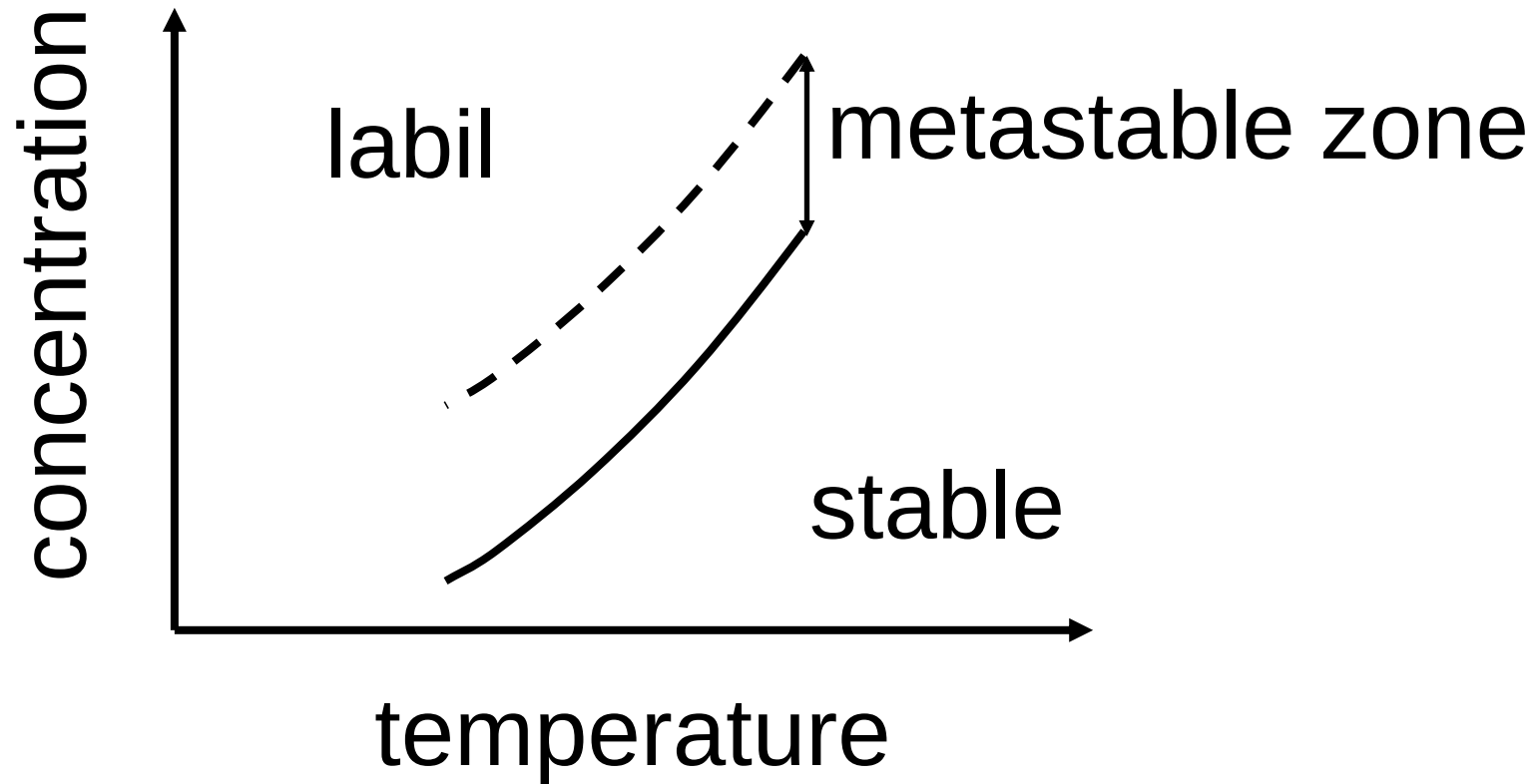
Phase diagram overlapped by the „wrong plotted“ solubility diagram

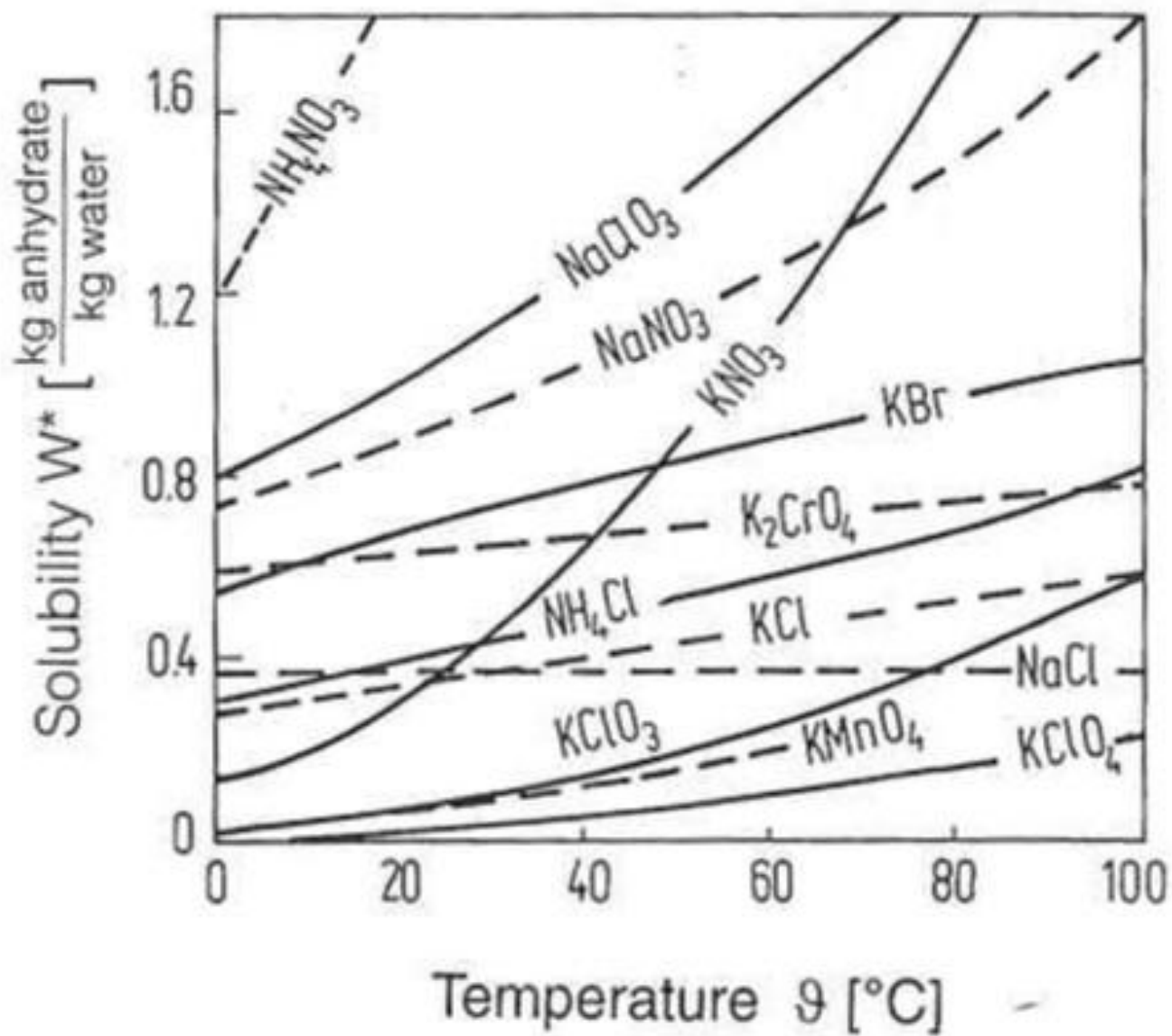


Solubility diagram – „wrong plotted“

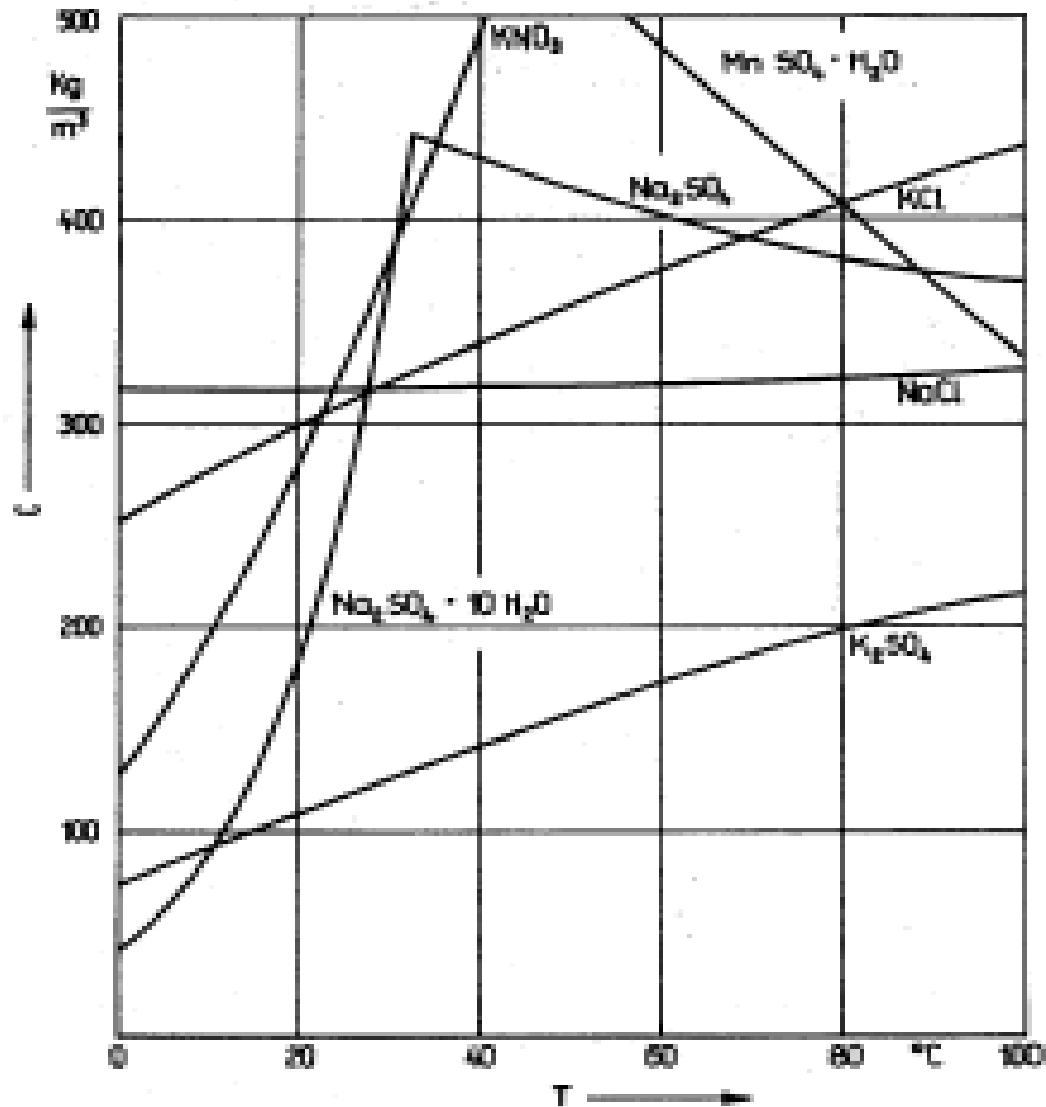


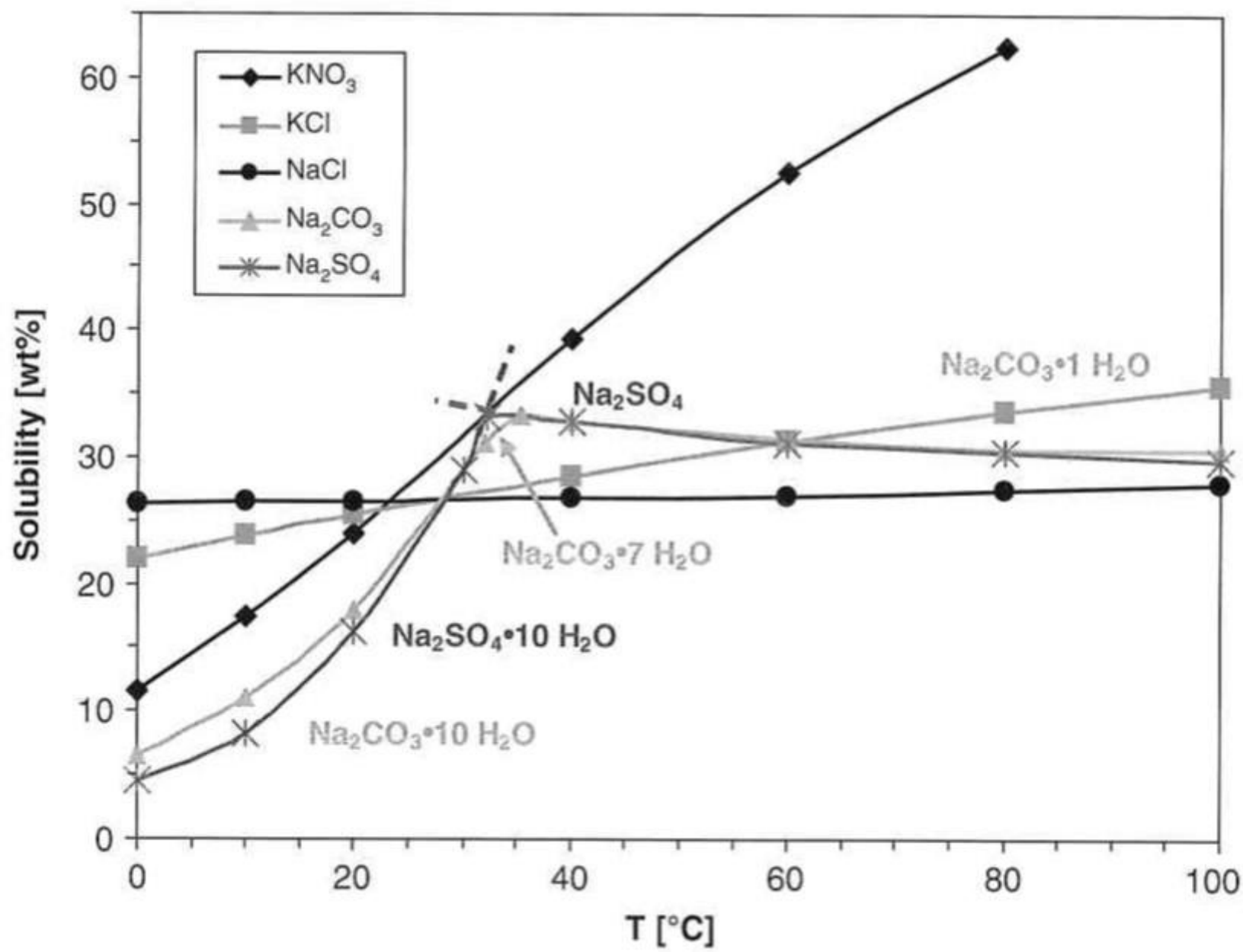
Solubility diagram - solutions





Temperature-Solubility-Diagram





kg hydrate / kg solution

kg anhydrous / kg solution

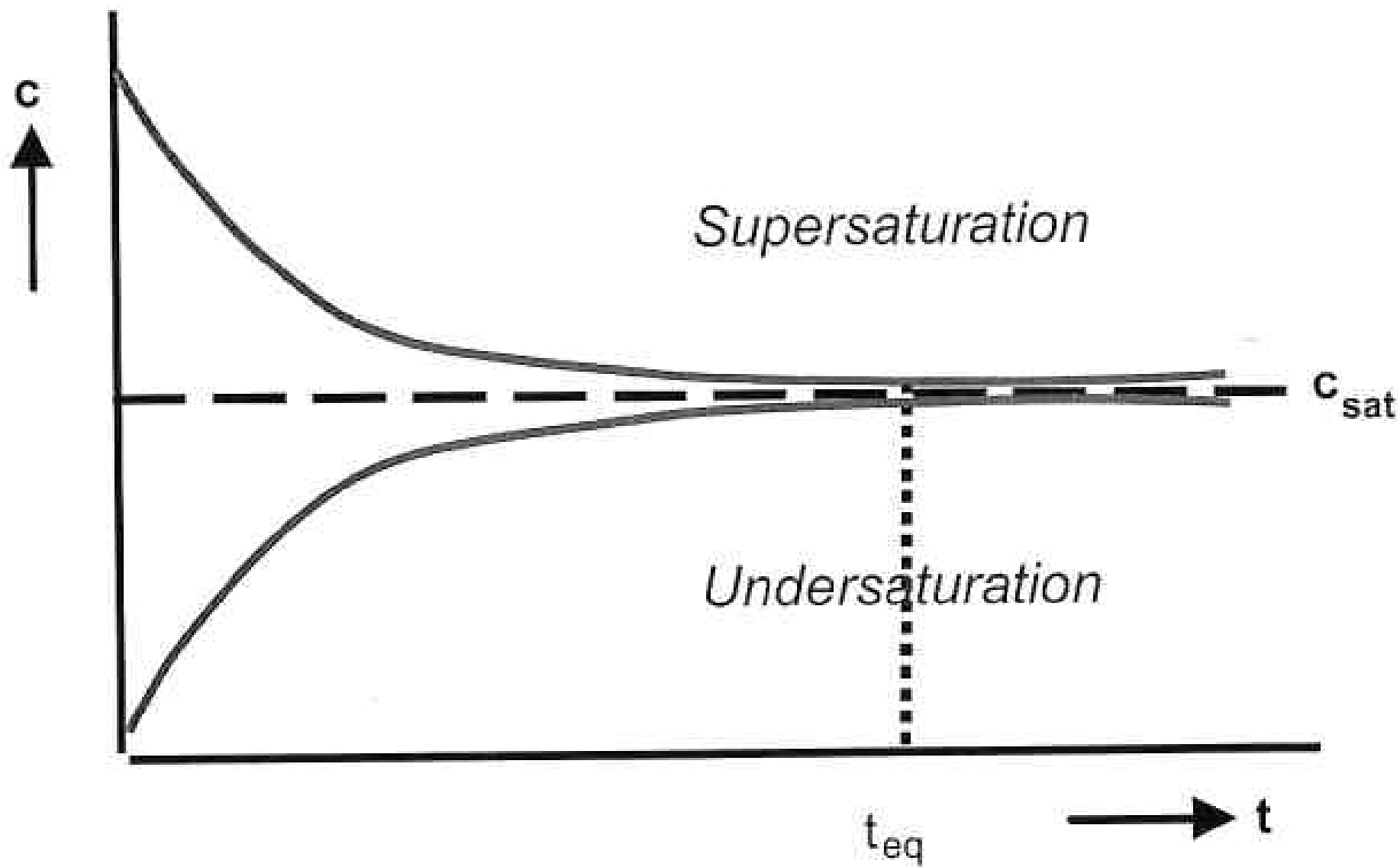
kg hydrate / kg solvent

kg anhydrous / kg solvent

kg hydrate / m³ solvent

kg hydrate / m³ solution

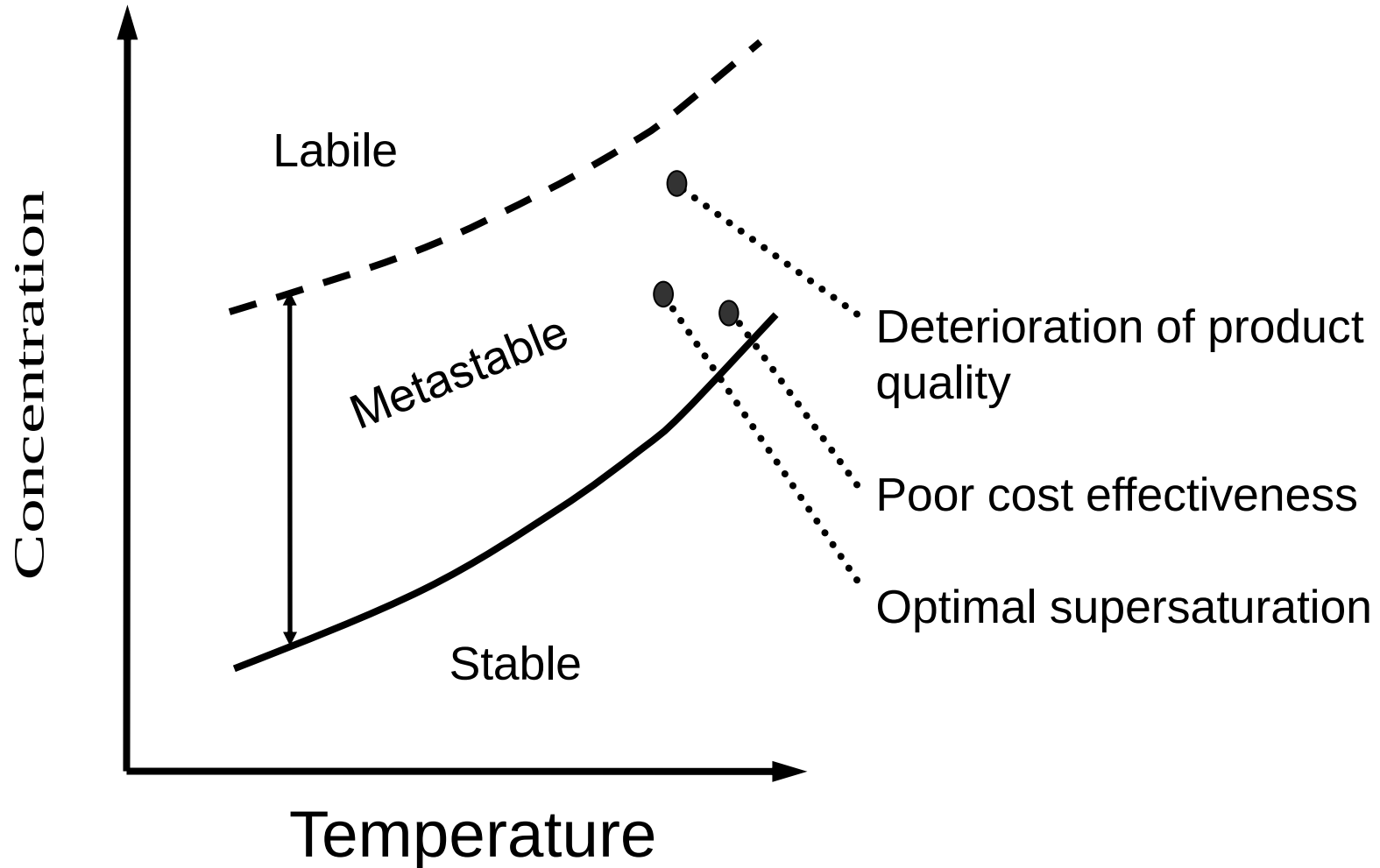
mole hydrate / mole solution etc.



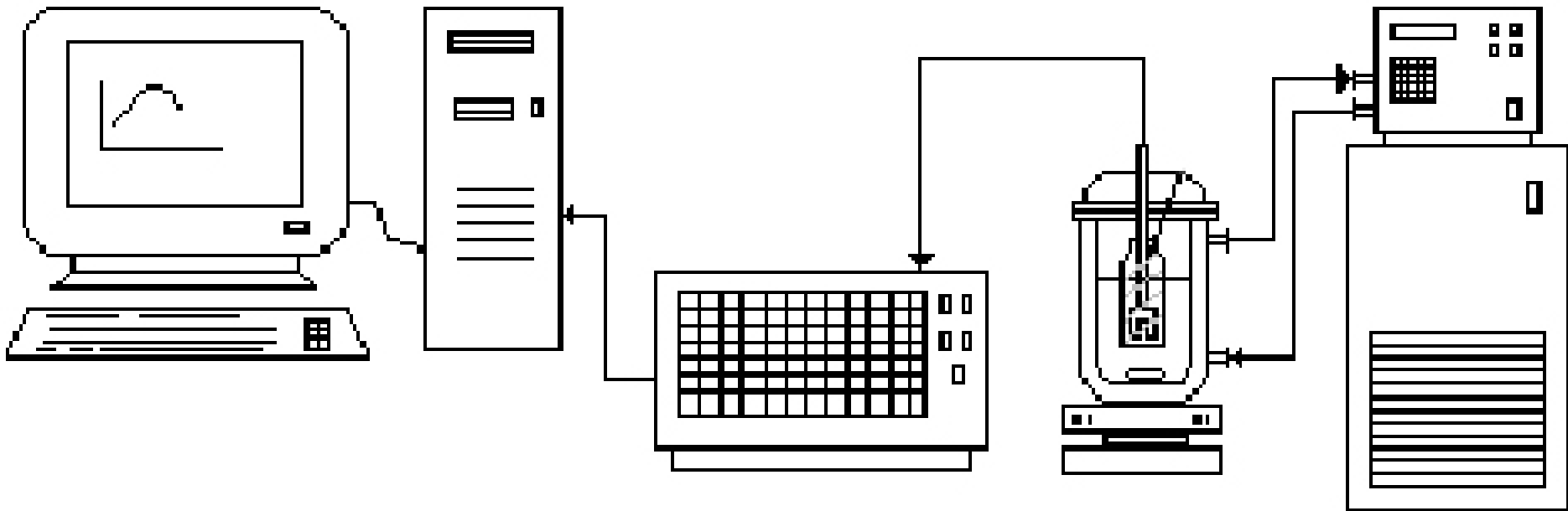
Metastable zone width

- What is it ?
- How to determine ?
- How to influence ?
- Why important ?

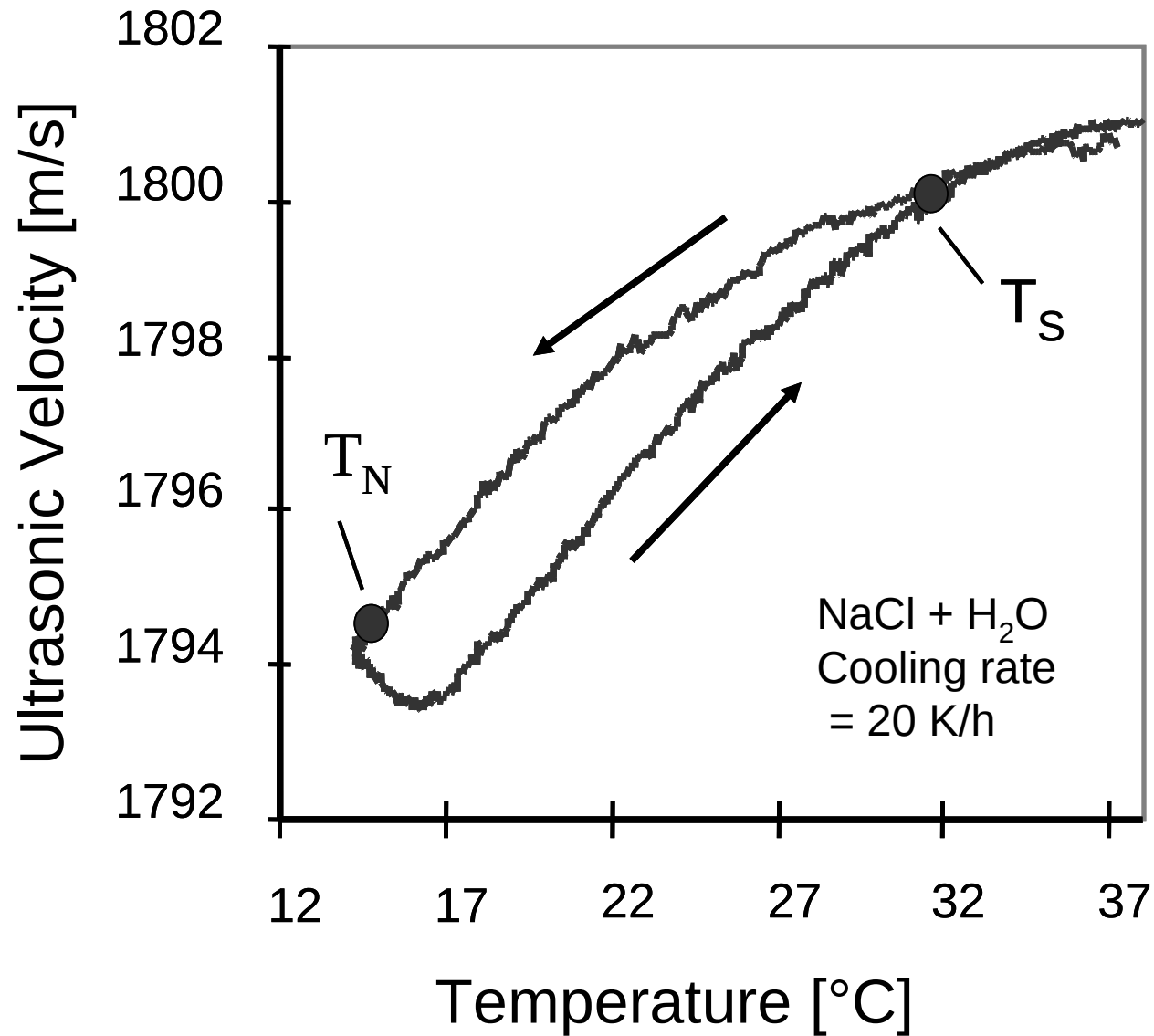
Metastable zone



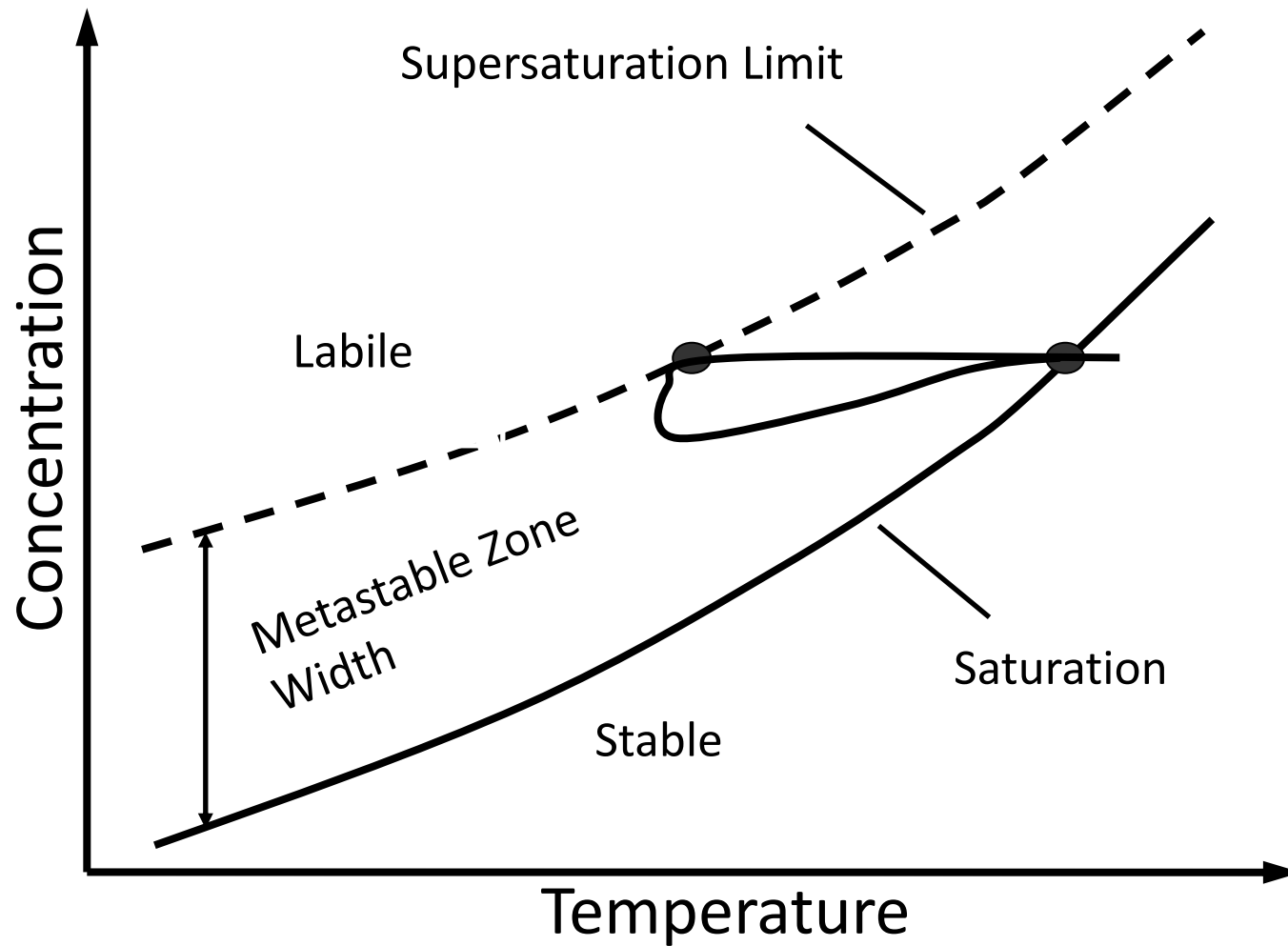
In line process control ultrasonic sensor



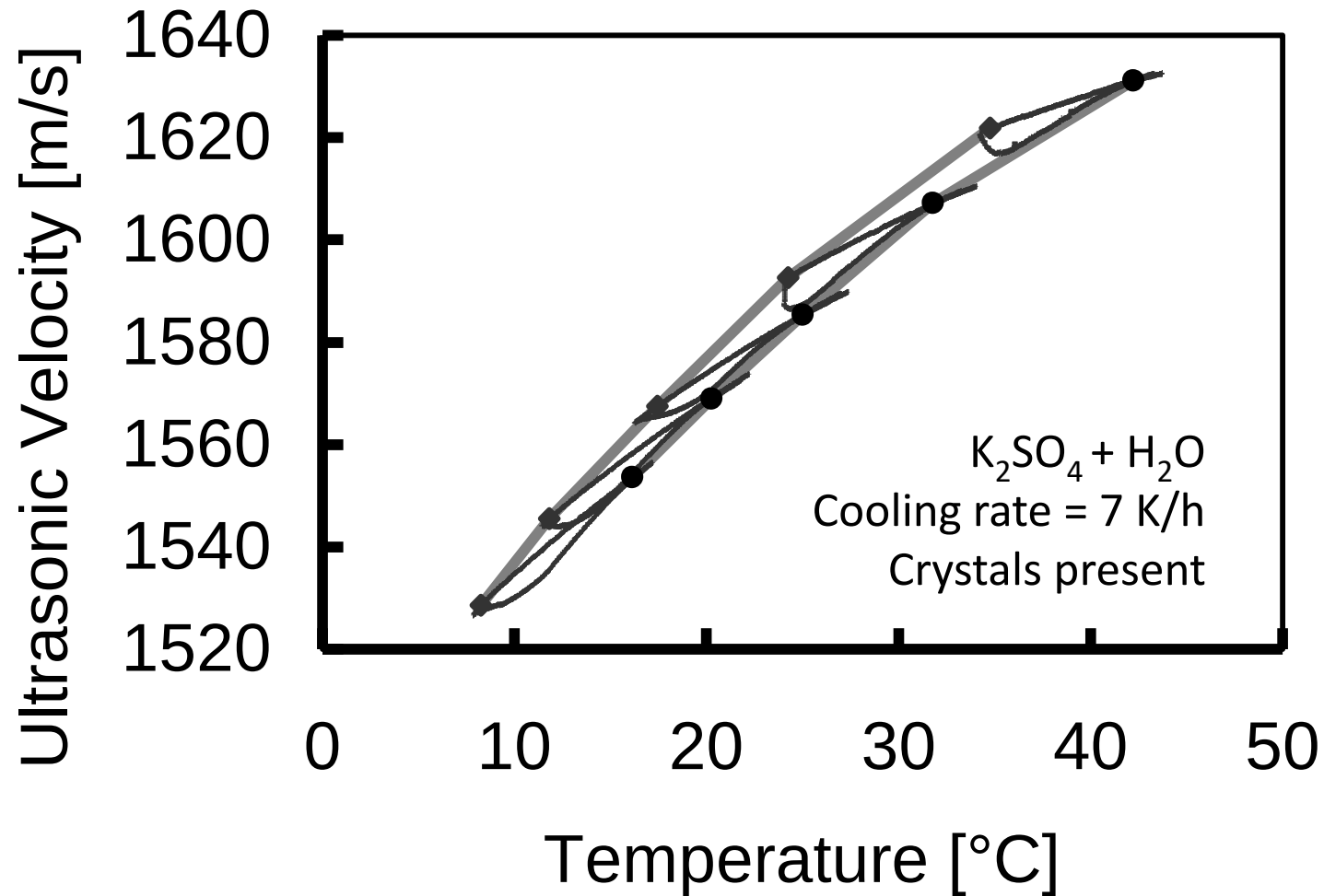
Process parameters



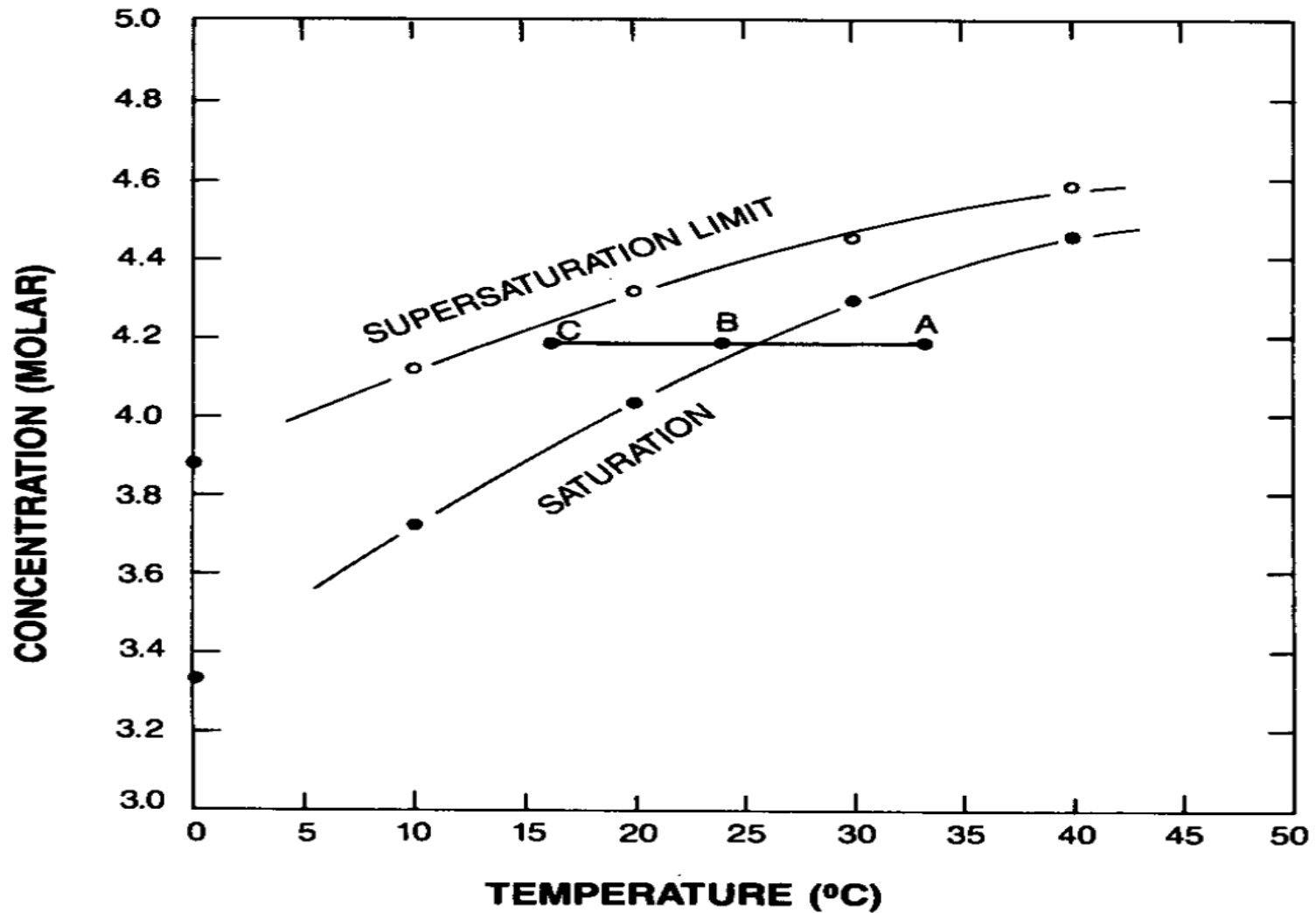
Determination of metastable zone width I



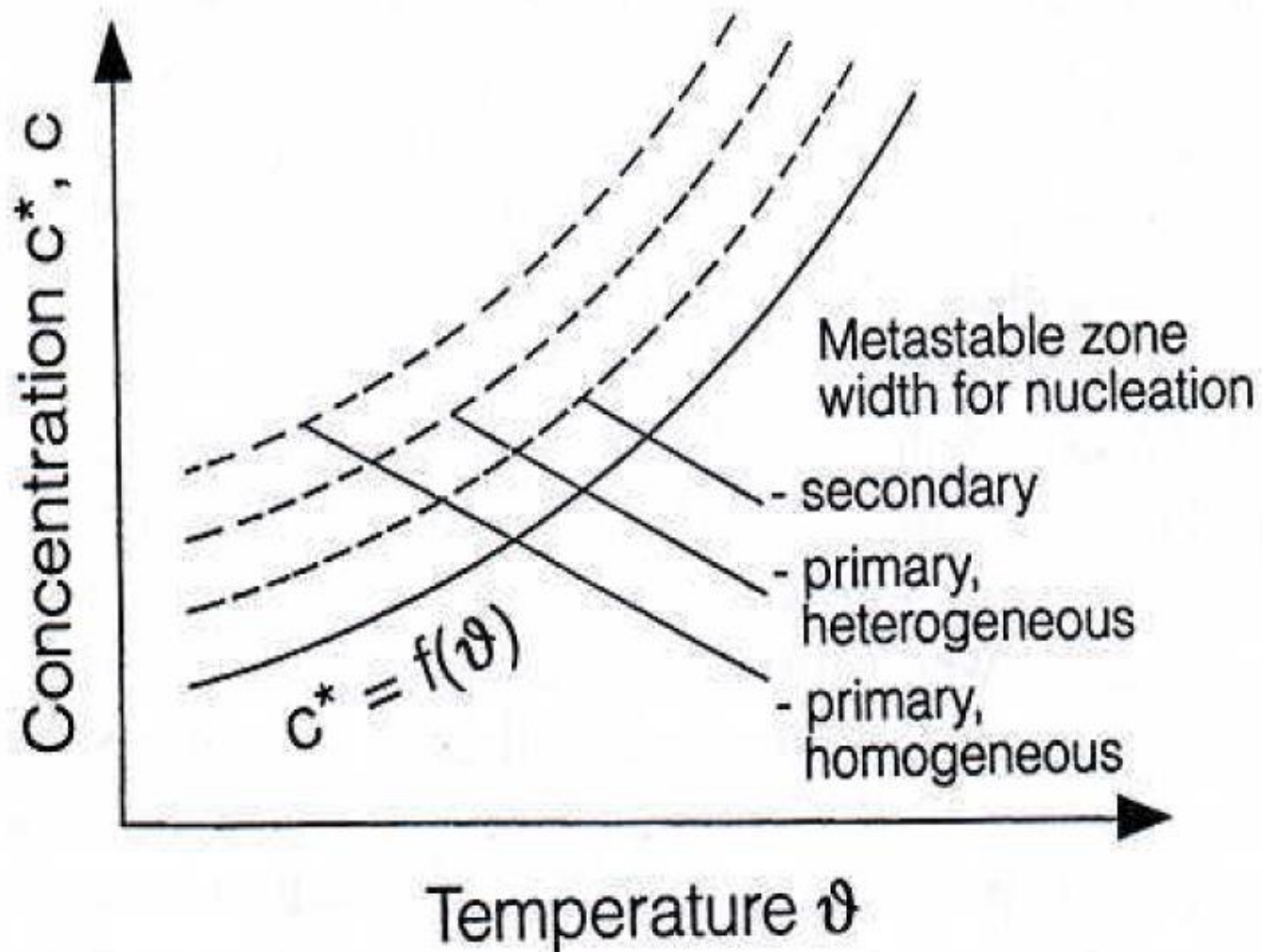
Determination of metastable zone width II



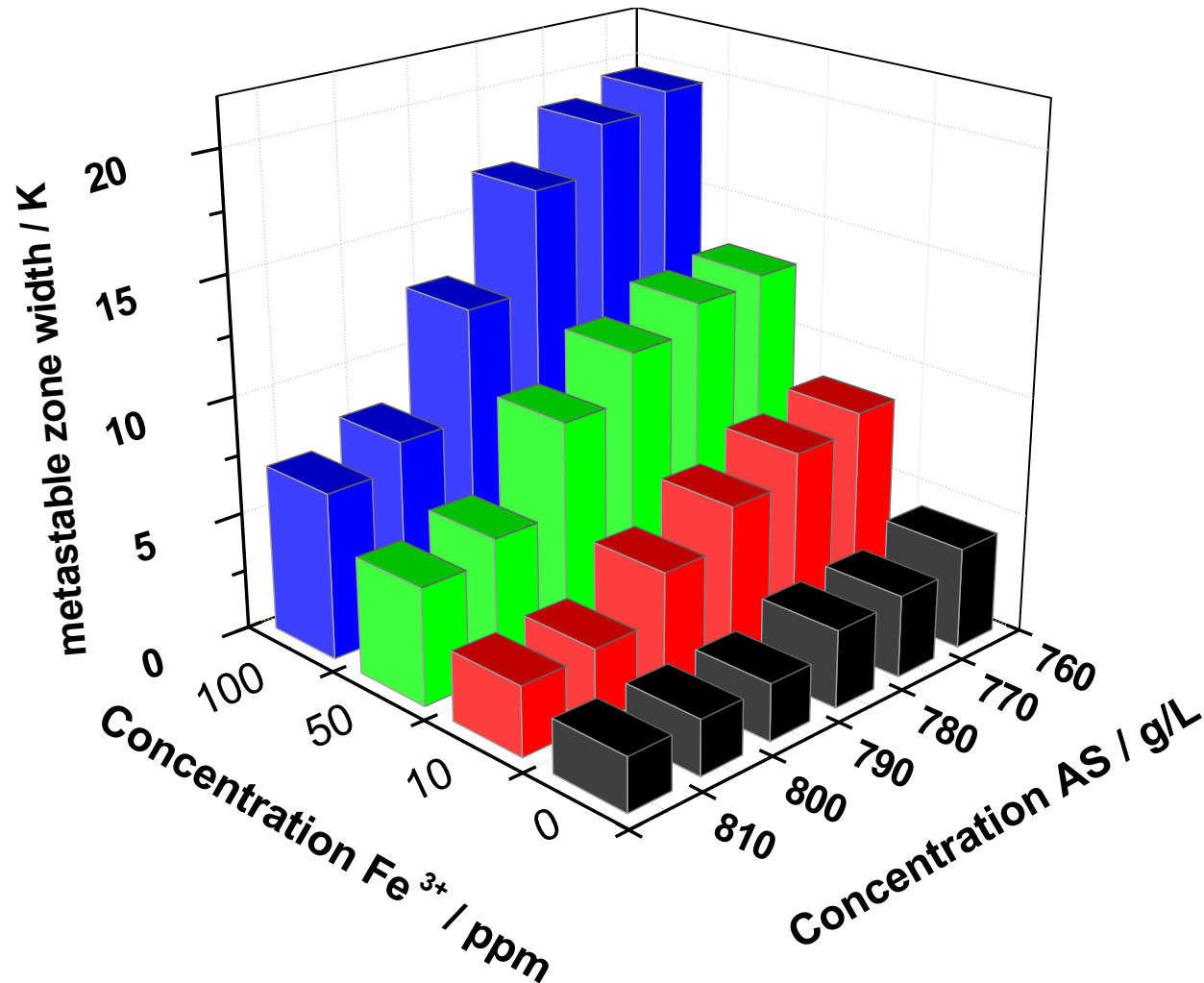
Metastable zone width for KCl-water system (Chang 1984)



Metastable zone width for nucleation



Effects on Metastable Zone Width

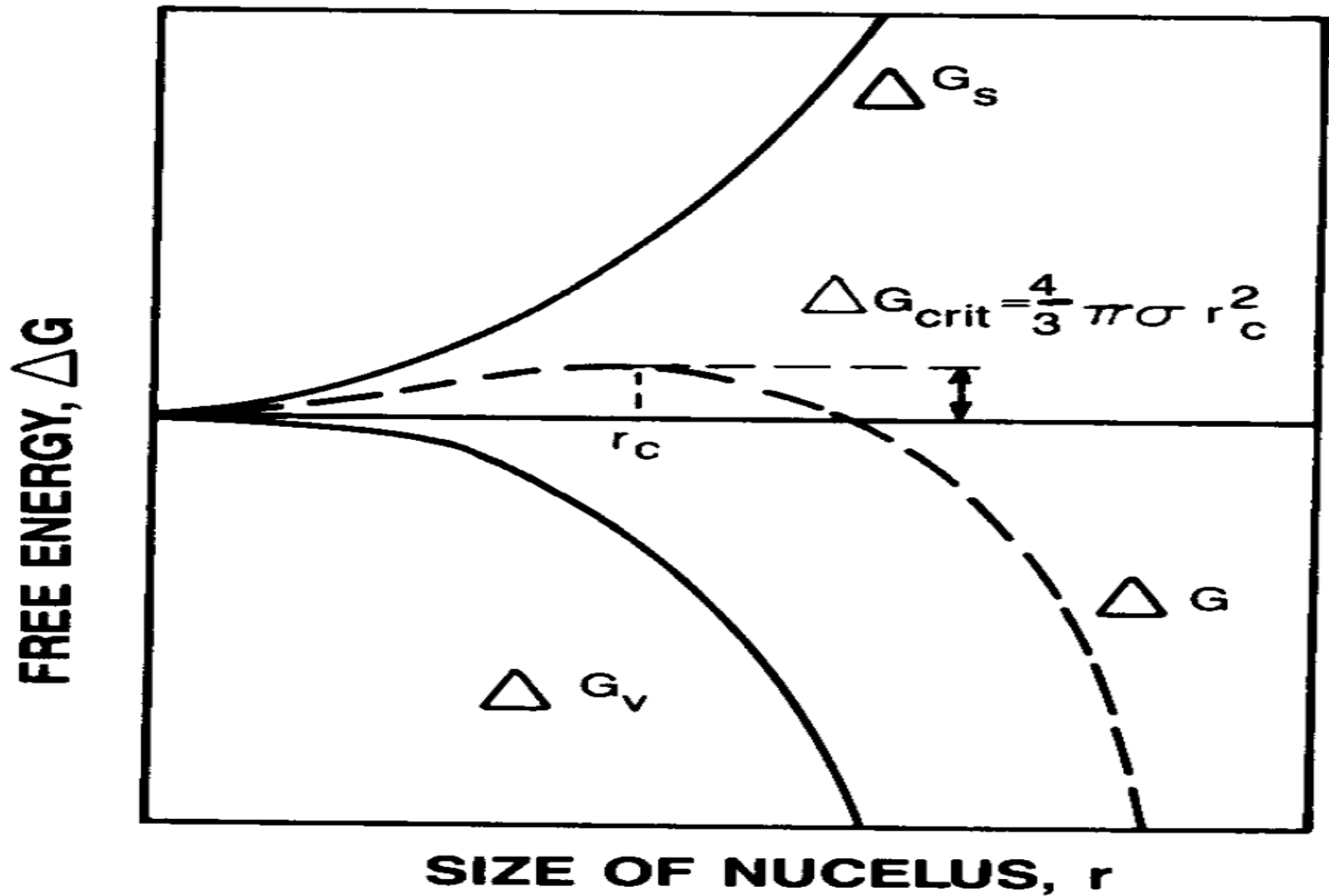


Effect of Fe^{3+} on the metastable zone width of ammonium sulfate at pH 4

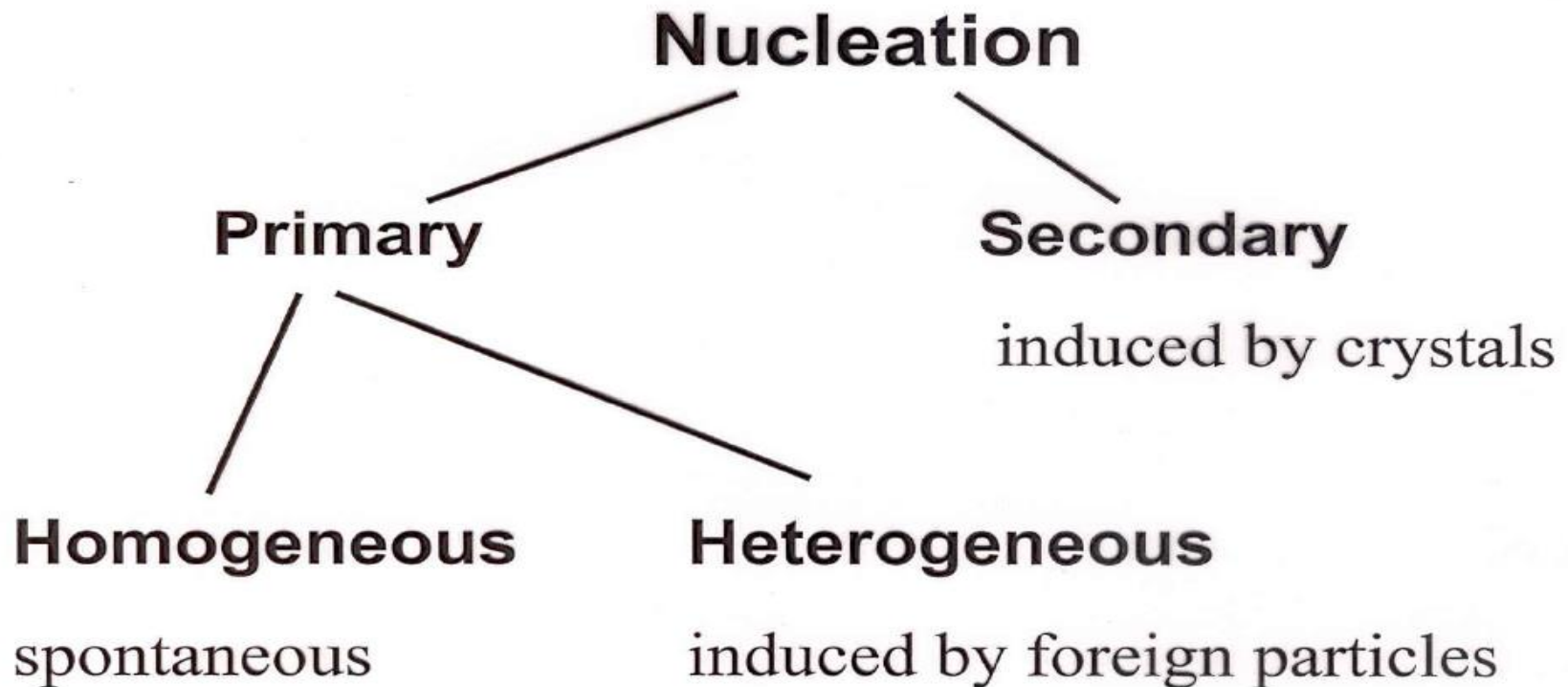
Solute	Dimensionless solubility C^*/C_C	Solubility parameter $\frac{d(\ln C^*)}{d(\ln T)}$	Maximum detectable subcooling $\Delta T_{\text{met,exp}}$ in K for $dT/dt =$		
			2 K/h	5 K/h	20 K/h
Calcium nitrate	0.71	2.0	0.6	0.9	1.5
Boric acid	0.04	7.9	1.3	1.9	3.1
Potassium bromide	0.20	1.4	1.1	1.6	2.7
Potassium iodide	0.33	0.6	0.6	0.8	1.2
Potassium nitrite	0.62	0.3	0.9	1.2	1.8
Potassium nitrate	0.18	7.0	0.4	0.5	0.7
Sodium sulfite	0.39	6.6	0.56	0.6	0.7
Sodium bromide	0.48	1.2	1.0	1.4	2.3
Sodium iodide	0.64	0.7	1.2	1.4	2.0
Sodium nitrite	0.29	1.2	1.4	1.8	2.6

No.	Solute	Formula	Molar mass (Anh/Hyd)	$\tilde{M}$ [kg/kmol]	h_{20} [-]	Density (Hydrate)		Mass ratio (Hydrate)	Density	Solubility (Hydrate)	C^*/C_c [-]	Heat of crystall. ΔH_{LC} [kJ/mol]	No.
						ρ_c [kg/m ³]	C_c [kmol/m ³]						
								W^*_{20} [kg _{Hyd} /kg _{H2O}]	$\rho^*_{L,20}$ [kg/m ³]	C^*_{20} [kmol/m ³]			
ALUMINIUM													
1	chloride	AlCl ₃	133.34 241.432	6	2398	9.93	1.3068		1492	3.500	0.35	-13	1
2	hydroxide	Al(OH) ₃	77.99	0	2420	31.03	0.00012		998	0.002	5.0E-5		2
3	sulphate	Al ₂ (SO ₄) ₃	342.134 630.379	16	1690	2.68	1.0017		1321 1255	0.997	0.37	-64	3
AMMONIUM													
4	aluminium sulphate	(NH ₄) ₂ Al ₂ (SO ₄) ₄	474.306 906.672	24	1640	1.81	0.1107		1050 1039	0.115	0.06		4
5	bromide	NH ₄ Br	97.942	0	2429	24.80	0.755		1300 1337	5.710	0.23	-15	5
6	chloride	NH ₄ Cl	53.491	0	1527	28.55	0.372		1076 1102	5.454	0.19	16.2	6
7	dihydrogen phosphate	NH ₄ H ₂ PO ₄	115.025	0	1803	15.67	0.365		1160 1134	2.697	0.17		7
8	ferrous sulphate	(NH ₄) ₂ Fe(SO ₄) ₂	284.038 392.13	6	1864	4.75	0.3798		1171 1145	0.803	0.17		8
9	hydrogen carbonate	NH ₄ HCO ₃	79.055	0	1580	19.99	0.21		1066	2.341	0.12		9
10	nickel sulphate	(NH ₄) ₂ Ni(SO ₄) ₂	286.908 395	6	1923	4.87			1052				10
11	nitrate	NH ₄ NO ₃	80.043	0	1725	21.55	1.92		1310 1381	10.761	0.50	-12	11

Free energy versus cluster radius (Mullin 1972)



Mechanisms of Nucleation



Supersaturation S

Time

1.0

Infinity

2.0

10^{60} years

3.0

10^3 years

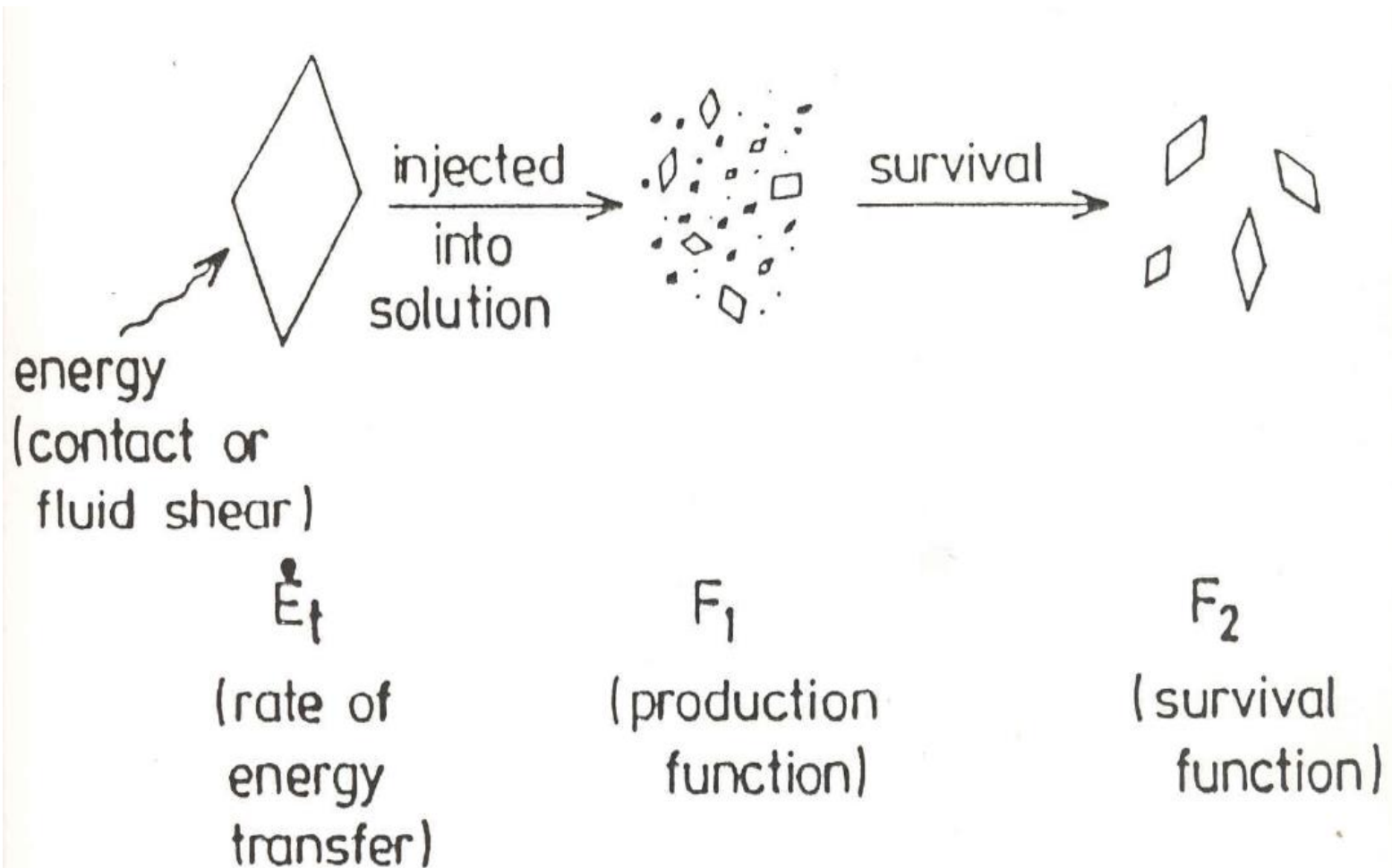
4.0

0.1 second

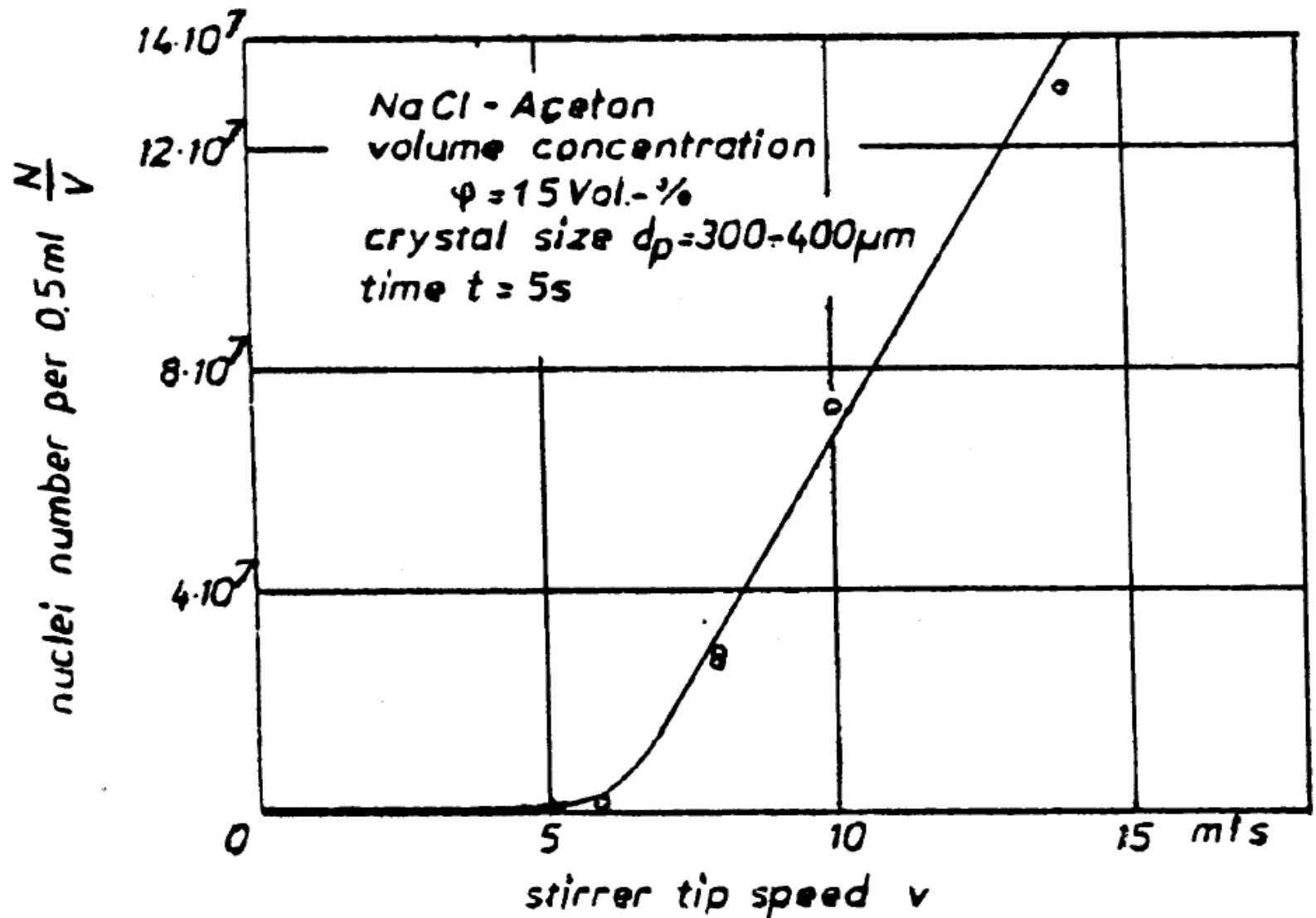
5.0

10^{-13} second

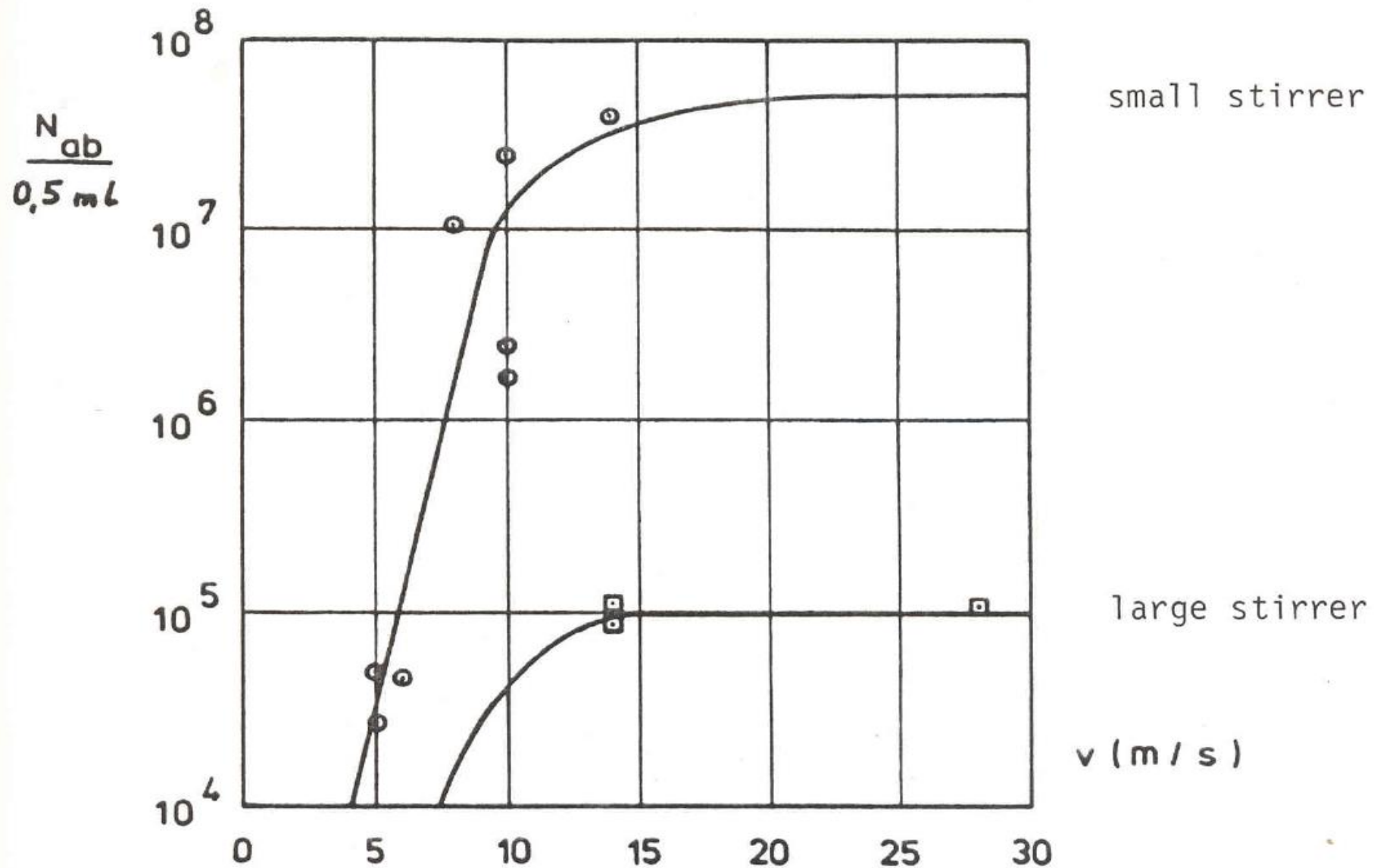
Secondary nucleation in crystallizers



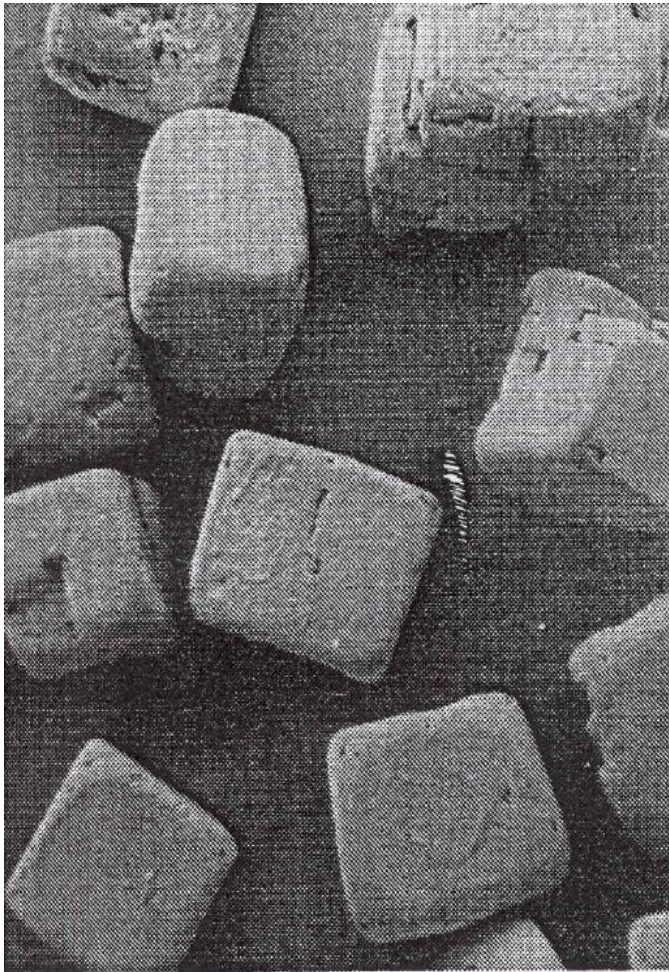
Number of nucleiverses impeller tip speed



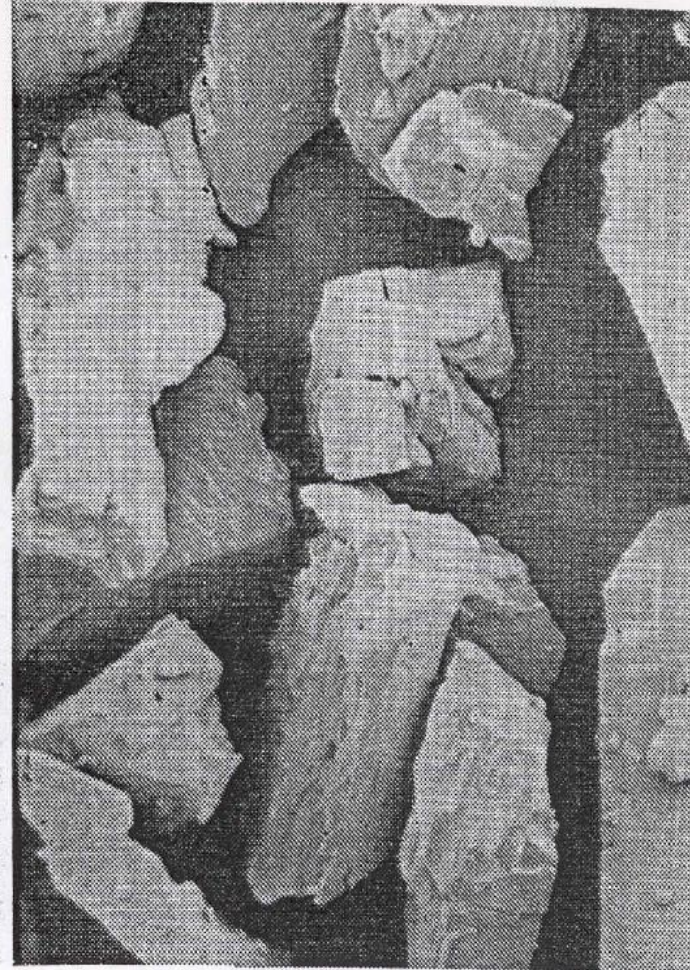
Number of attrition particles depending on stirrer speed for two different size stirrers



Electron microscope photographs (1 mm radial clearance,
 $M = 20 \text{ kg/m}^3$, $N = 9 \text{ rps}$, batch time = 120 s)



498-592 μm (40 $\times$) Parent crystals

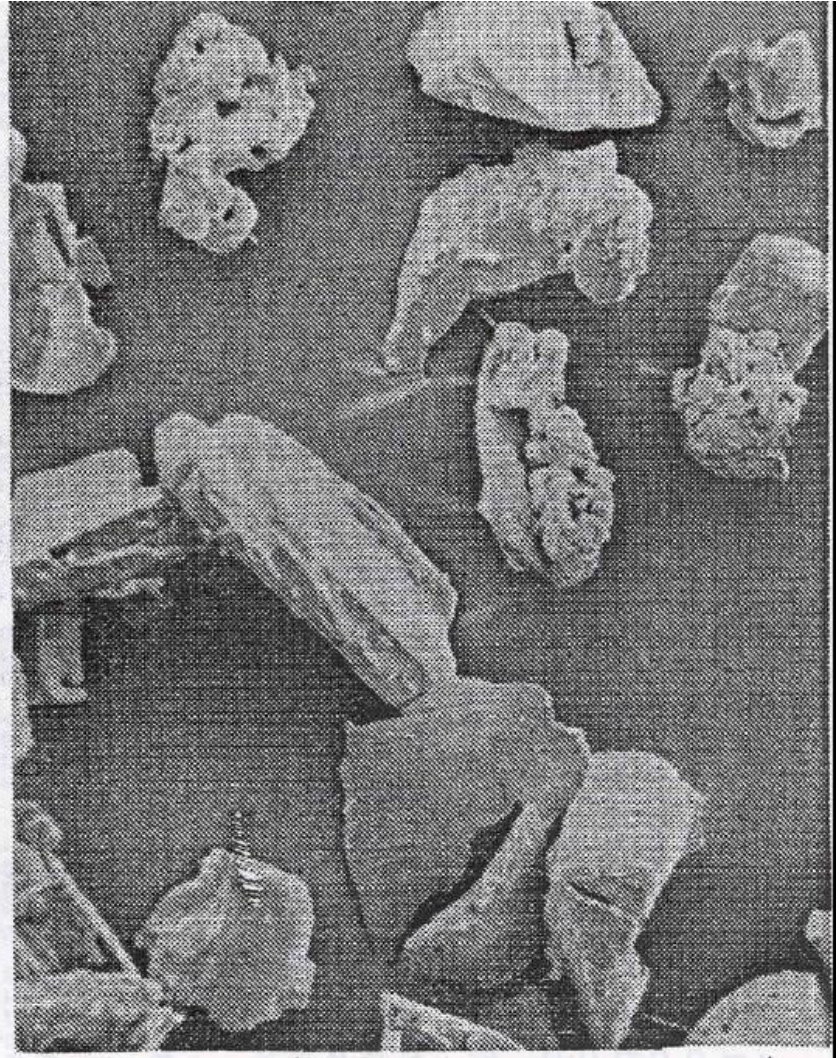


209-249 μm (70 $\times$)

Electron microscope photographs (1 mm radial clearance,
 $M = 20 \text{ kg/m}^3$, $N = 9 \text{ rps}$, batch time = 120 s)



88-105 μm (200 $\times$)



62-74 μm (200 $\times$)

Thank you for your
attention!

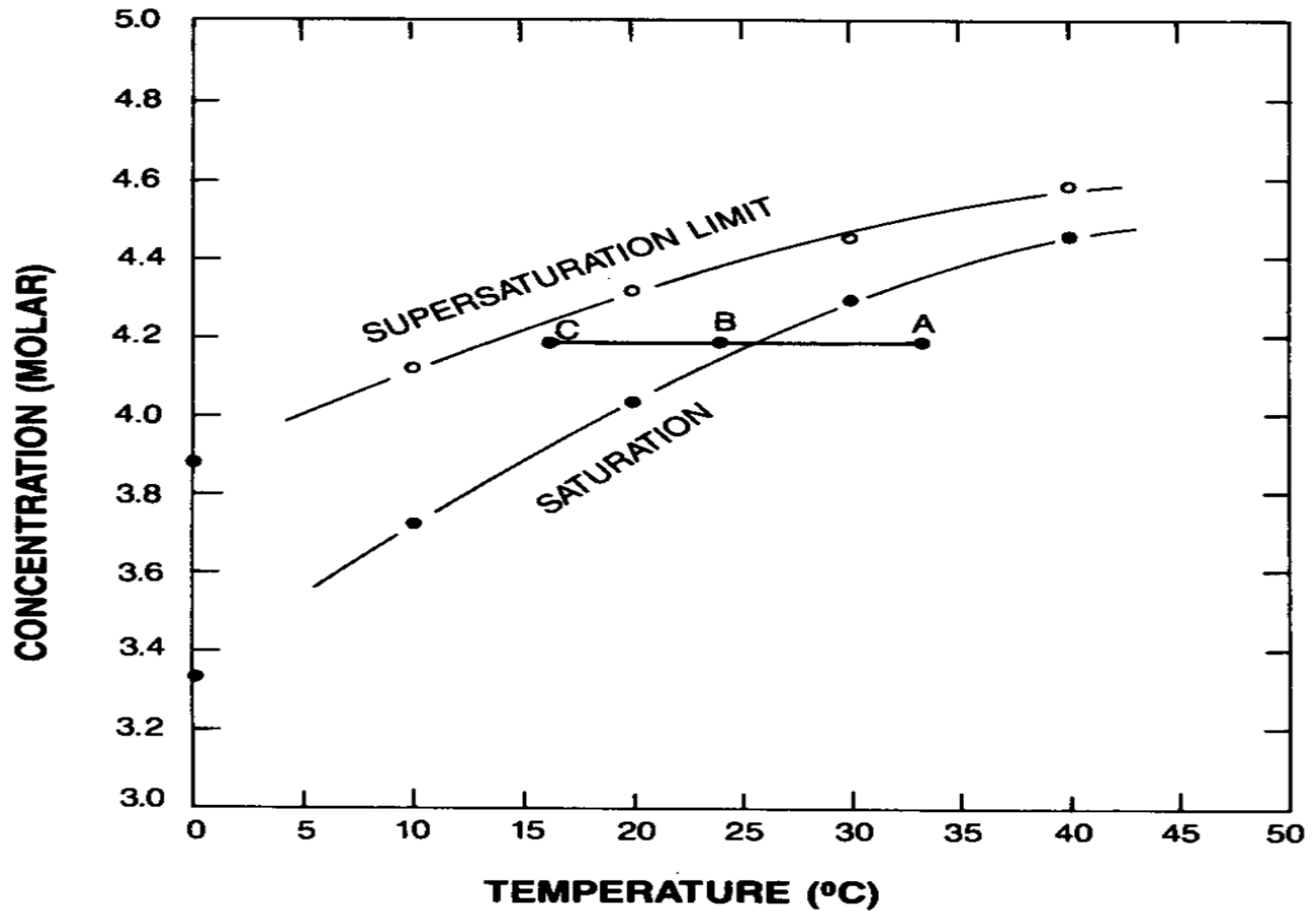


Kinetics of crystallization

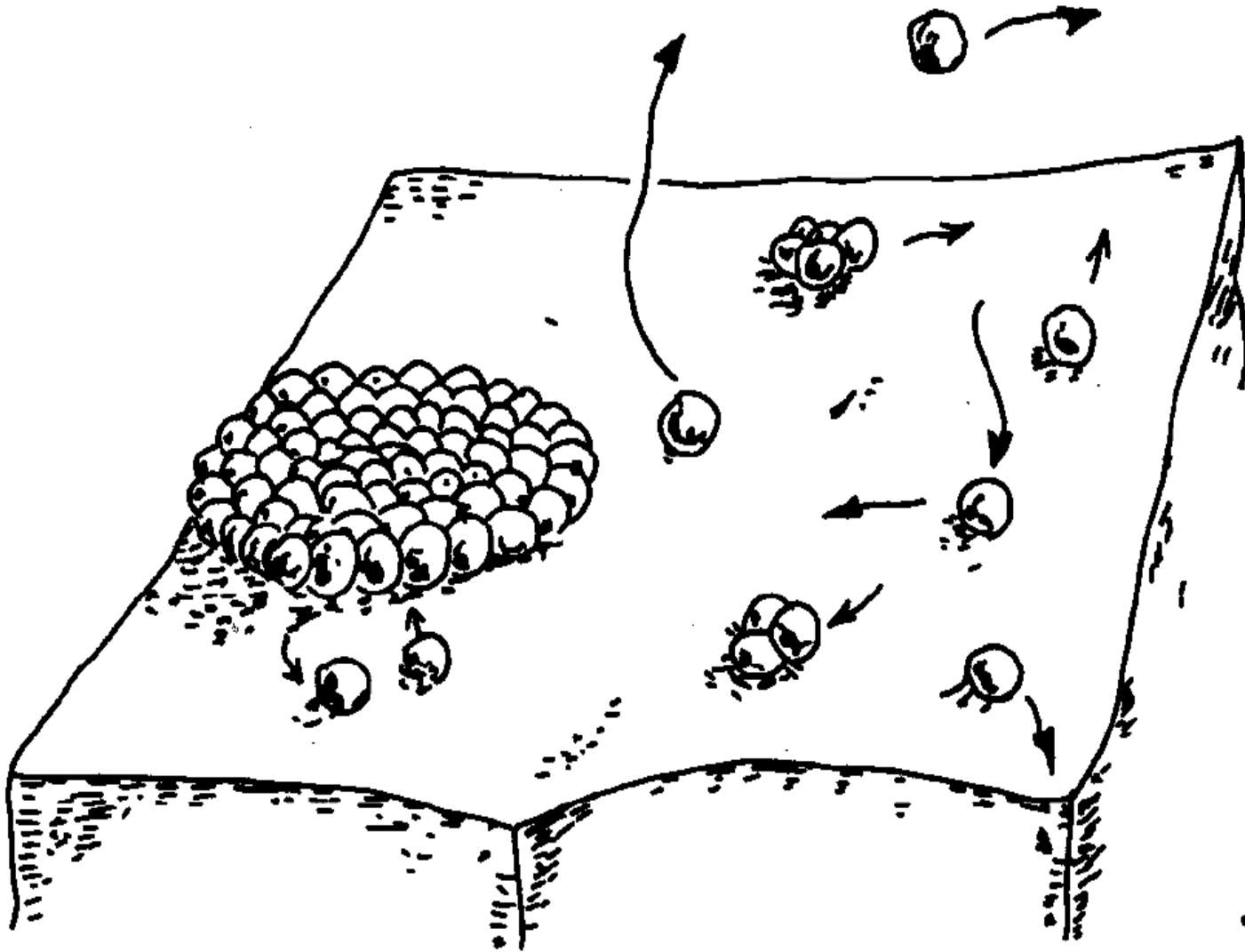
- crystal growth

Prof. Dr.-Ing. Dr. h.c. Joachim Ulrich

Metastable zone width for KCl-water system (Chang 1984)



Formation of two-dimensional critical nucleus on a crystal surface (M. Ohara and R.C. Reid)



Crystal Growth Kinetics

Controlled by Surface Integration: Two-Dimensional Nucleation

General form:

$$R_G = \alpha \sigma^P \exp\left(\frac{\beta}{\sigma}\right)$$

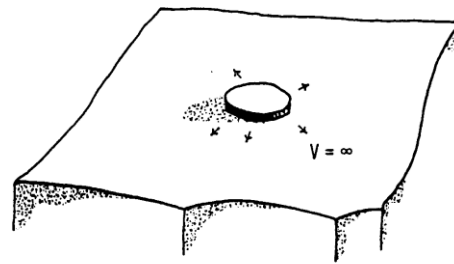
R_G , growth rate

α, β, P constant

σ relative supersaturation

- Mono-nuclear Model:

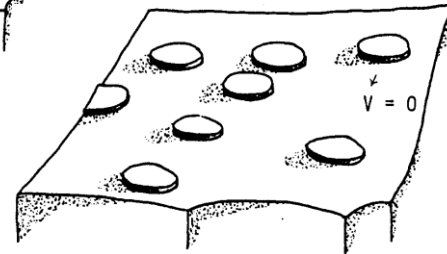
$V = \infty$ and $P = 1/2$



(a) Mononuclear Model

- Poly-nuclear Model:

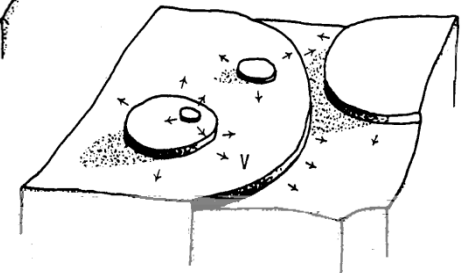
$V = 0$ and $P = 3/2$



(b) Polynuclear Model

- Birth & Spread Model (B&S):

$V = \text{finite value}$ and $P = 5/6$



(c) Birth and Spread Model

[8] Myerson, A.S., Handbook of Industrial Crystallization; Butterworth-Heinemann; Oxford, UK, 2001, 70-71.

Crystal Growth Kinetics

Controlled by Surface Integration: Burton-Cabrera-Frank (BCF) Model

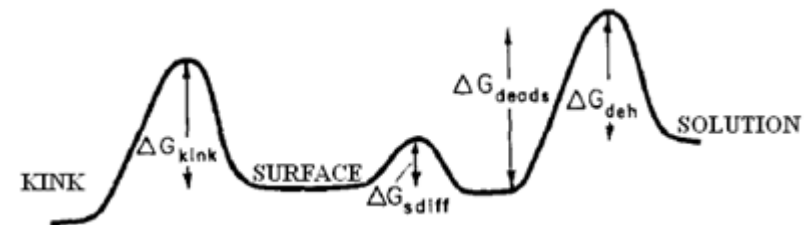
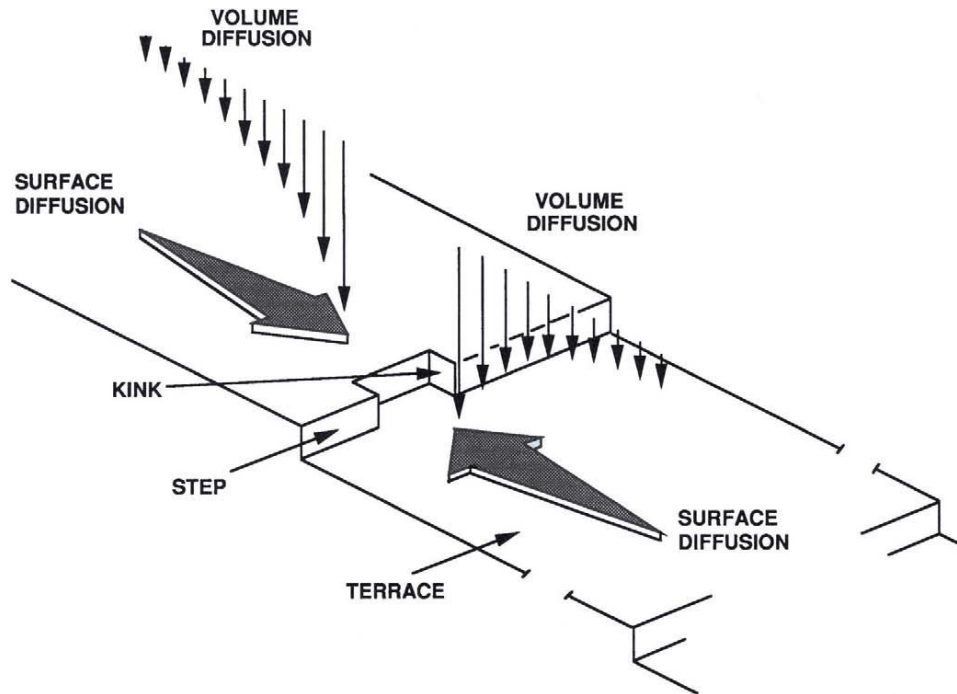
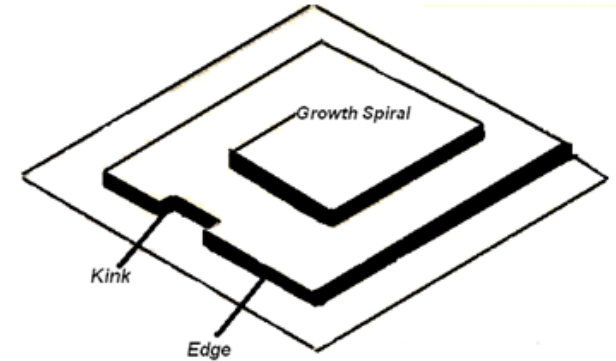
Screw dislocations provide self-perpetuating step

- low Supersaturations (BCF):

$$R_G \propto S^2$$

- high Supersaturations (RIG):

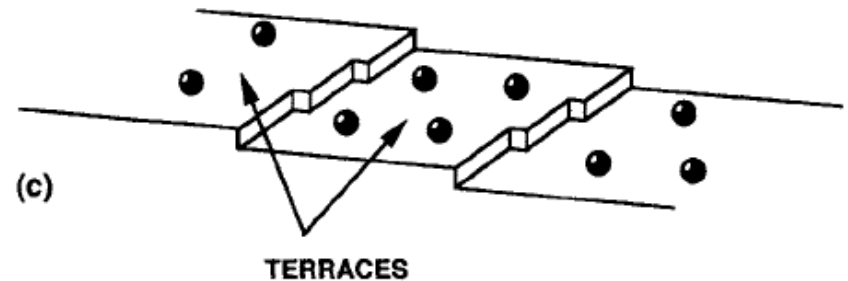
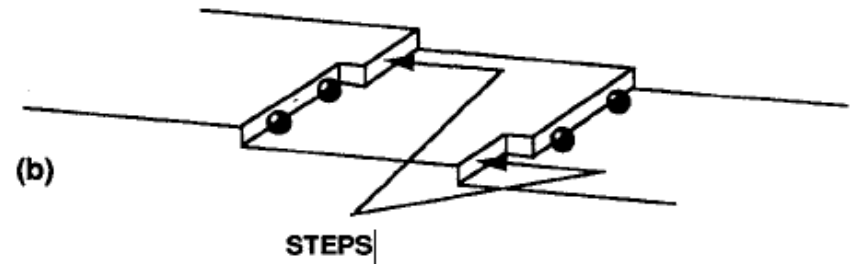
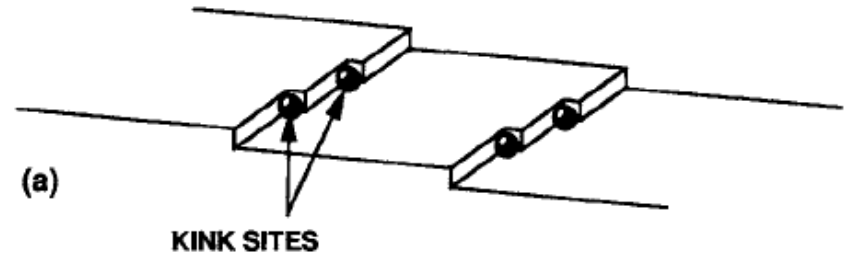
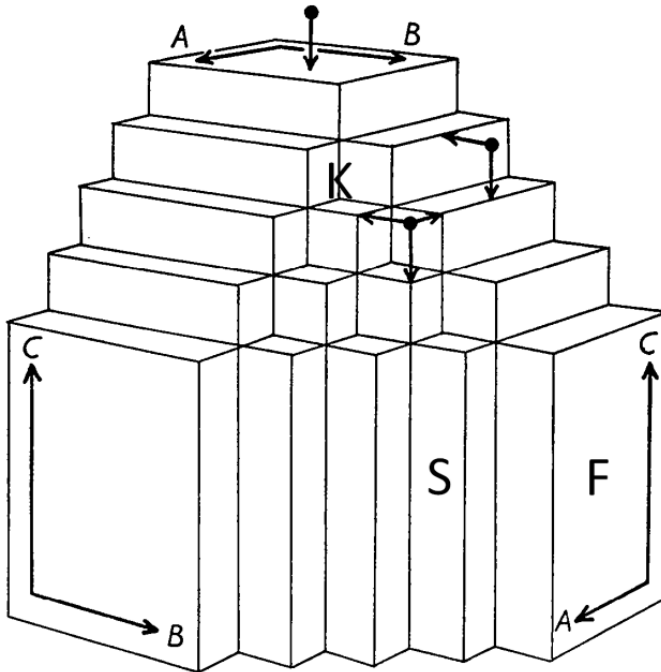
$$R_G \propto S$$



Crystal Growth Kinetics

Kossel Crystal

$$E_K^{att} > E_S^{att} > E_F^{att}$$



[8] Myerson, A.S., Handbook of Industrial Crystallization; Butterworth-Heinemann; Oxford (2001) 70.

[9] Mullin, J.W., Crystallization; Butterworth Heinemann, Oxford (2001) 271.

Crystal Growth Kinetics

Controlled by Mass Integration: Diffusion Layer Model

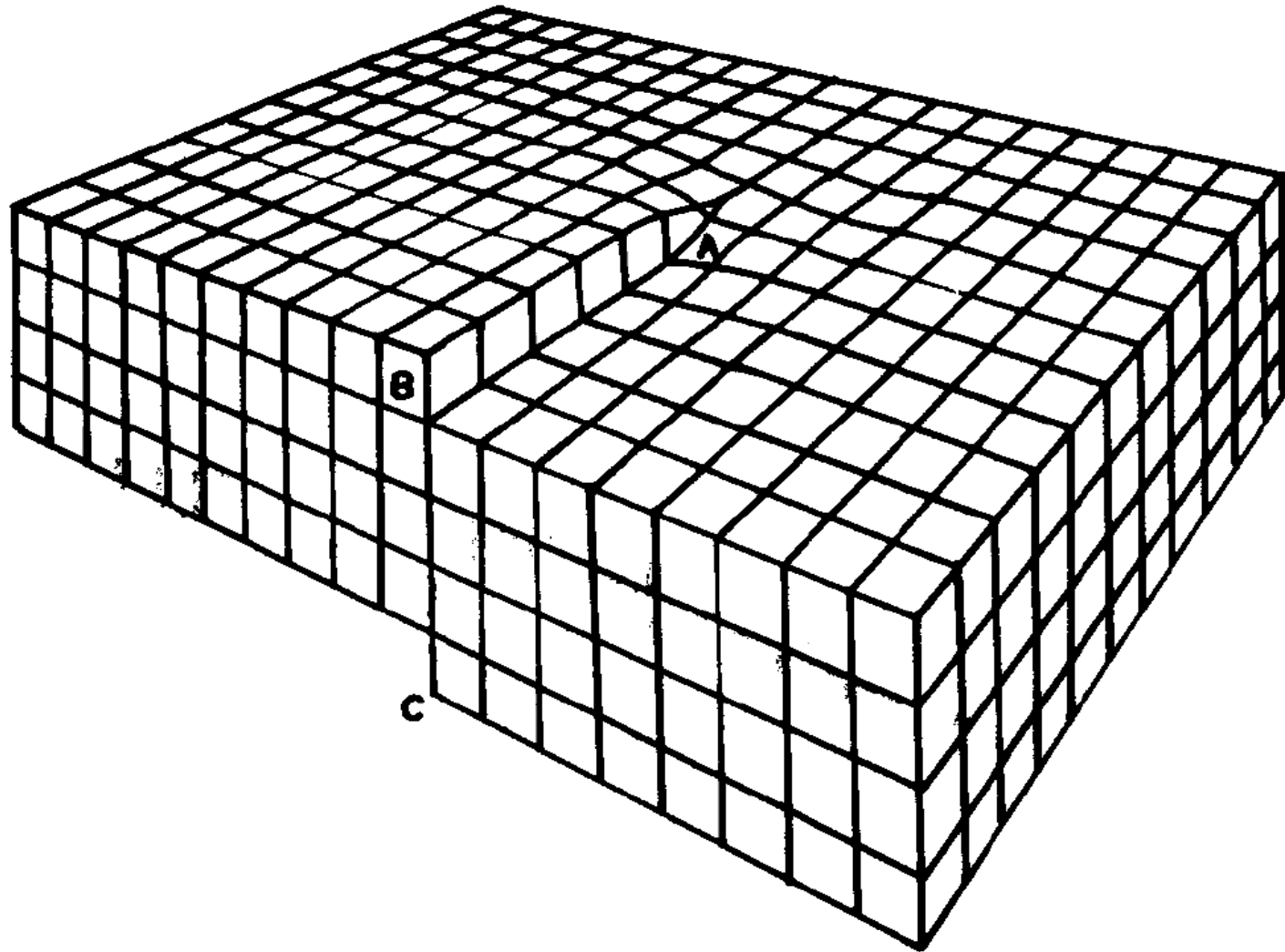
- For one-dimensional case, rate of mass increase equated to diffusion rate through the boundary layer

$$\frac{dm_c}{dt} = DA \left(\frac{dC}{dx} \right) \quad R_G = \frac{\beta}{3\alpha\rho} \left(\frac{C - C_i}{\delta} \right) D \Rightarrow R_G \propto D$$

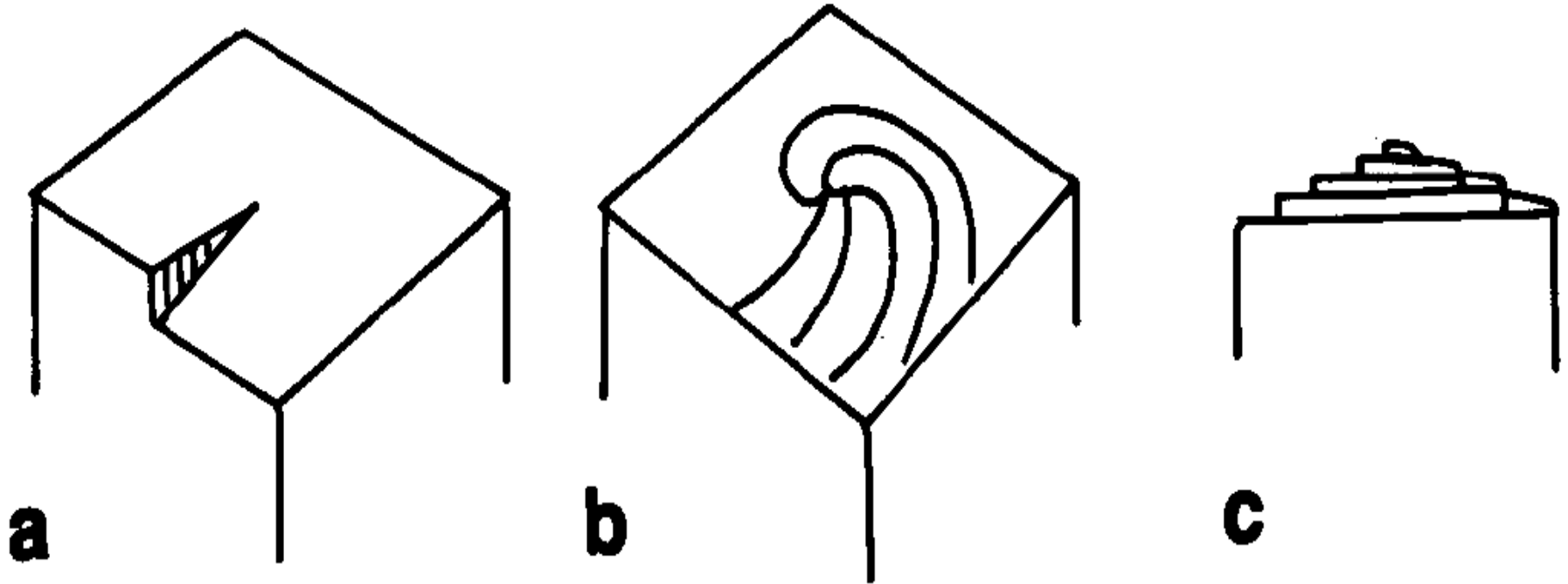
A : surface area of the crystal
 D : diffusion coefficient
 dC/dx : concentration gradient in boundary layer

α, β : volume and area shape factors
 ρ : crystal density
 δ : boundary layer thickness
 c, c_i : bulk and interfacial concentration

A screw dislocation in a simple cubic crystal.
(Strickland-Constable 1968)



Development of a growth spiral from a screw dislocation (Mullin 1972)



Definition of growth rates

$$R_G = K_G \Delta c^n = \frac{1}{A} \frac{dm}{dt} = \frac{3\alpha}{\beta} \wp G$$

$$[\text{kg/m}^2 \text{ s}]$$

(mass growth rate)

with

$$G = \frac{dL}{dt} \quad [\frac{\text{m}}{\text{s}}]$$

(linear growth rate)

with

$$m = \alpha \wp L^3$$

(mass)

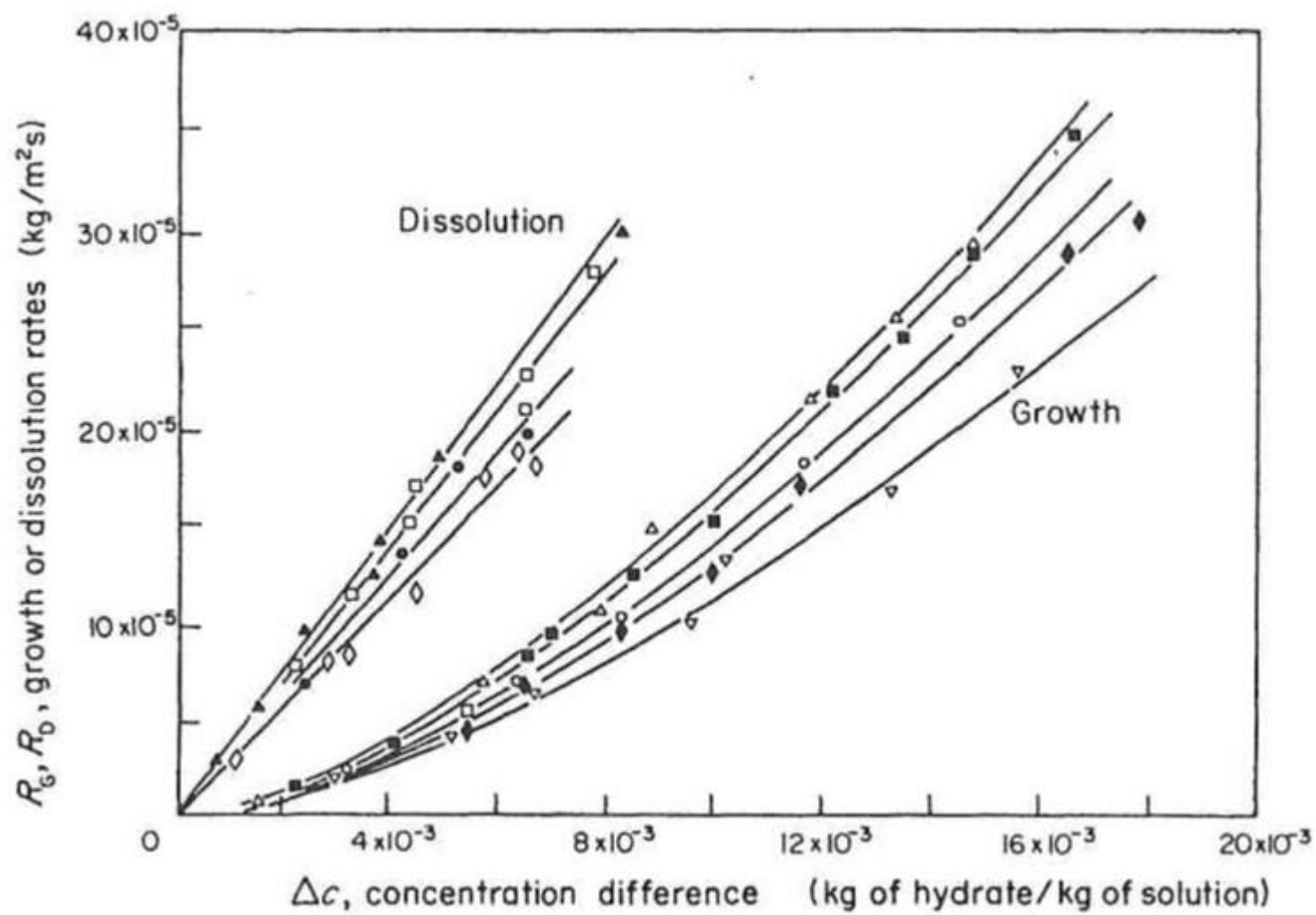
with

$$A = \beta L^2$$

(area)

$$R_G = a L^{-m} \Delta c^n$$

(modeled growth rate)



A container of human interferon crystals



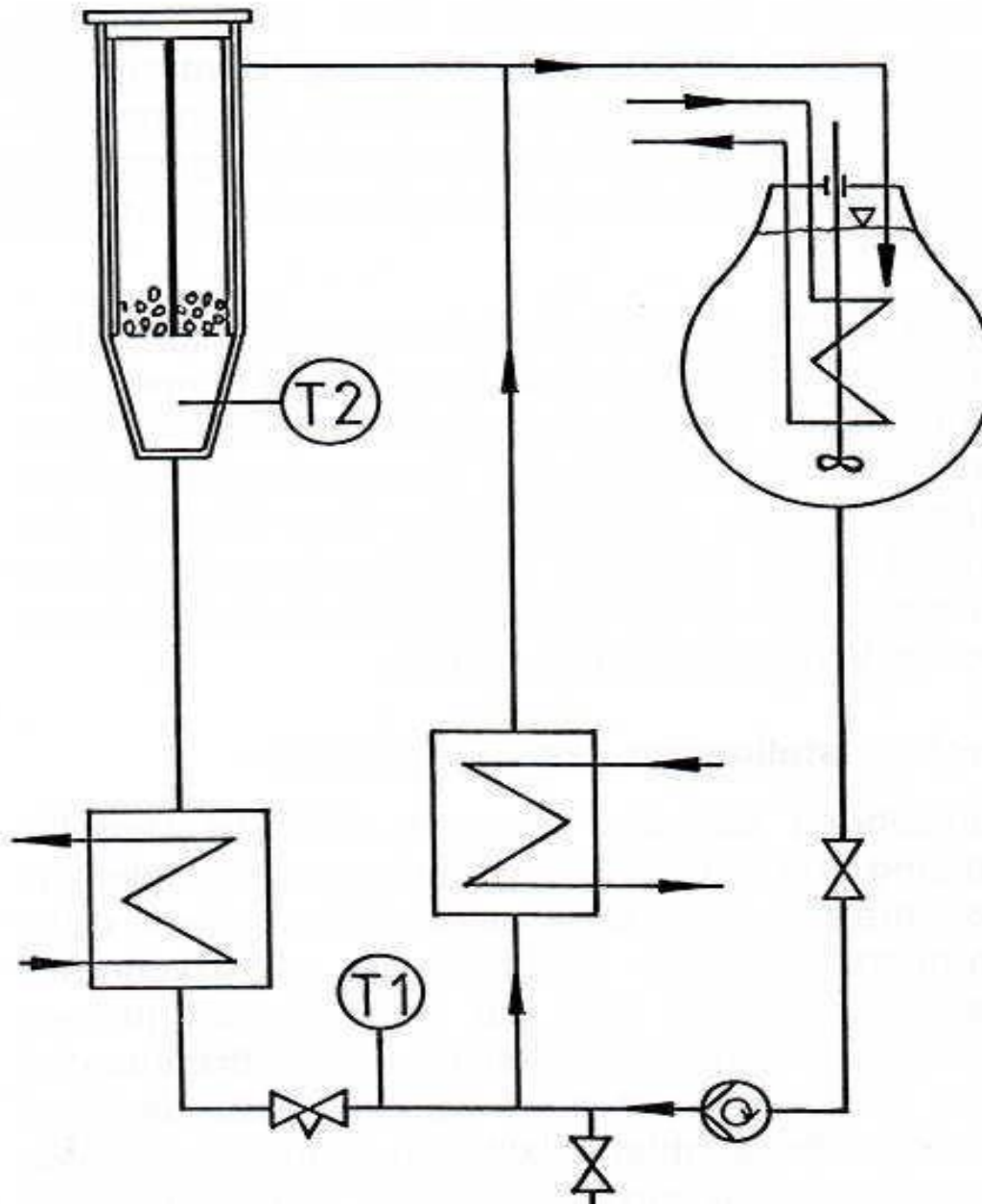
Photomicrograph of human interferon, magnification x 160



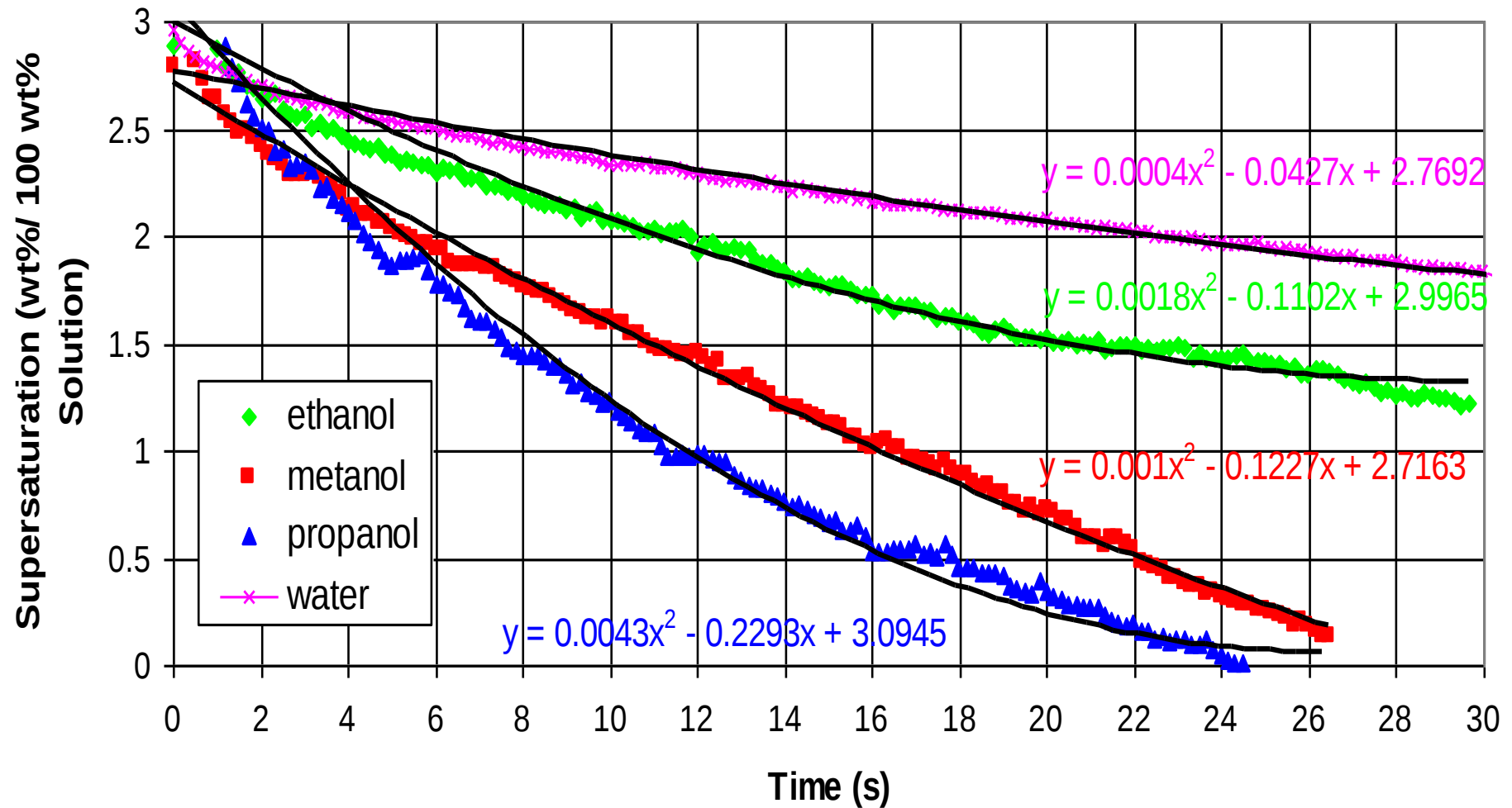
Influence on the crystal growth rate

- supersaturation
- temperature level
- fluiddynamic constitutions
- (crystal size)
- crystal surface quality
- history of crystals (growth time)
- impurities, additives

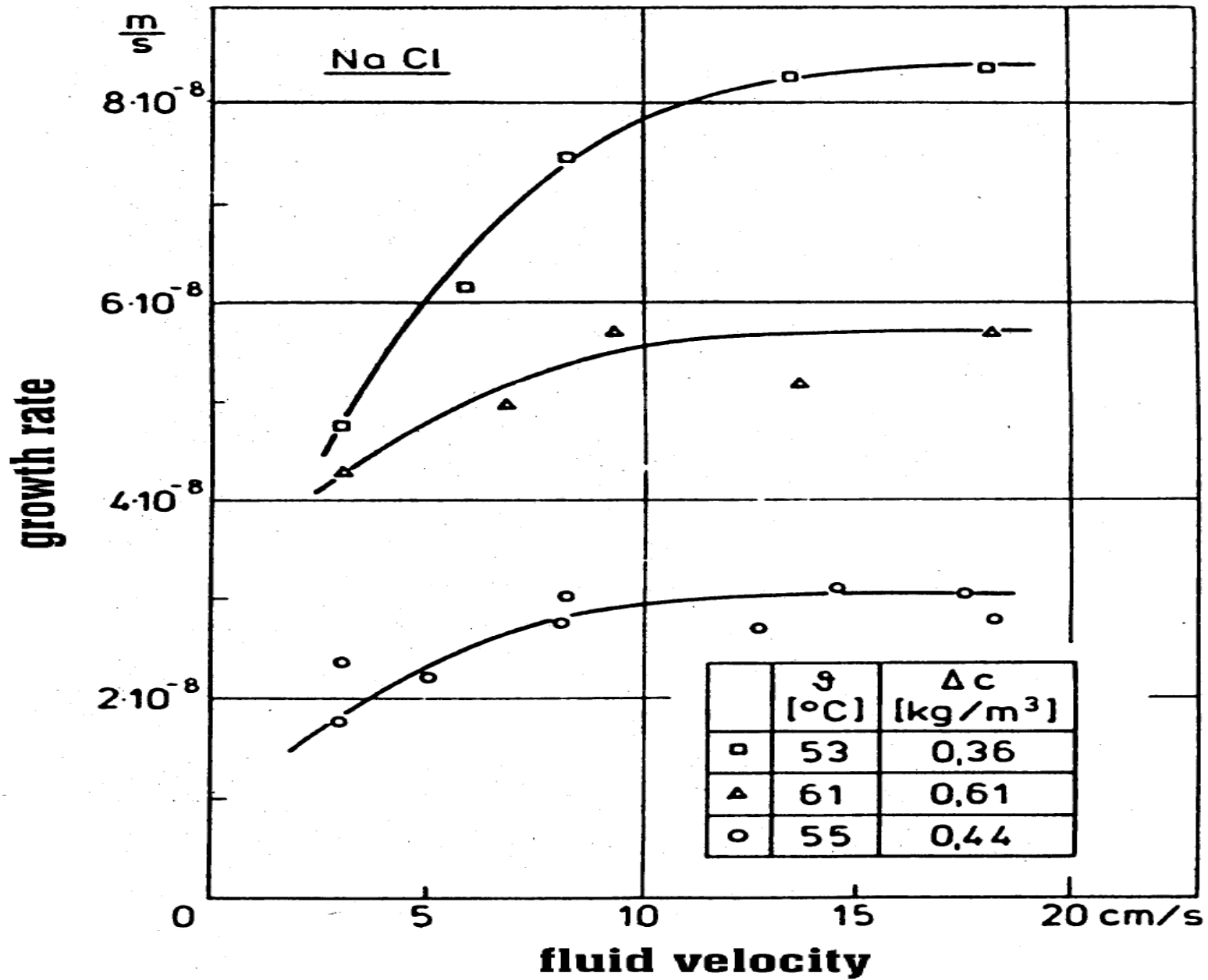
Fluidized bed crystallizer



Growth rates of NaCl crystals in a the fluidized

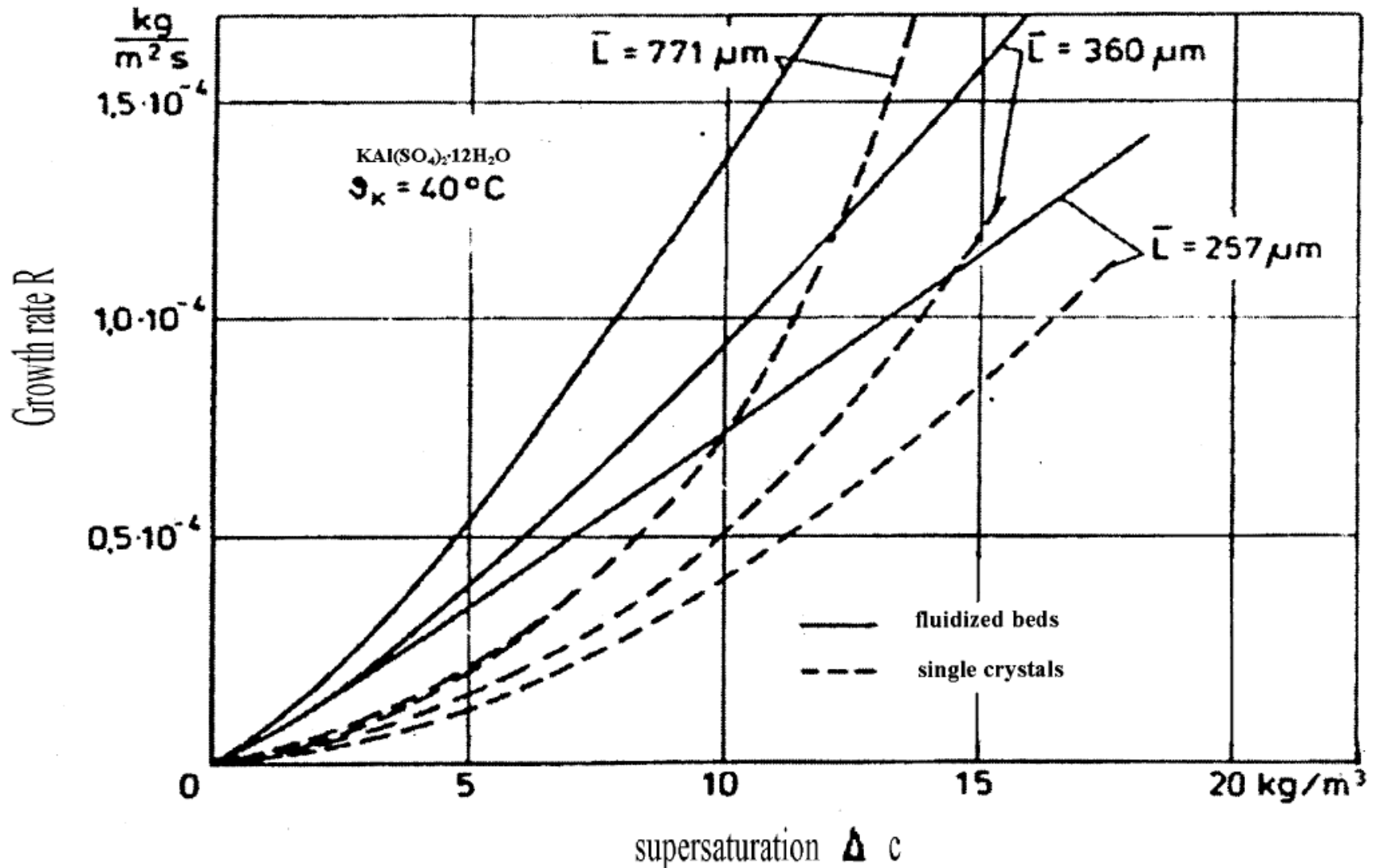


Influence of fluid velocity on locally fixed NaCl crystals

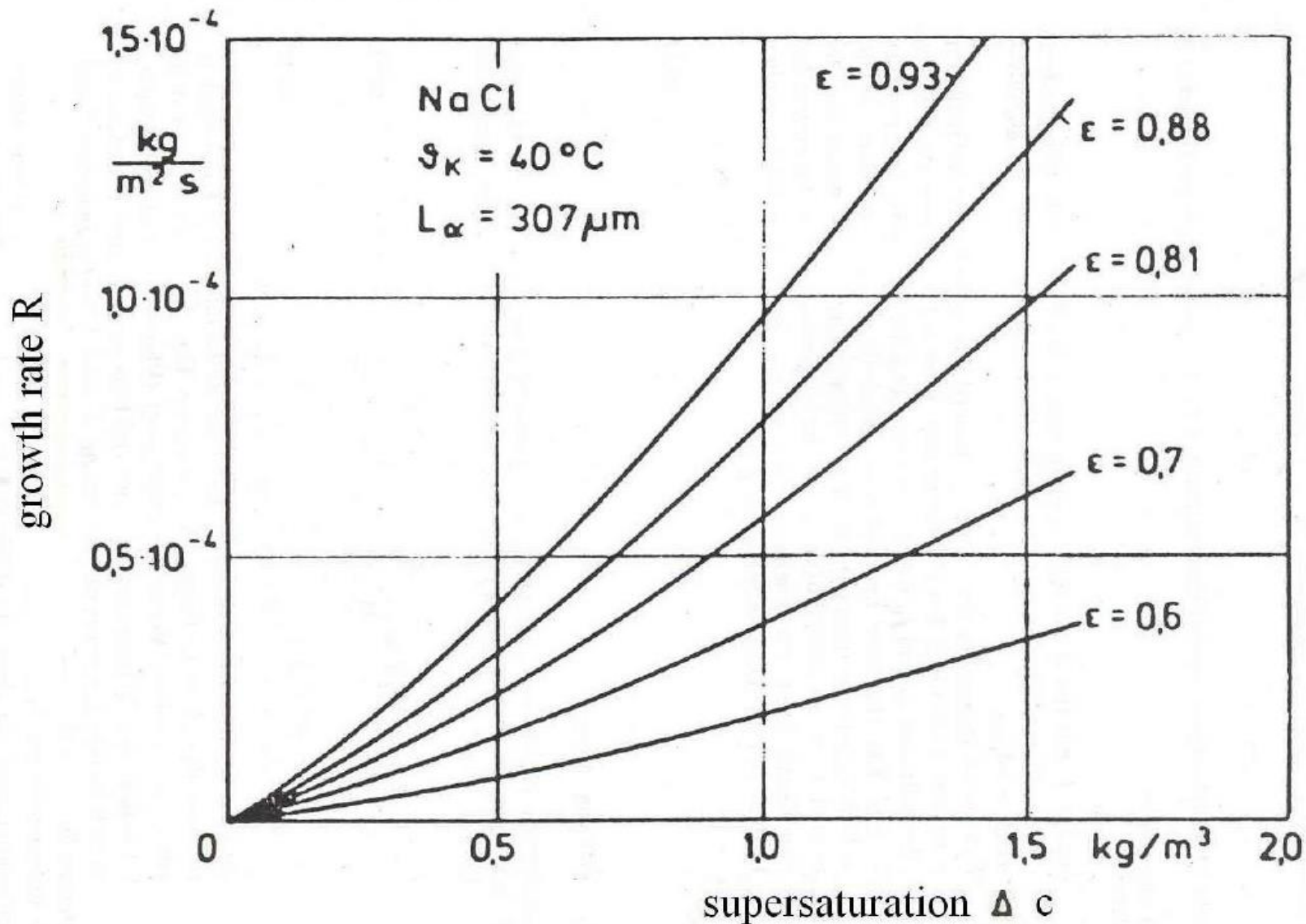


Growth rates: Comparison of single free suspended crystals and crystals grown in an fluidized bed

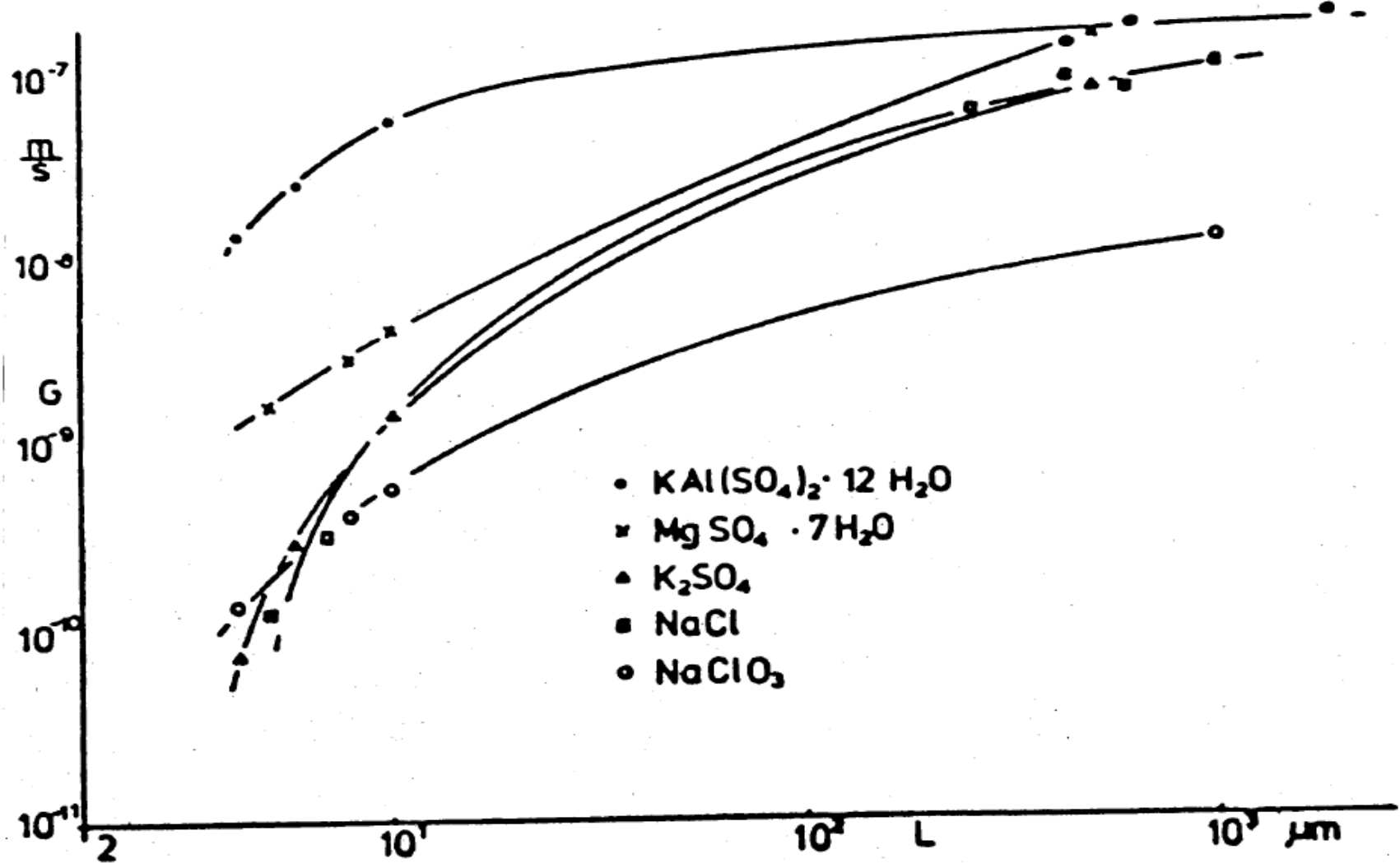
1



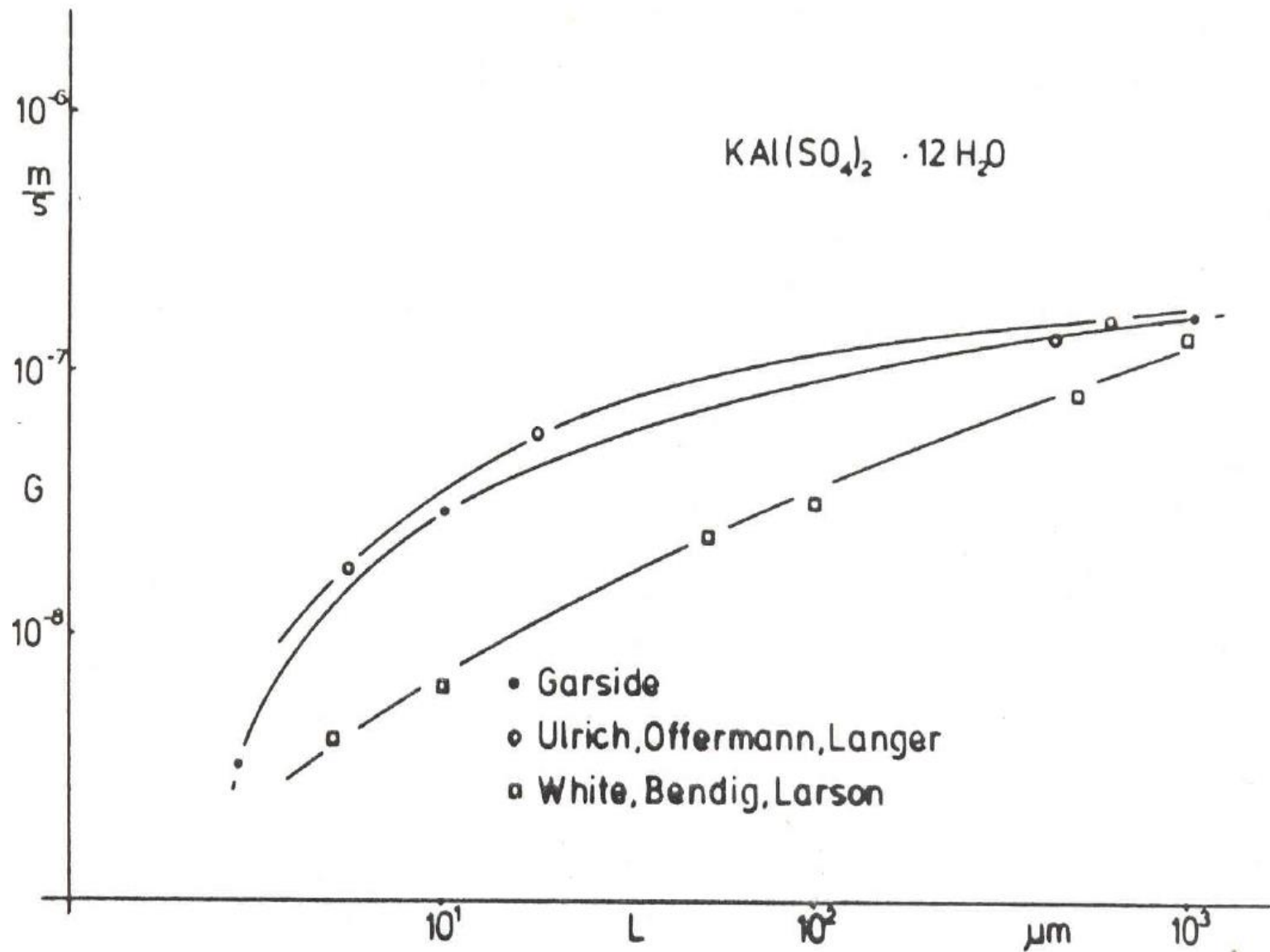
Growth rate of NaCl crystals in a fluidized bed, here: Influence of suspension density ϵ



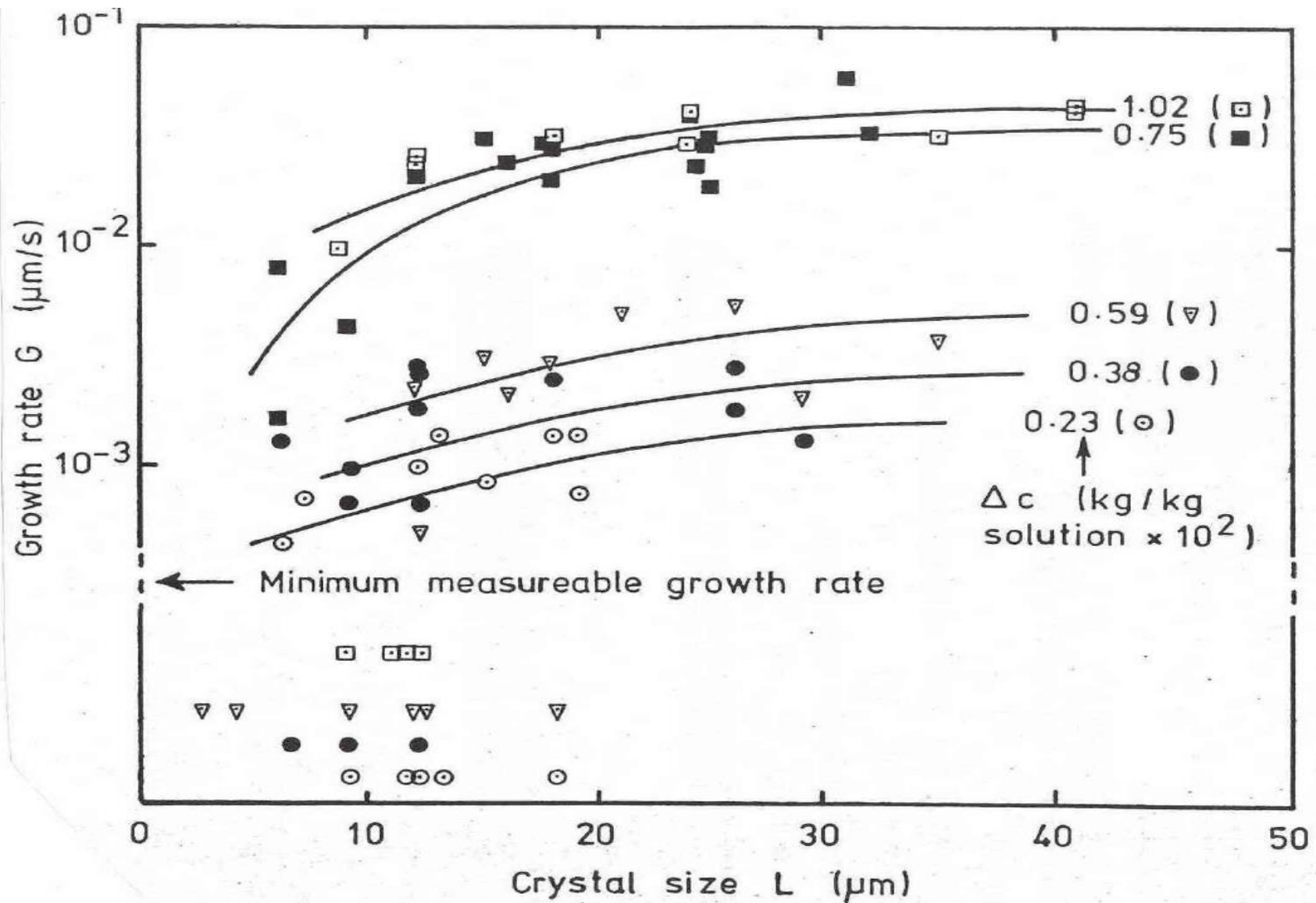
Growth rates of small and product size crystals



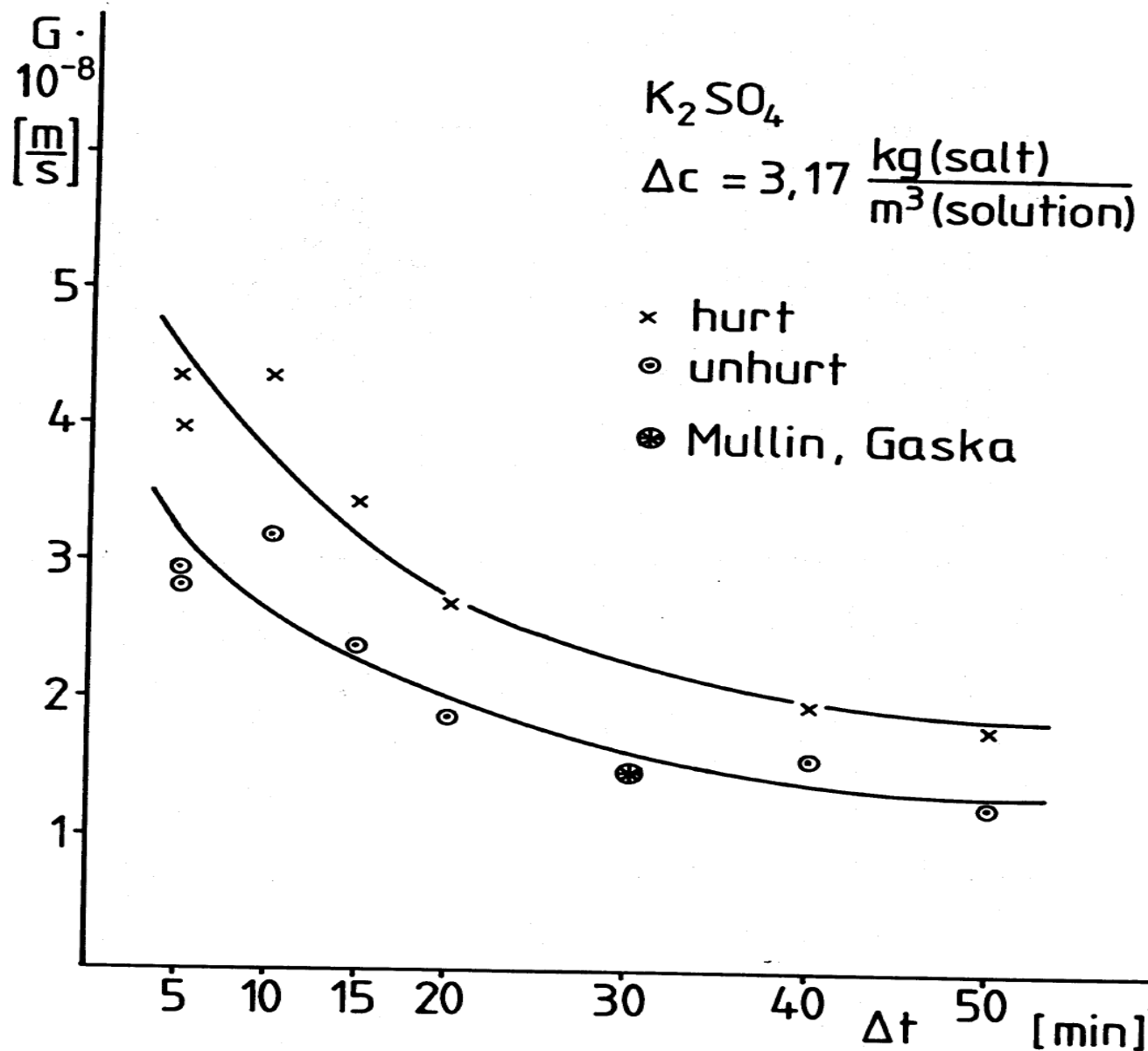
$\text{KAl}(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$ growth rates



Growth rates $\text{KAl}(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$ (Garside 1978)

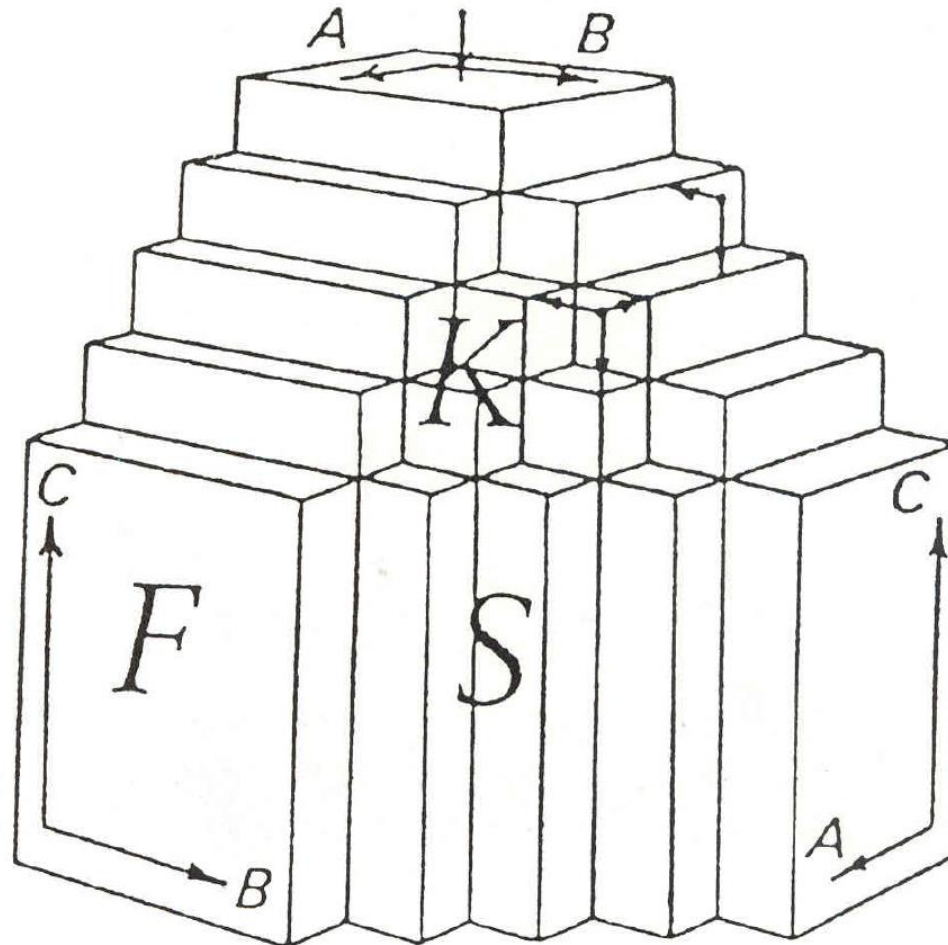


Different growth rates of crystals with different surface qualities in respect with time

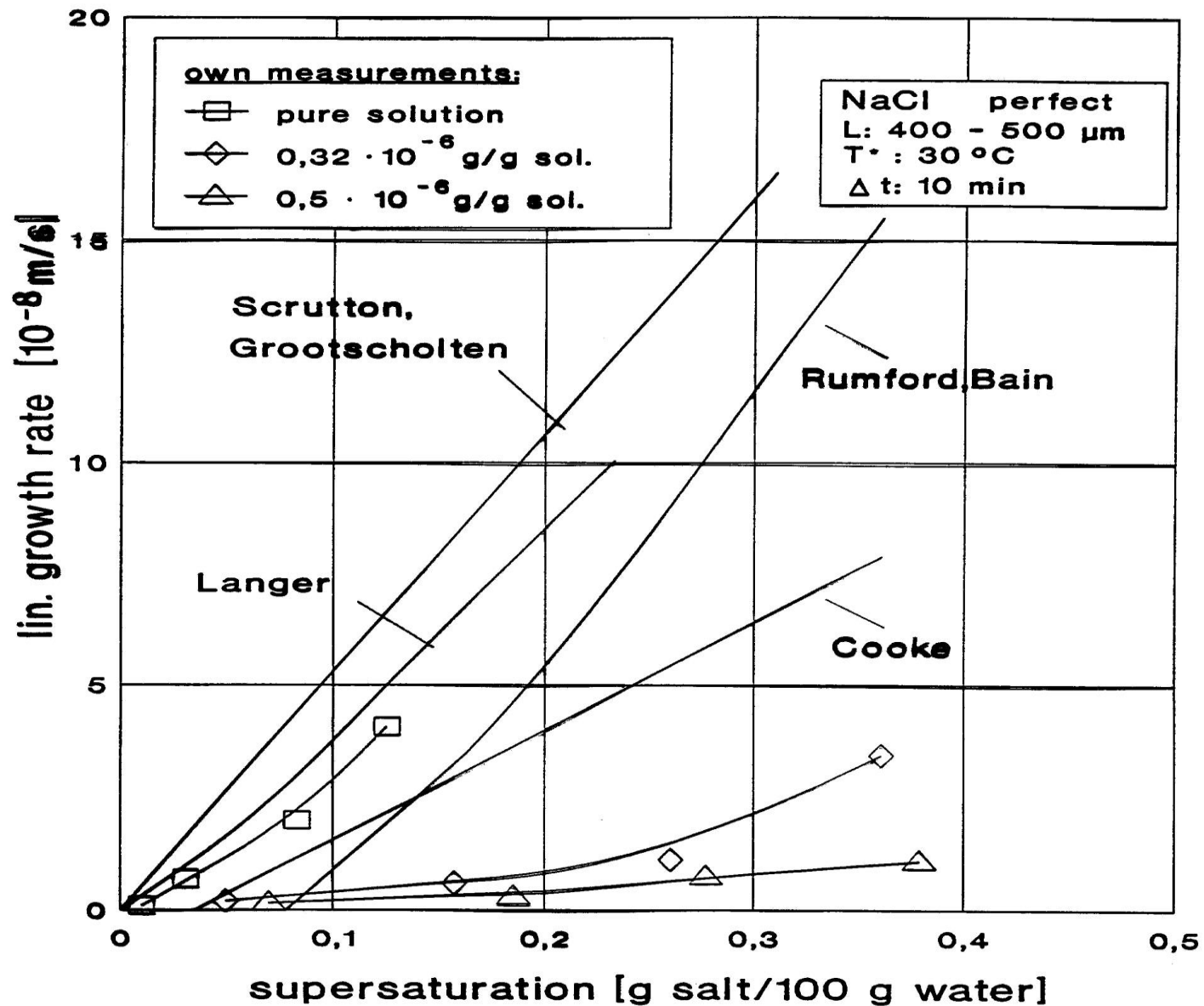


Hypothetical crystal with three PBC's: A // [100], B // [010] and C // [001]. Flat faces are: (100), (010) and (001). Stepped faces are: (110), (101) and (011). Kinked face is (111).

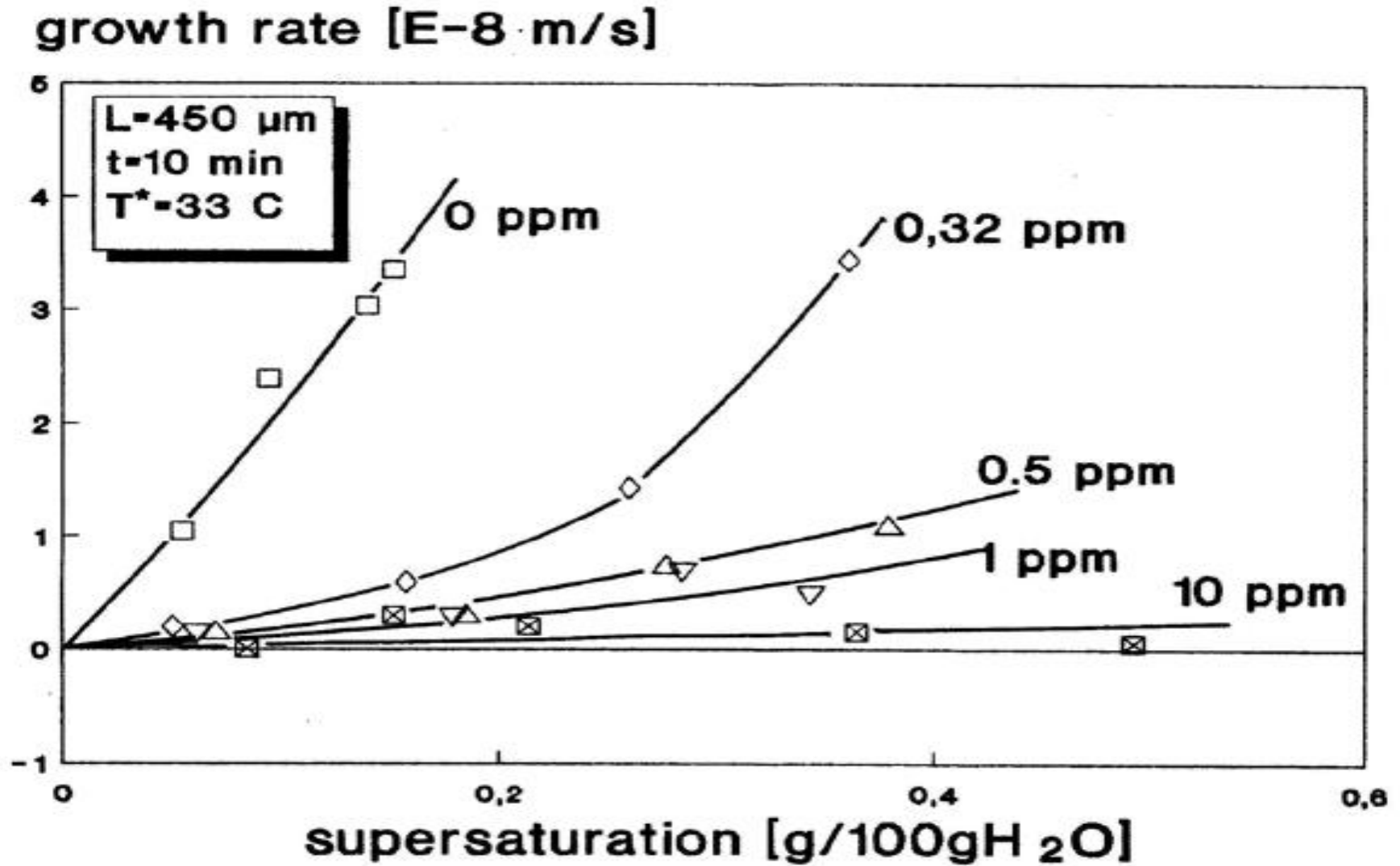
P. Hartman, Structure and morphology



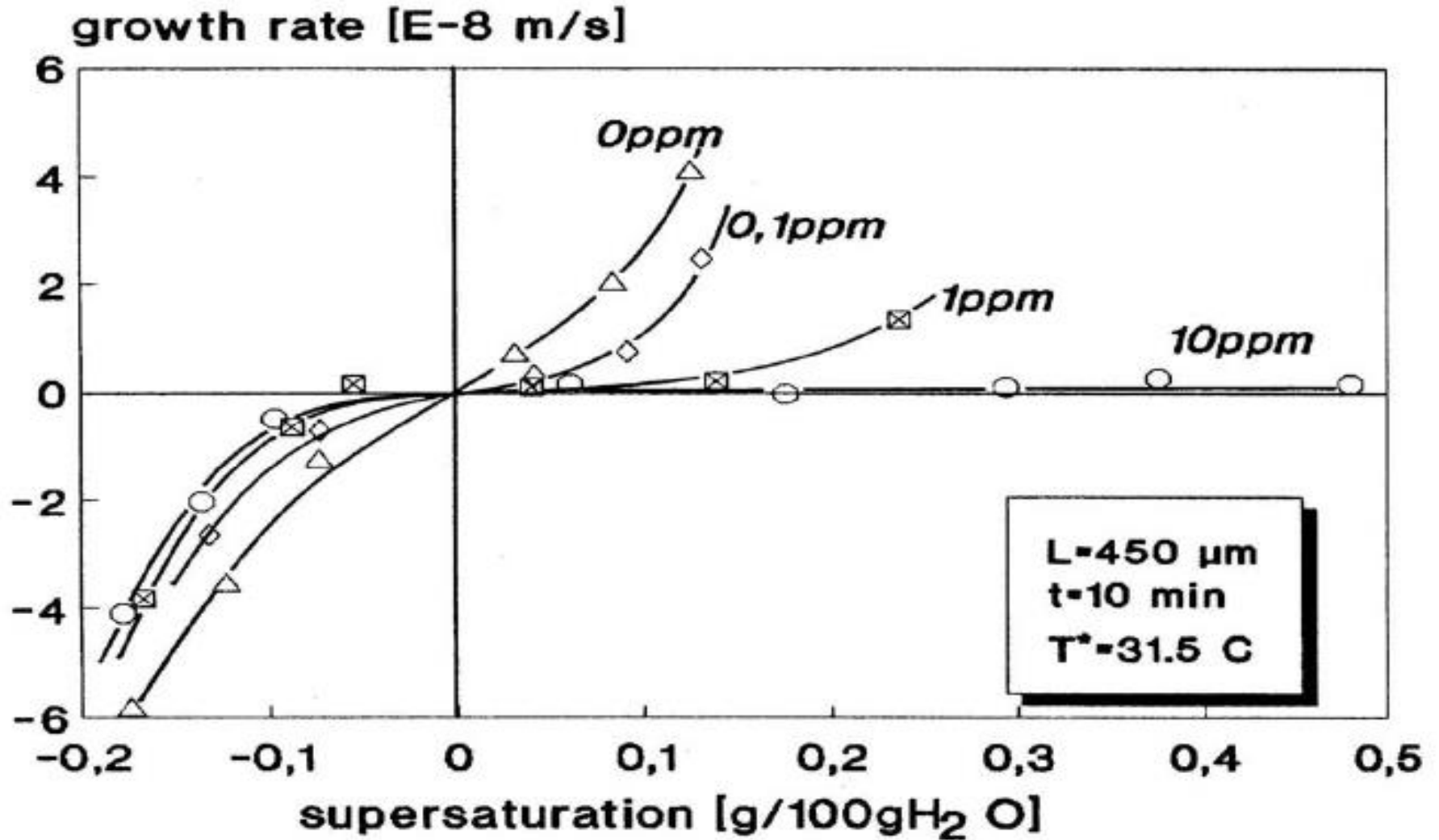
Growth rates of NaCl crystals according to literature

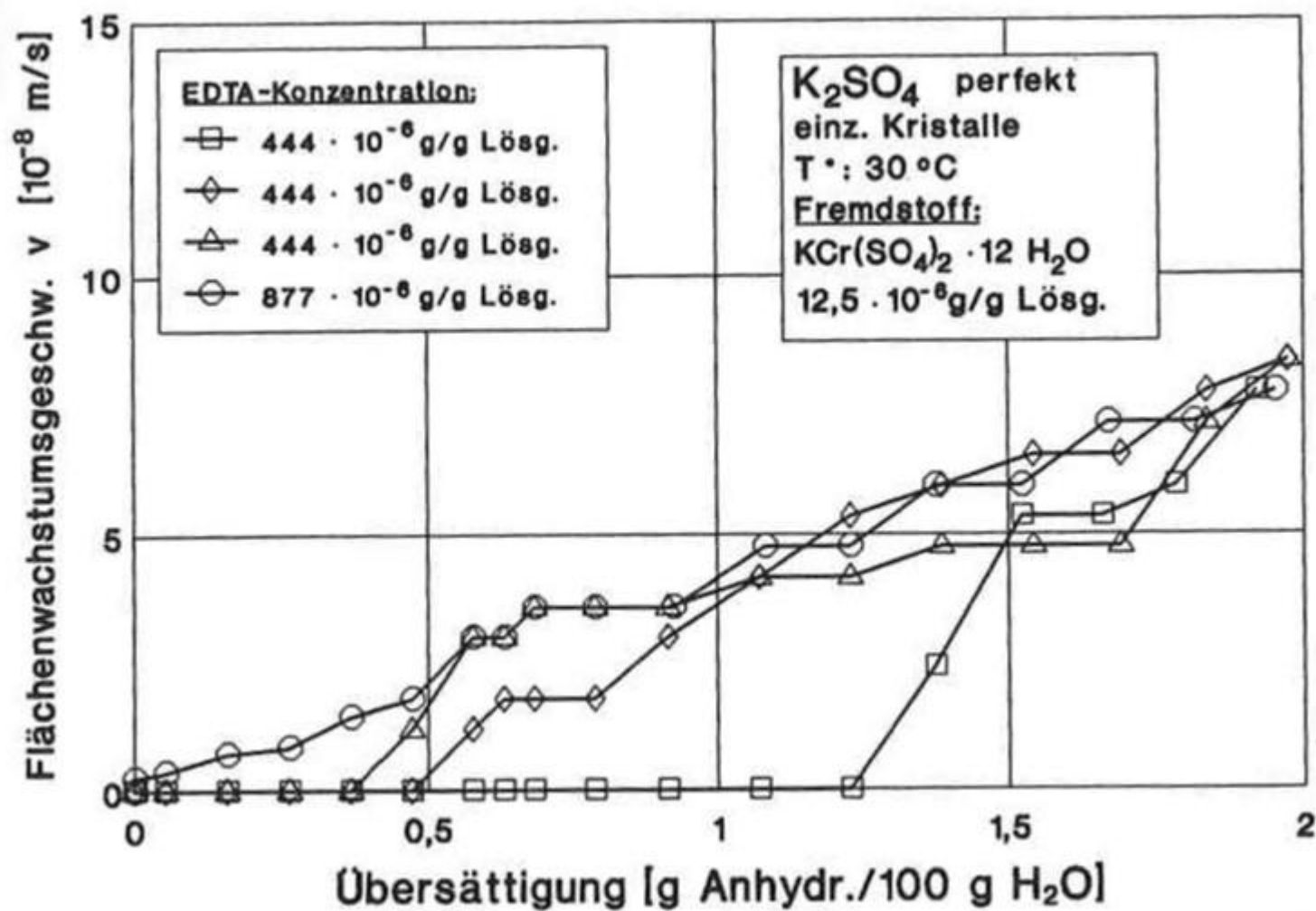


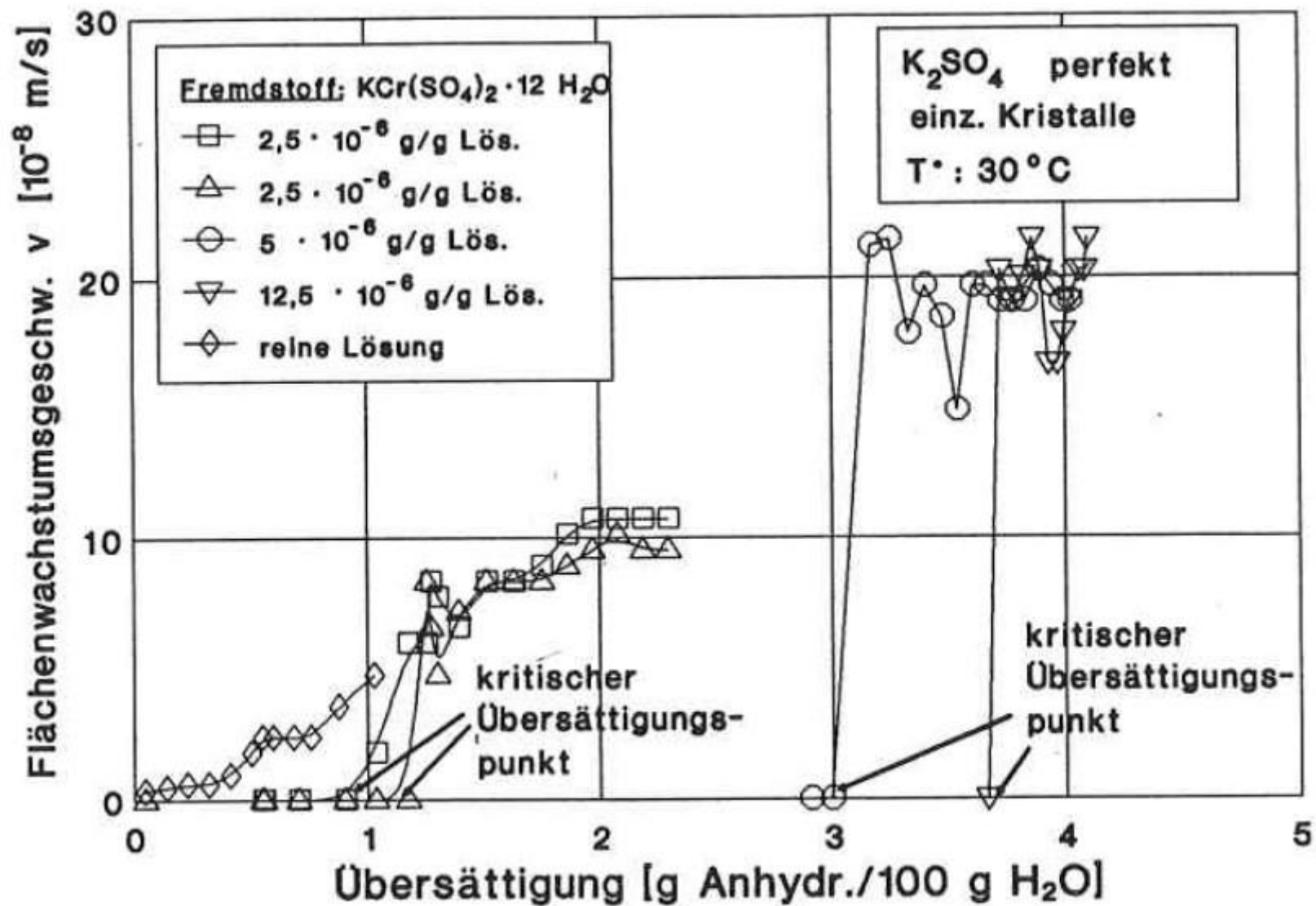
Growth rates of NaCl crystals in the presence of PbCl_2



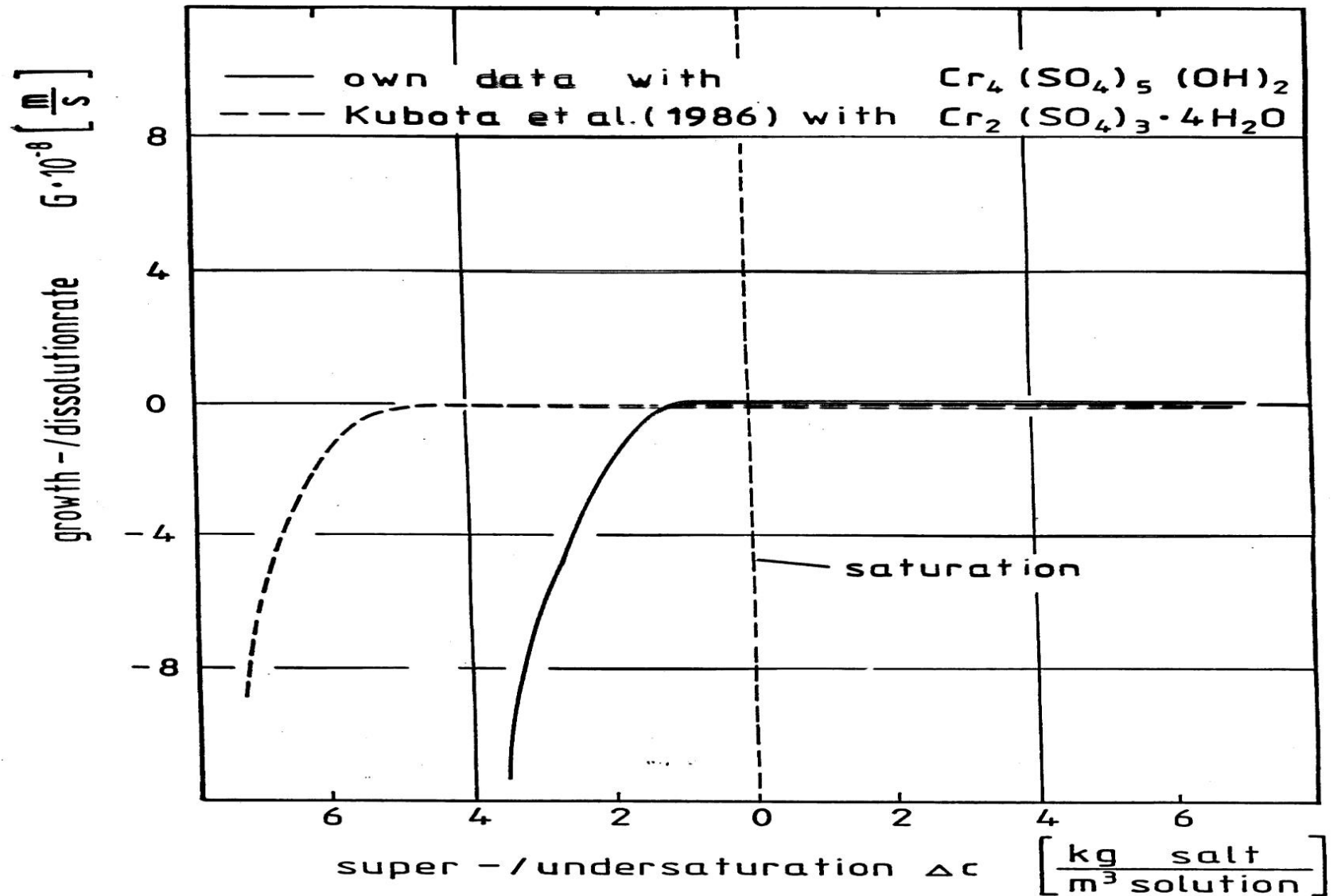
Growth rates of NaCl crystals in the presence of $K_3Fe(CN)_6$



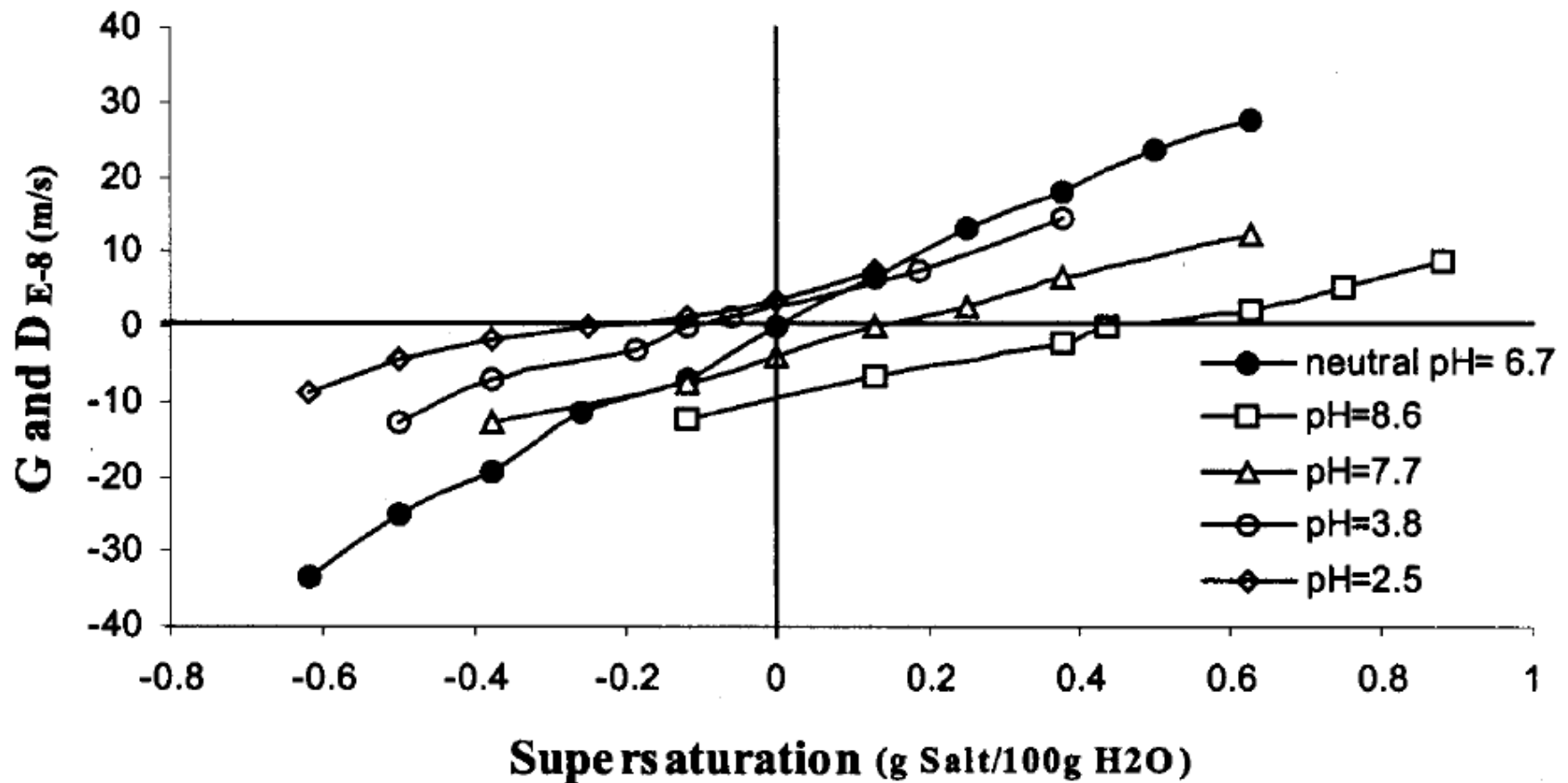




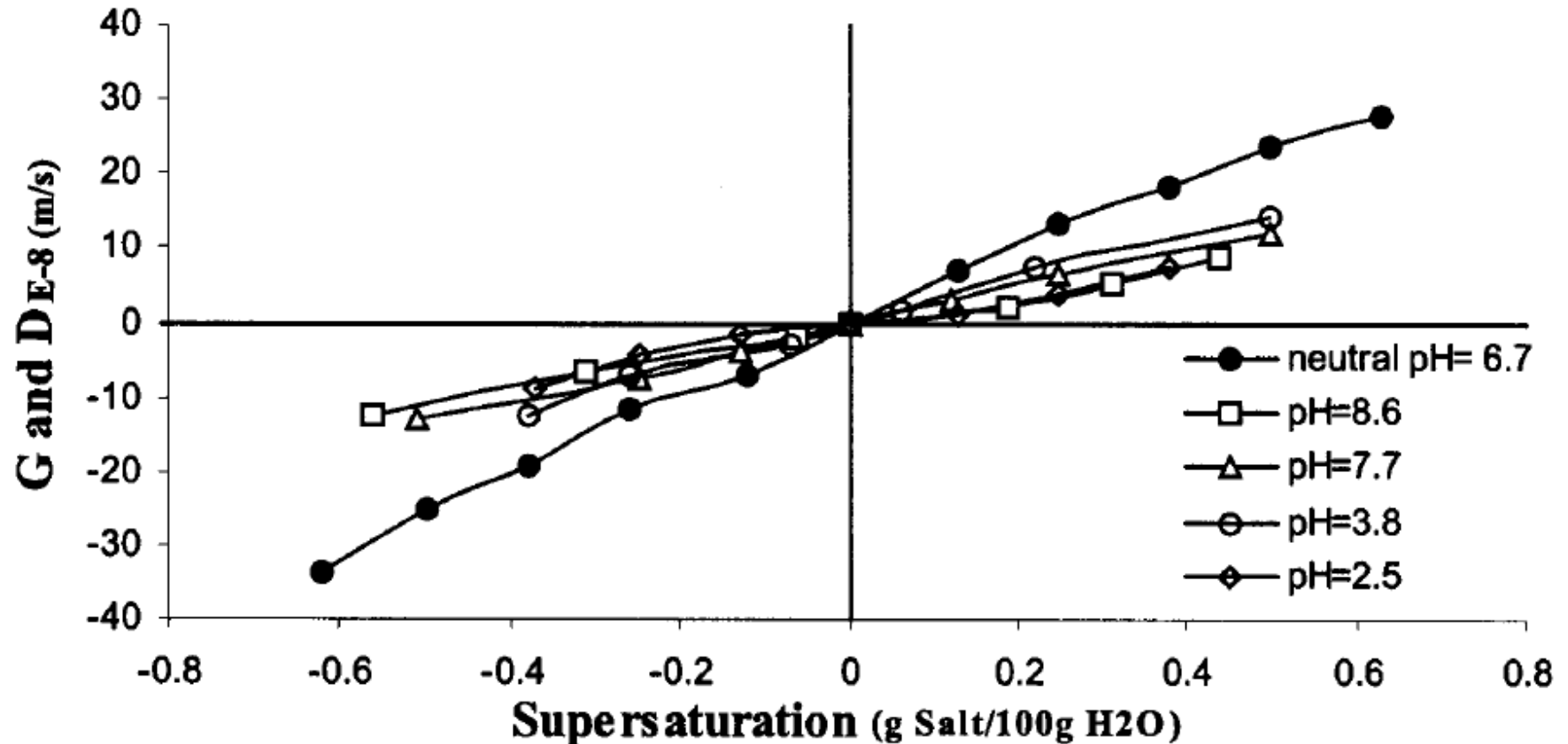
Suppressed dissolution rates of K_2SO_4 by additives



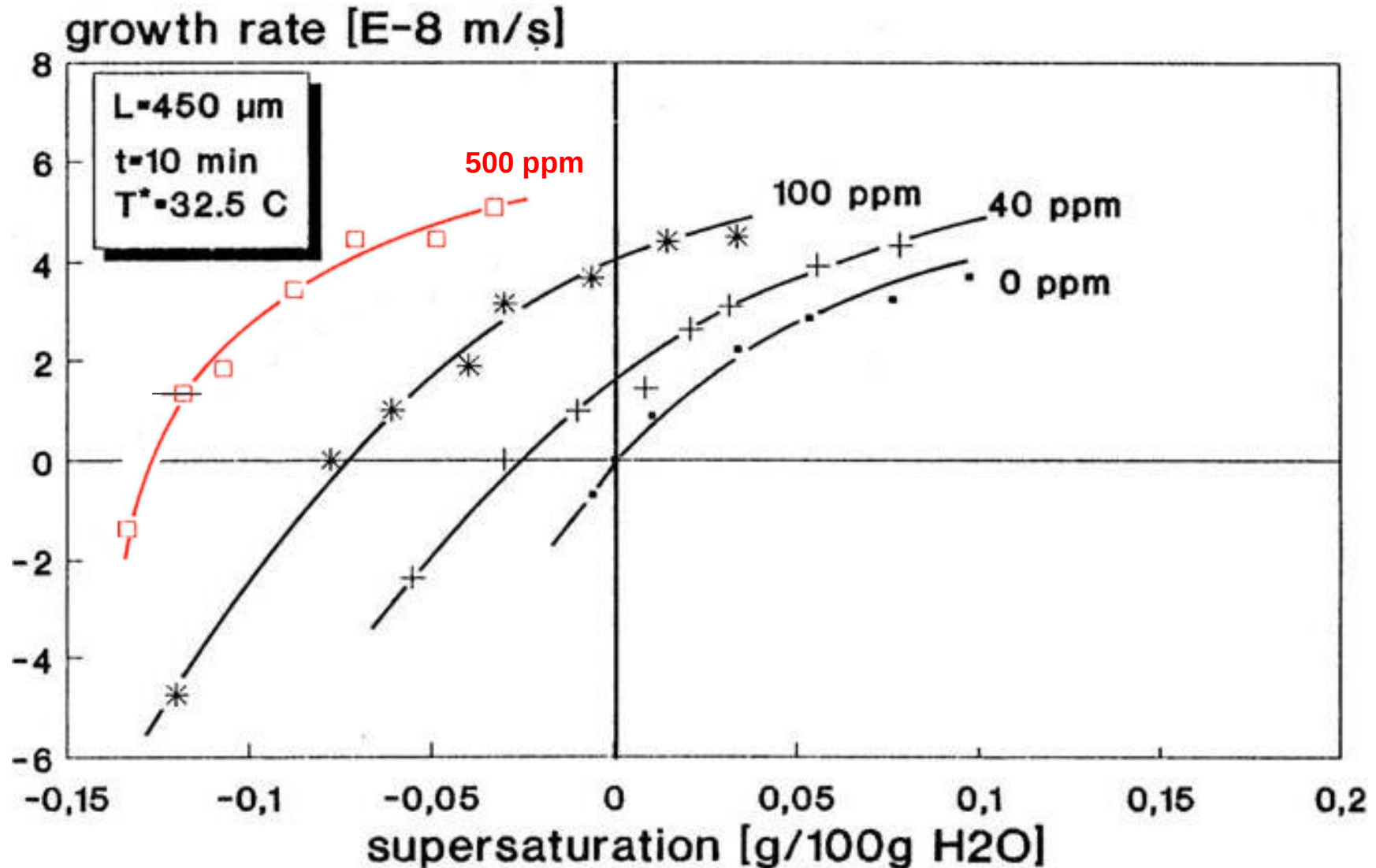
The effect of pH on the growth and dissolution rates of $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ with saturation point correction (kinetic effect)

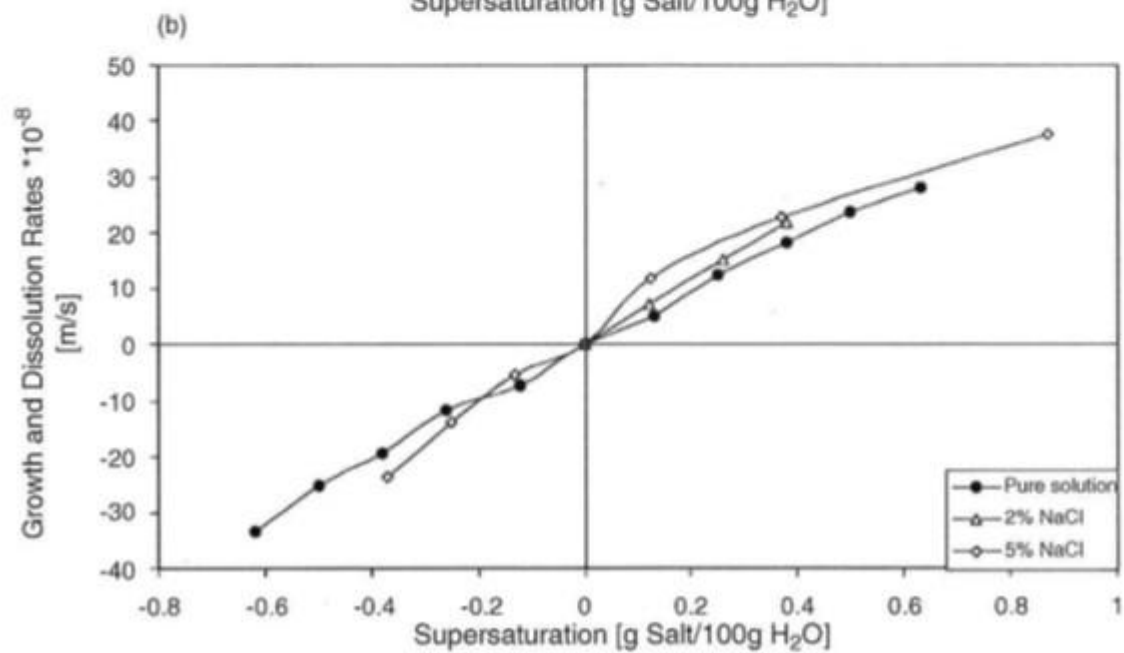
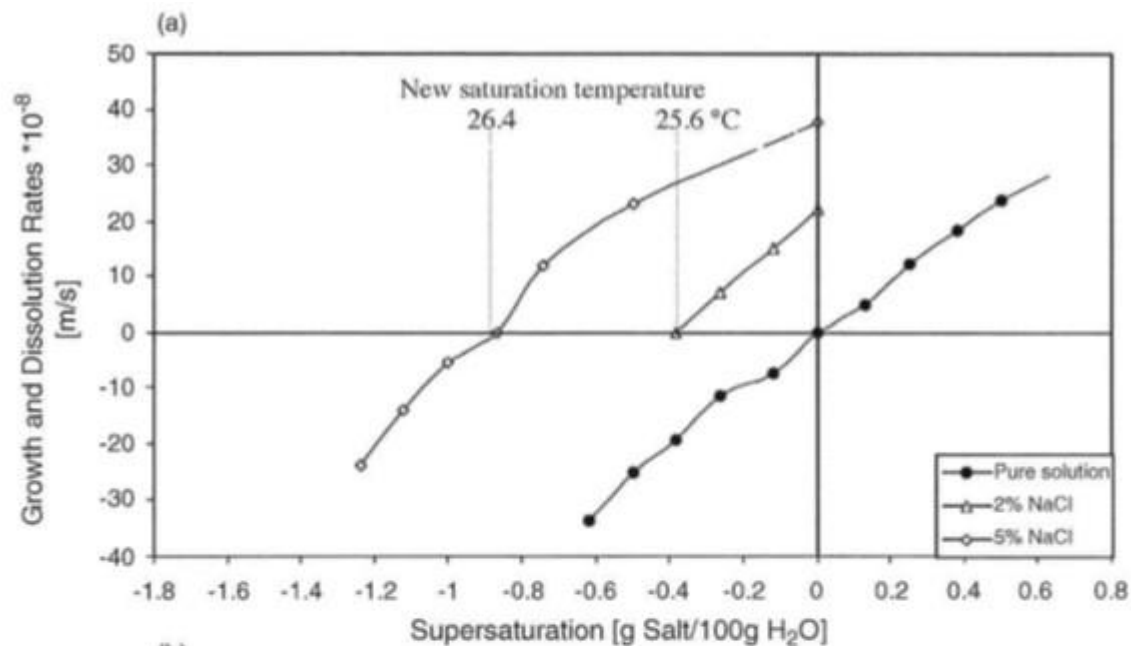


The effect of pH on the growth and dissolution rates of $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ with saturation point correction (kinetic effect)

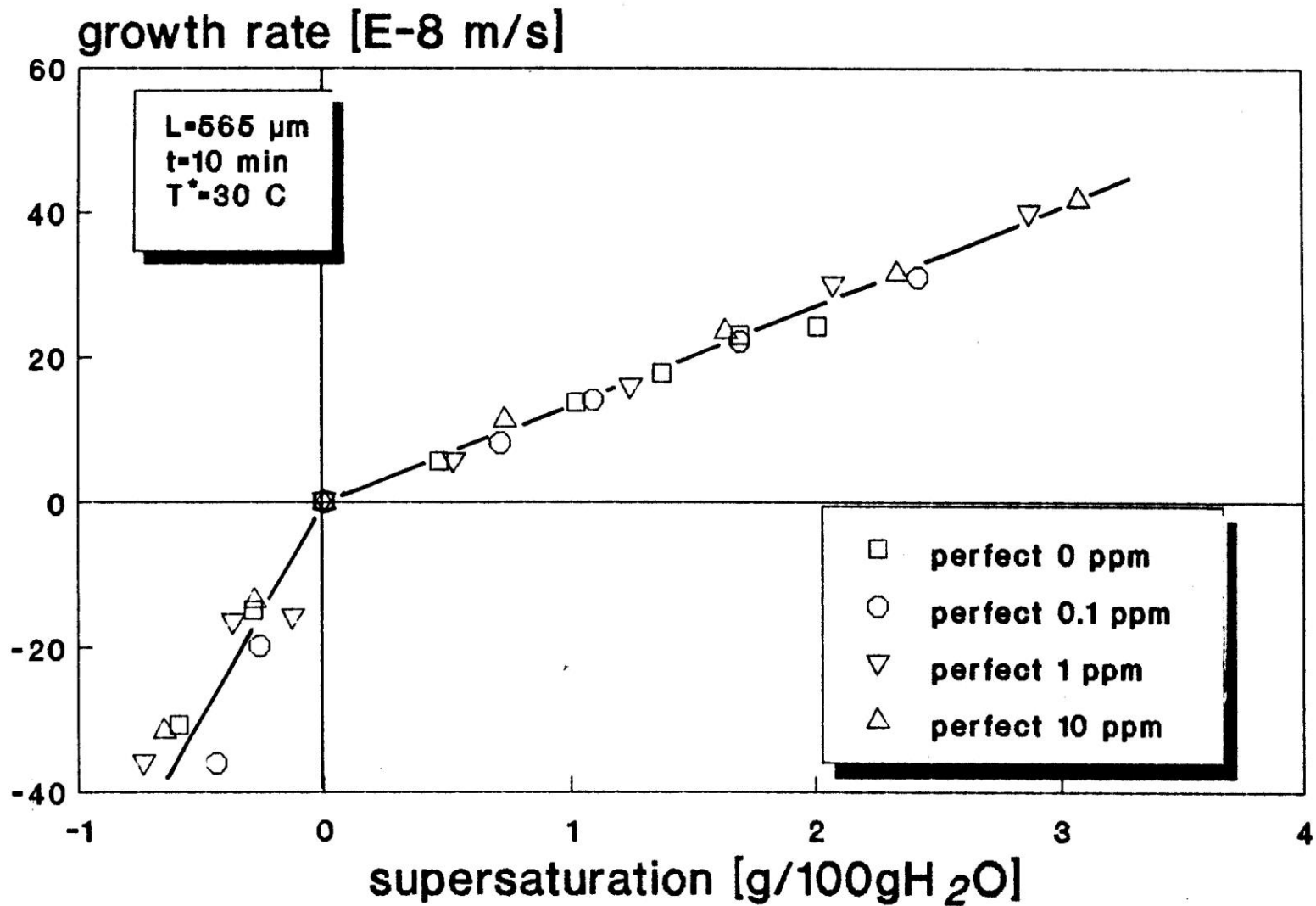


“Apparently accelerated growth” of NaCl by the additive $\text{MgCl}_2 \cdot 6 \text{H}_2\text{O}$





Growth and dissolution rates of $\text{KAl}(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$ in presence of PbCl_2



Effect of Supercooling on Nucleation and Growth Rate

Nucleation rate

$$J = k_n \Delta c_{\max}^n$$

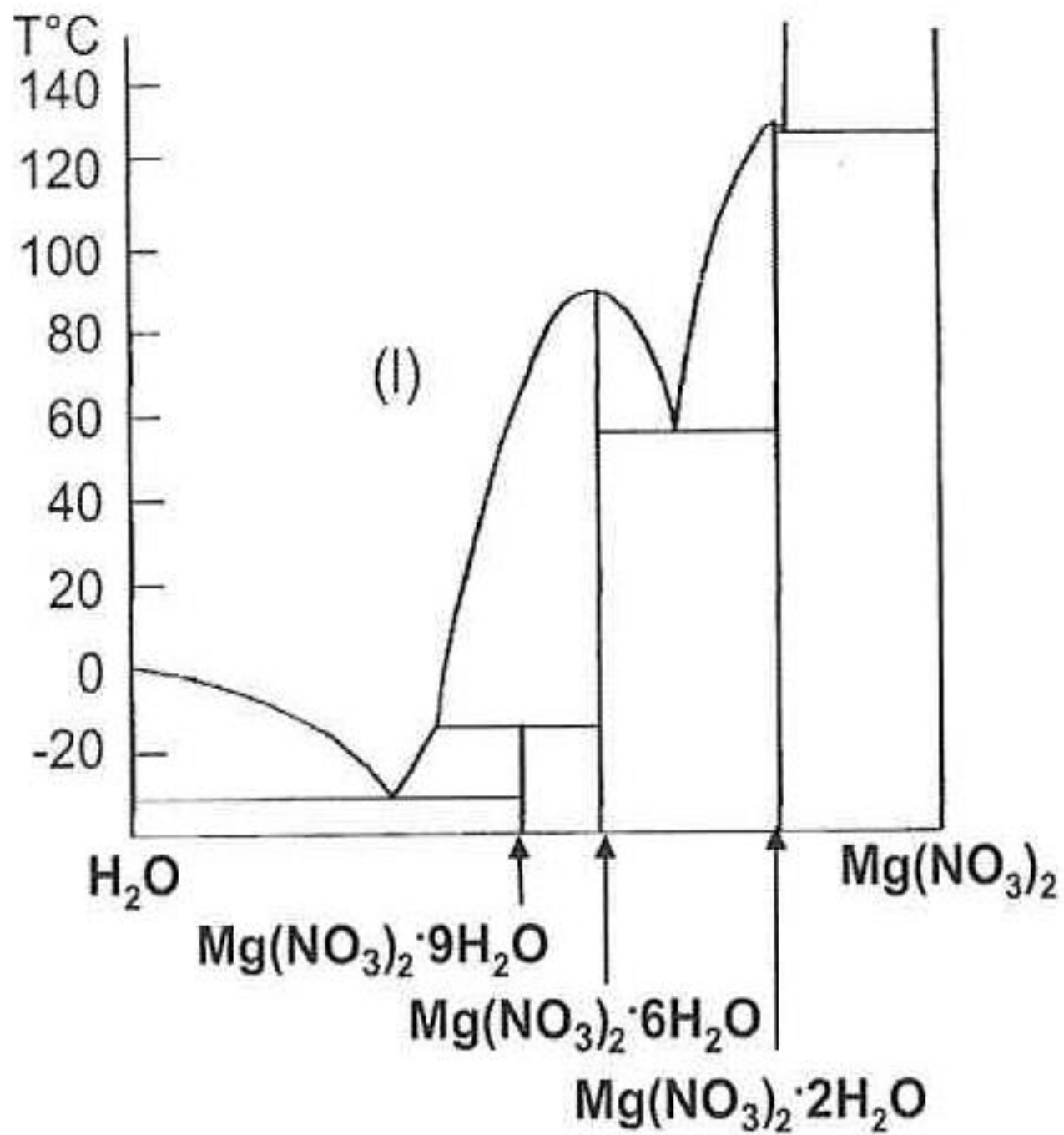
Growth rate

$$G = k_g \Delta c^g$$

$$\text{with } \Delta c_{\max} = \left(\frac{dc^*}{dt} \right) \Delta T_{\max} \text{ and } \Delta c = c - c^*$$

Thank you for your
attention!

- Polymorph**
- Solvat (Hydrat)**



Thermodynamically stable phases of organic and inorganic substances depend on:

- Temperature
- Pressure
- surrounding media:
 - air (relative humidity)
 - solvent (solubility)
- additional: mechanical stress (e.g. grinding)

→ A change of these parameters often leads to metastable modifications

Definition of polymorphism and solvation

- If a substance is capable of crystallizing into different, but **chemically identical** crystalline forms, it is said to exhibit: **polymorphism**.
- If the anhydrous/non-solvate part is identical, but the crystalline forms **differ in the amount of water/solvent** in the lattice, the crystalline form is said to exhibit: **solvation**.

**„No rules exist that allow the prediction of
whether a compound will exhibit
polymorphism...”**

Stephen R. Byrn: „Solid-State Chemistry of Drugs“,
Academic Press, New York, 1982

Industrial relevance of polymorphs and solvates

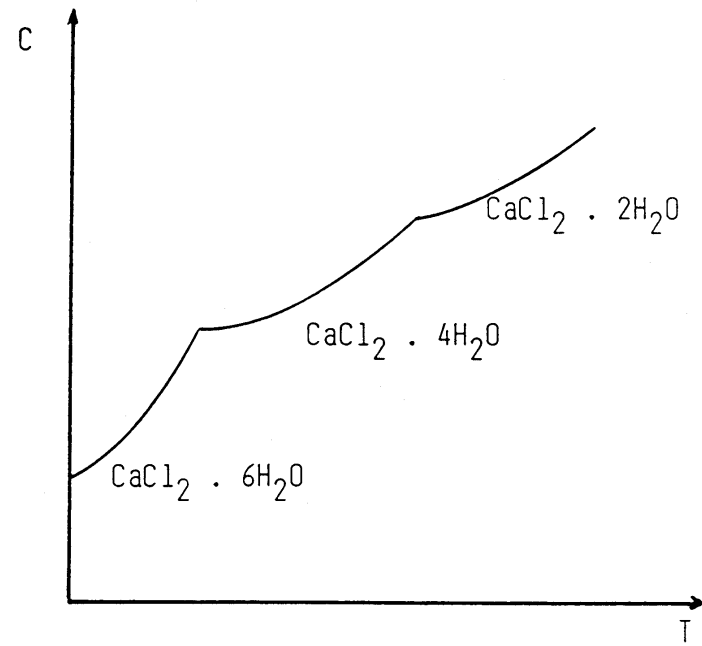
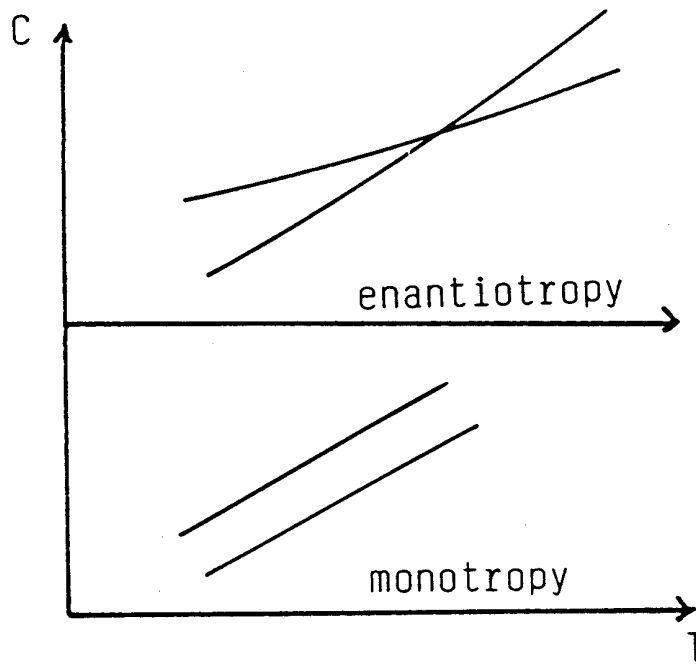
- The change in physical properties such as:
 - bioavailability
 - dissolution behaviour
 - suspension syringeability
 - chemical and physical stability
 - solid-liquid-separation
 - drying
 - packaging
 - tableting

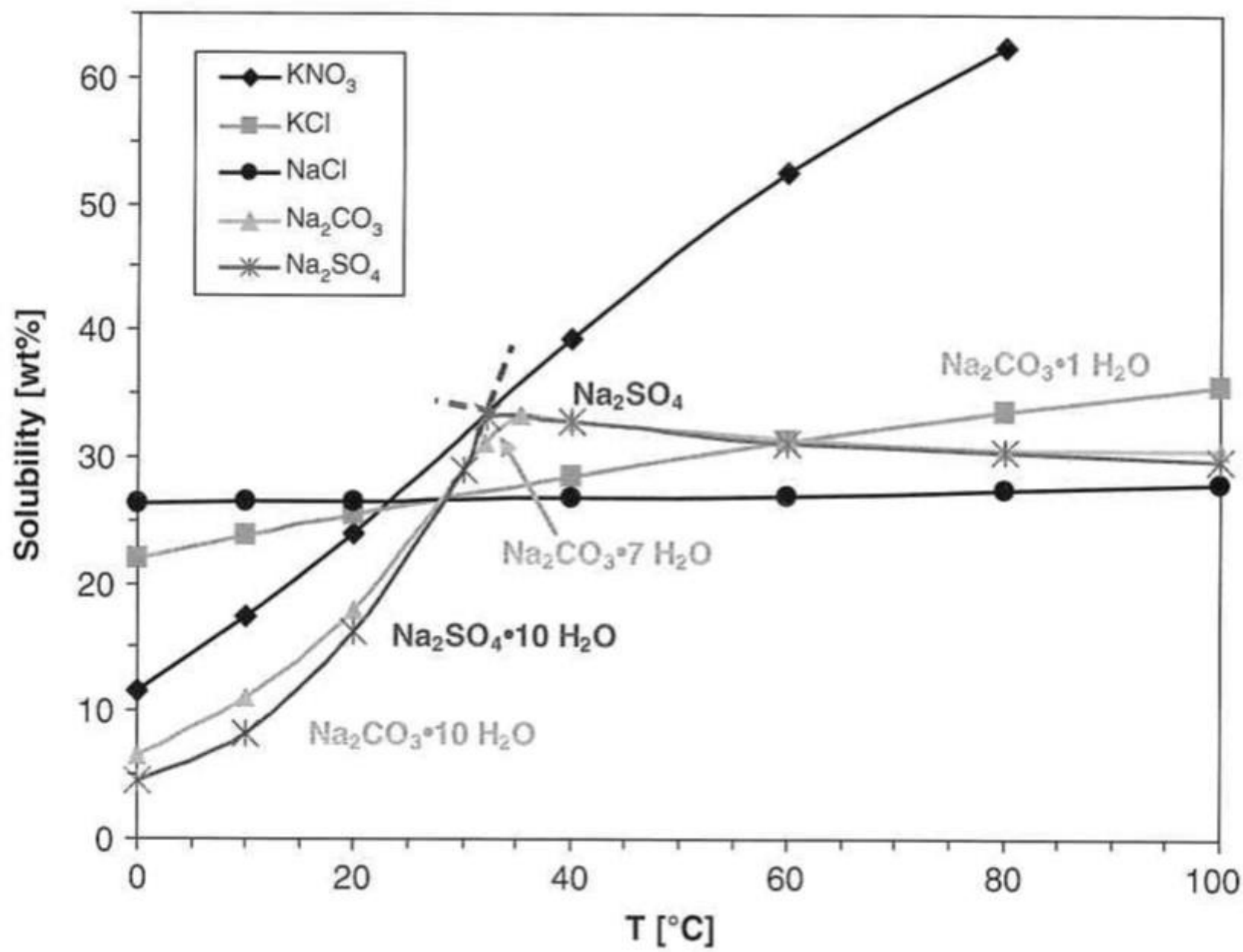
Important:

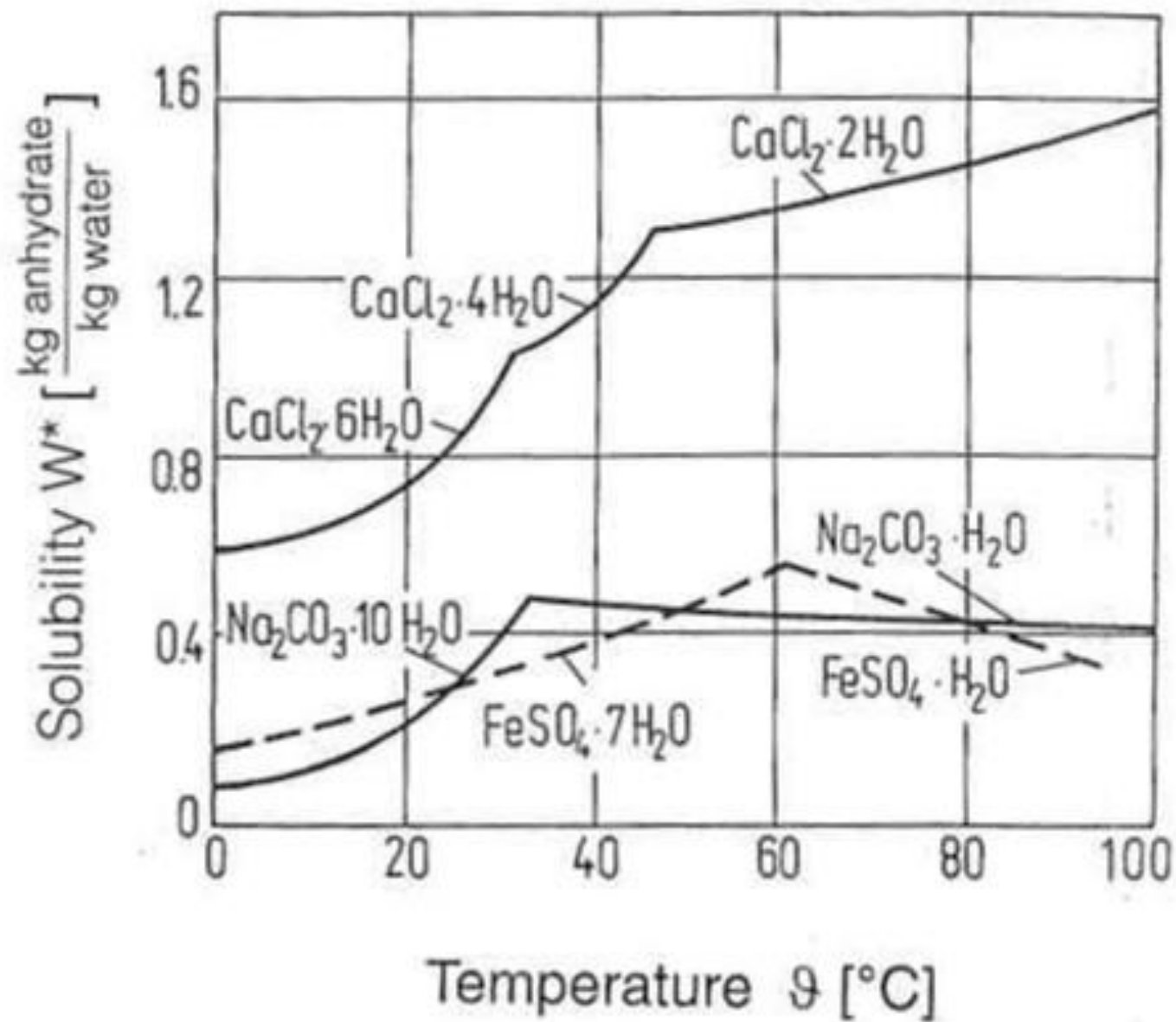
- Control of the experimental parameters (temperature, pressure, concentration) ***to avoid or generate metastable modifications***

Phase transformations: principles

(left) schematic solubility diagrams for polymorphic systems exhibiting enantiotropic and monotropic phase transformations, (right) schematic solubility diagram for CaCl_2 -hydrate structures exhibiting enantiotropic phase transformations





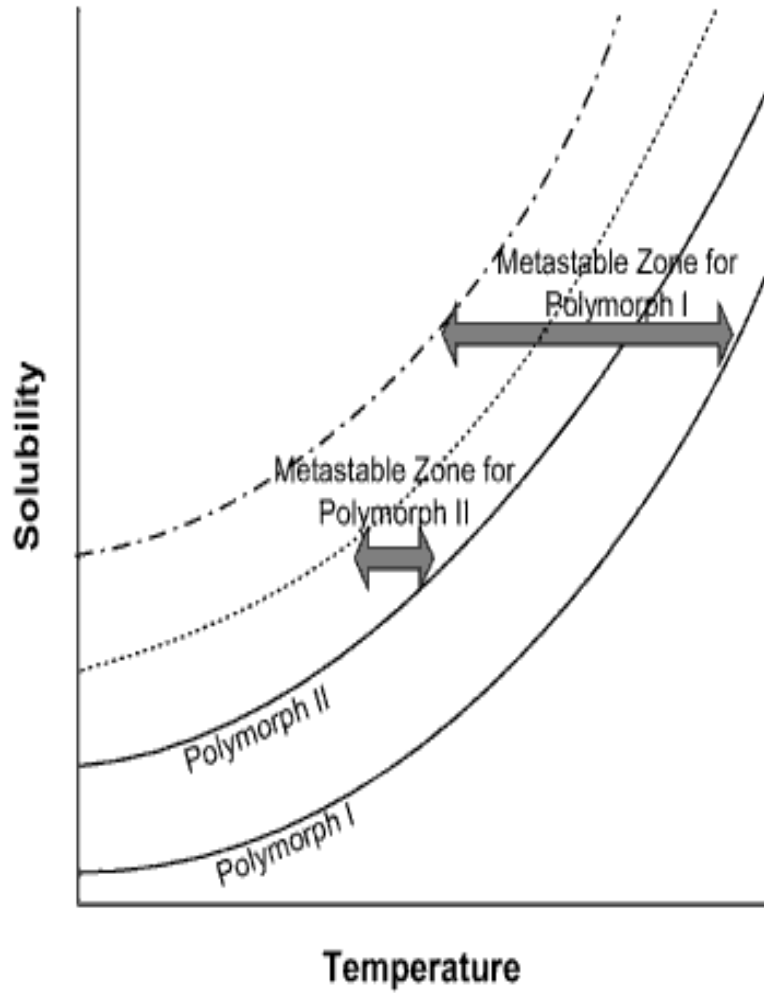
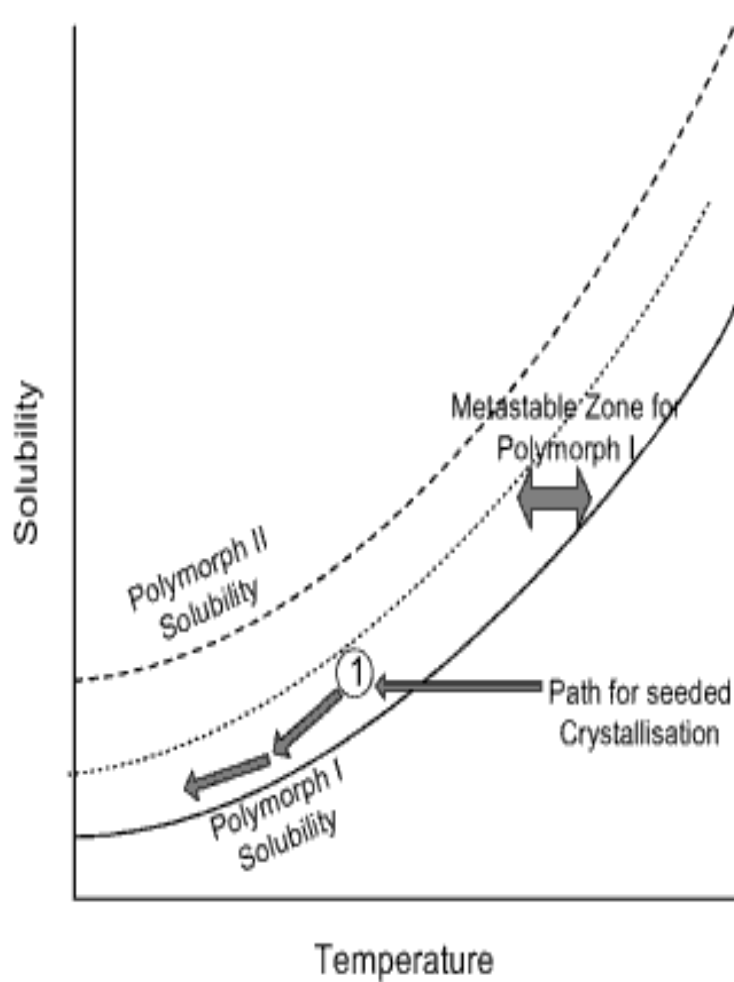


Different units to express a solution concentration of 10 g Na_2SO_4 in 100 g water

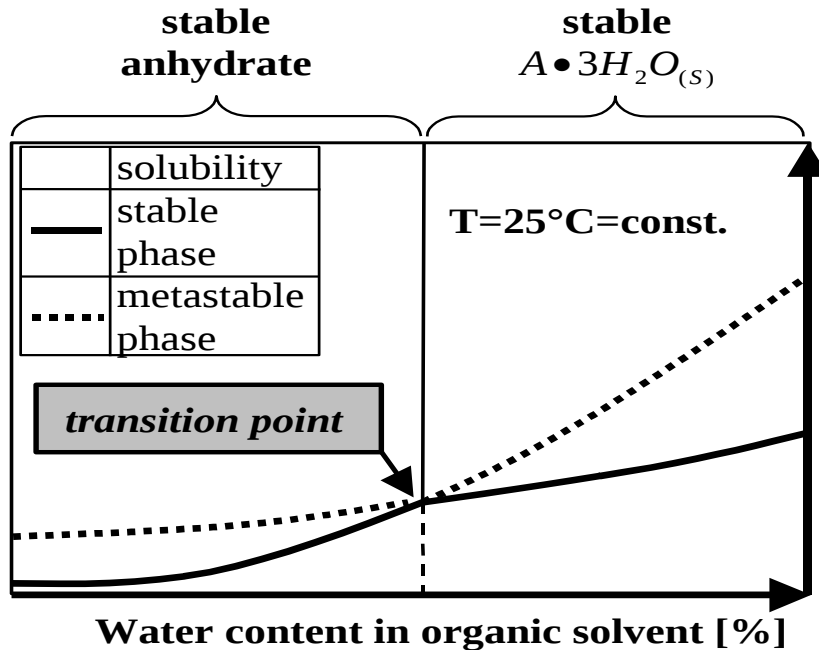
(Substance available as anhydrous Na_2SO_4 and Glauber's salt ($\text{Na}_2\text{SO}_4 \cdot 10 \text{H}_2\text{O}$))

- 9.1 g Na_2SO_4 / 100 g solution = 9.1 wt%
- 12.7 mol Na_2SO_4 / 1000 mol H_2O
- 0.7 mol Na_2SO_4 / 1000 g H_2O
- 26 g $\text{Na}_2\text{SO}_4 \cdot 10 \text{H}_2\text{O}$ / 100 g H_2O
- 20.6 g $\text{Na}_2\text{SO}_4 \cdot 10 \text{H}_2\text{O}$ / 100 g solution

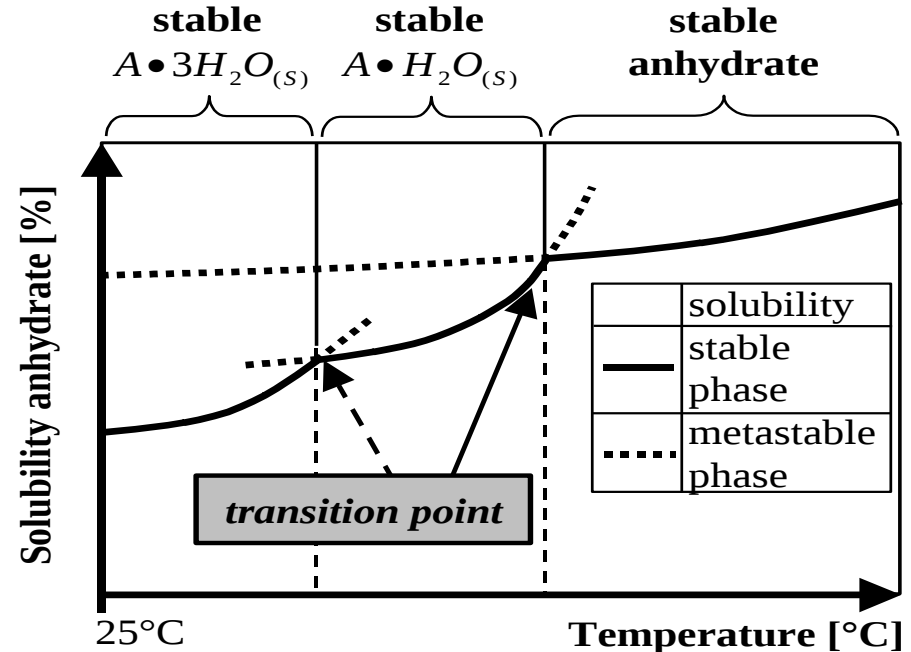
Different possibilities of MSZW of monotropic system, overlapped MSZ of form I (right)



Comparison of *transition points* in binary and ternary systems

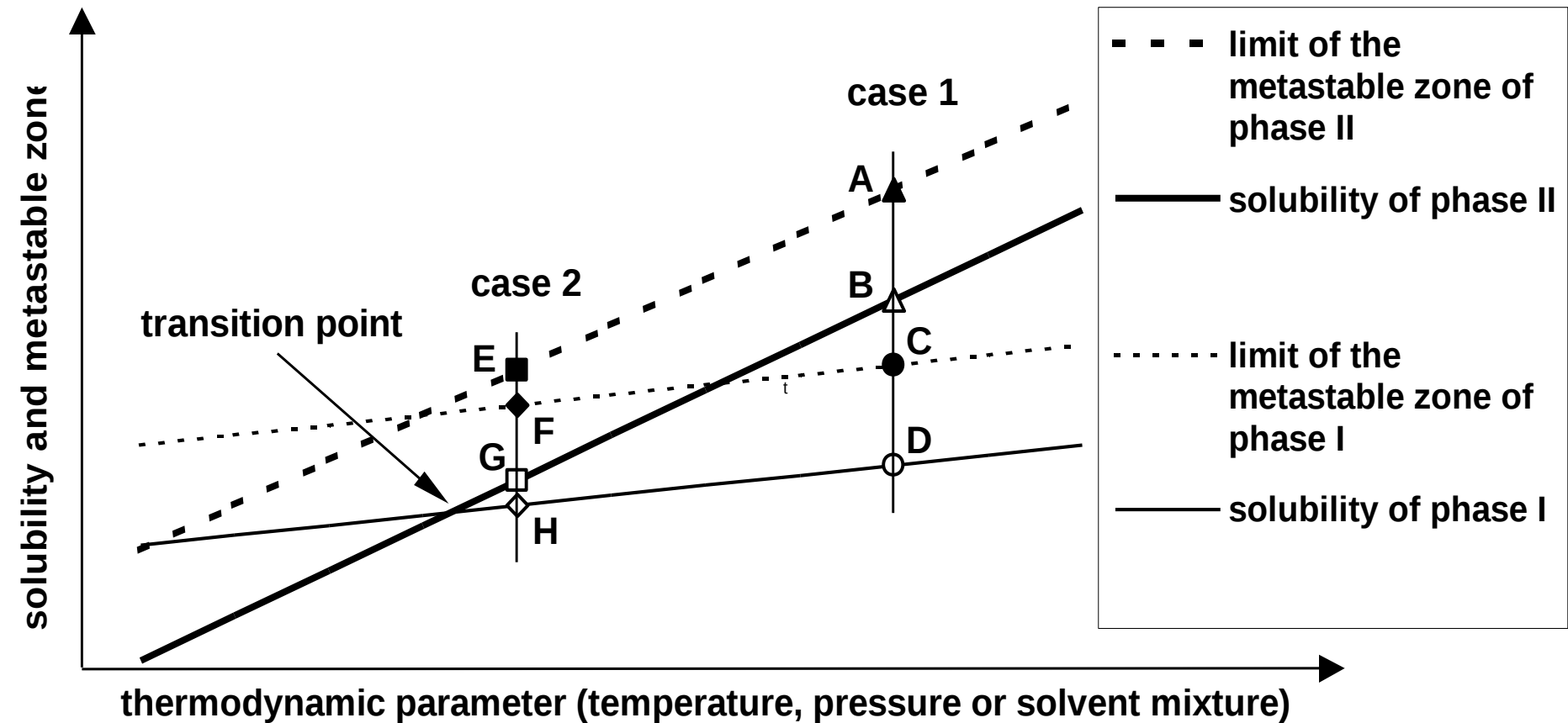


a) ternary system
(anhydrate A - water - organic solvent),
 $p, T = \text{const.}$



b) binary system
(anhydrate A - water),
 $p = \text{const.}$

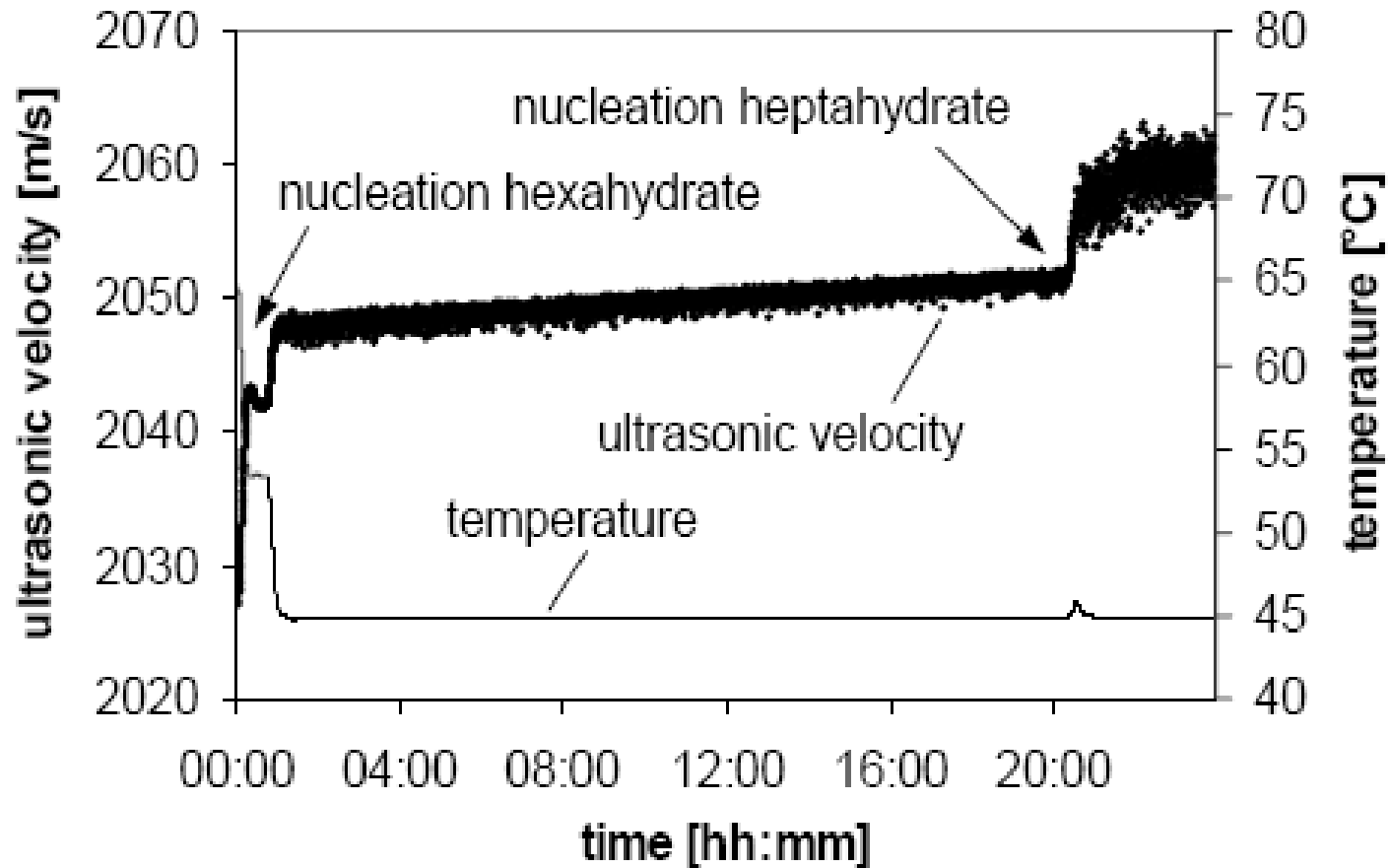
Metastable Zone



Example

Solvates with additives

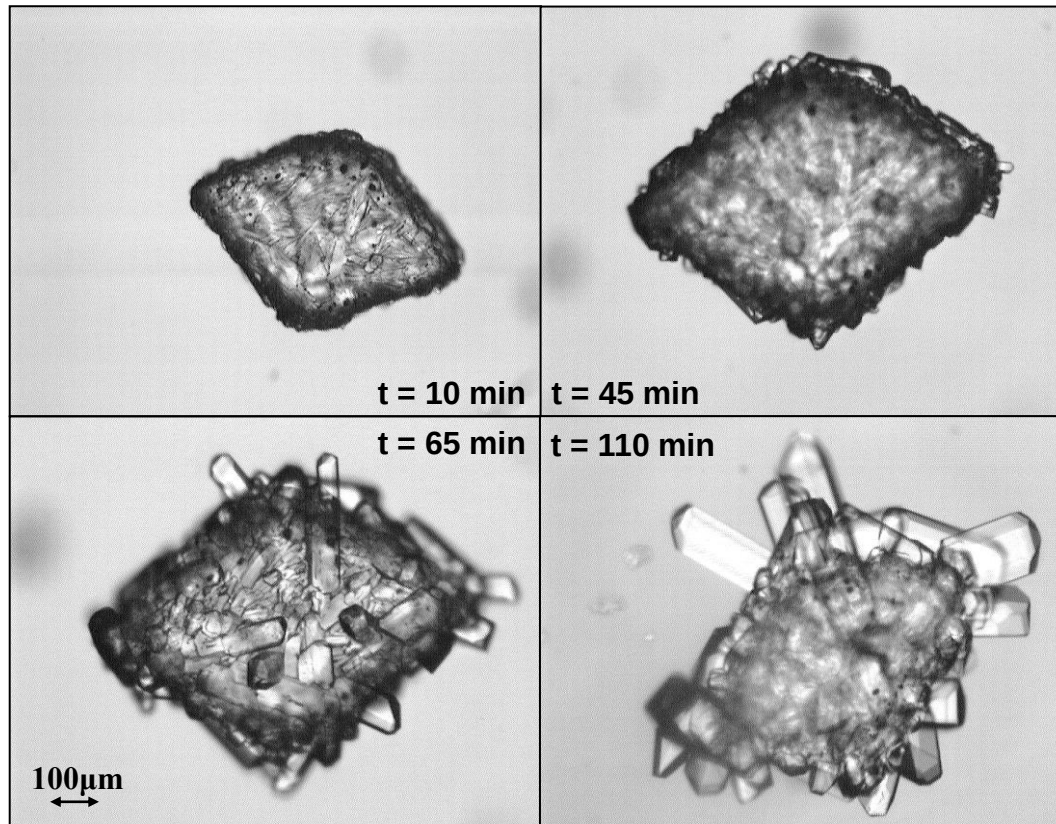
- Phase transformation of magnesium sulfate heptahydrate to the hexahydrate



Example

making use of the kinetic - time related processes - not only thermodynamics

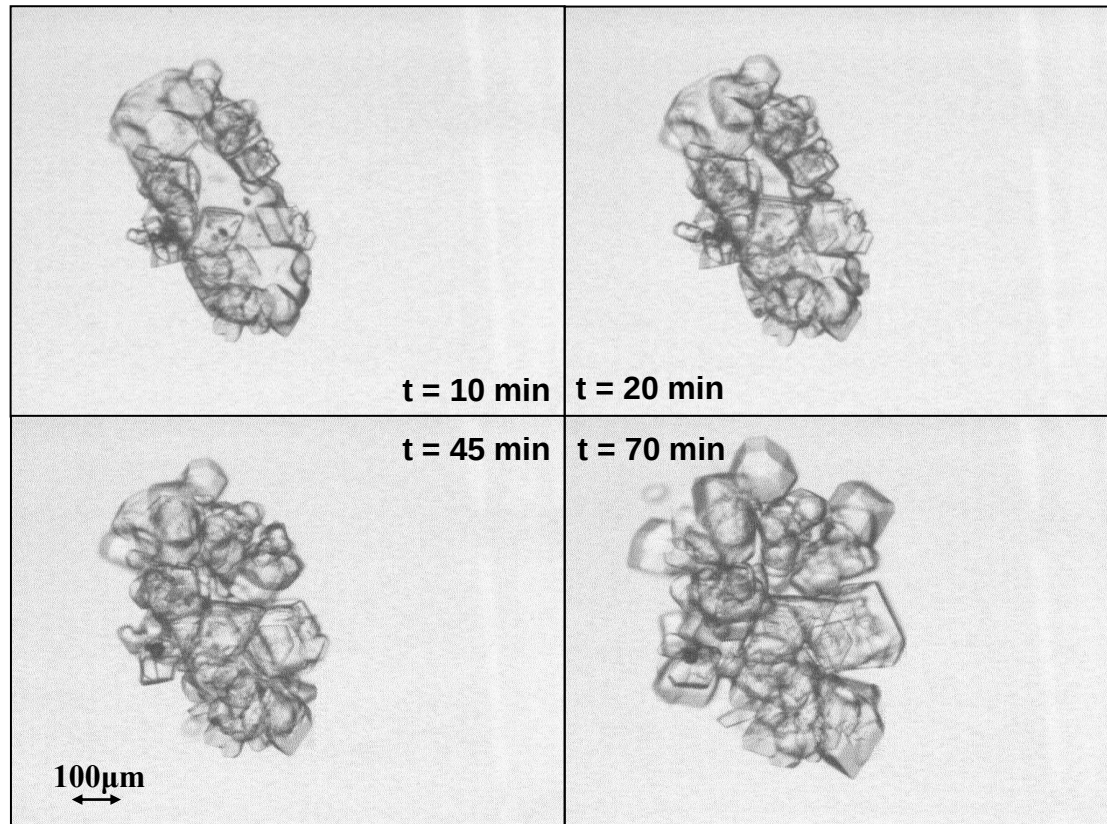
- Phase transformation of magnesium sulfate hexahydrate to the heptahydrate



Example

making use of the kinetic - time related processes - not only thermodynamics

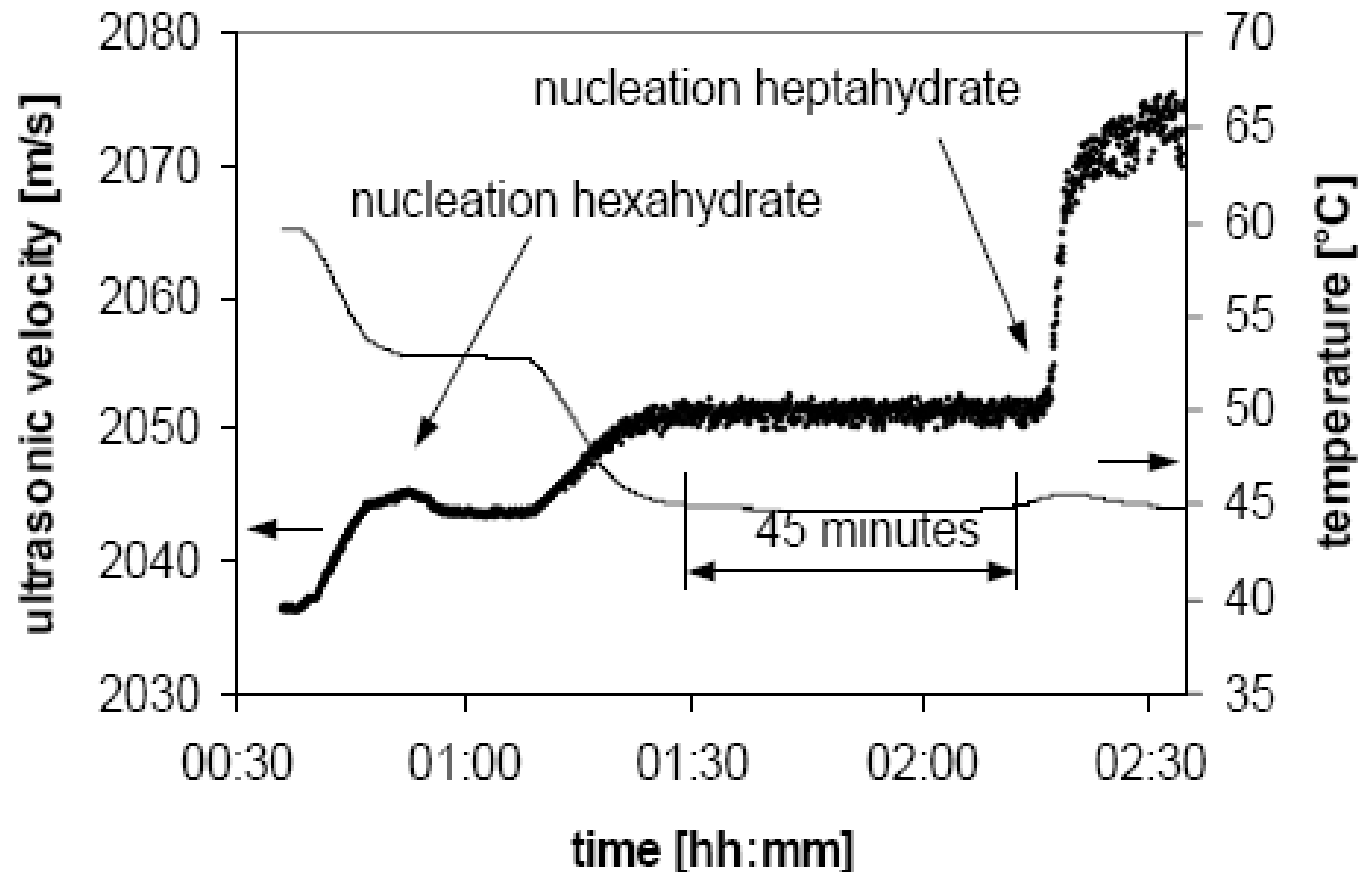
- Phase transformation of magnesium sulfate heptahydrate to the hexahydrate



Example

Solvates with additives

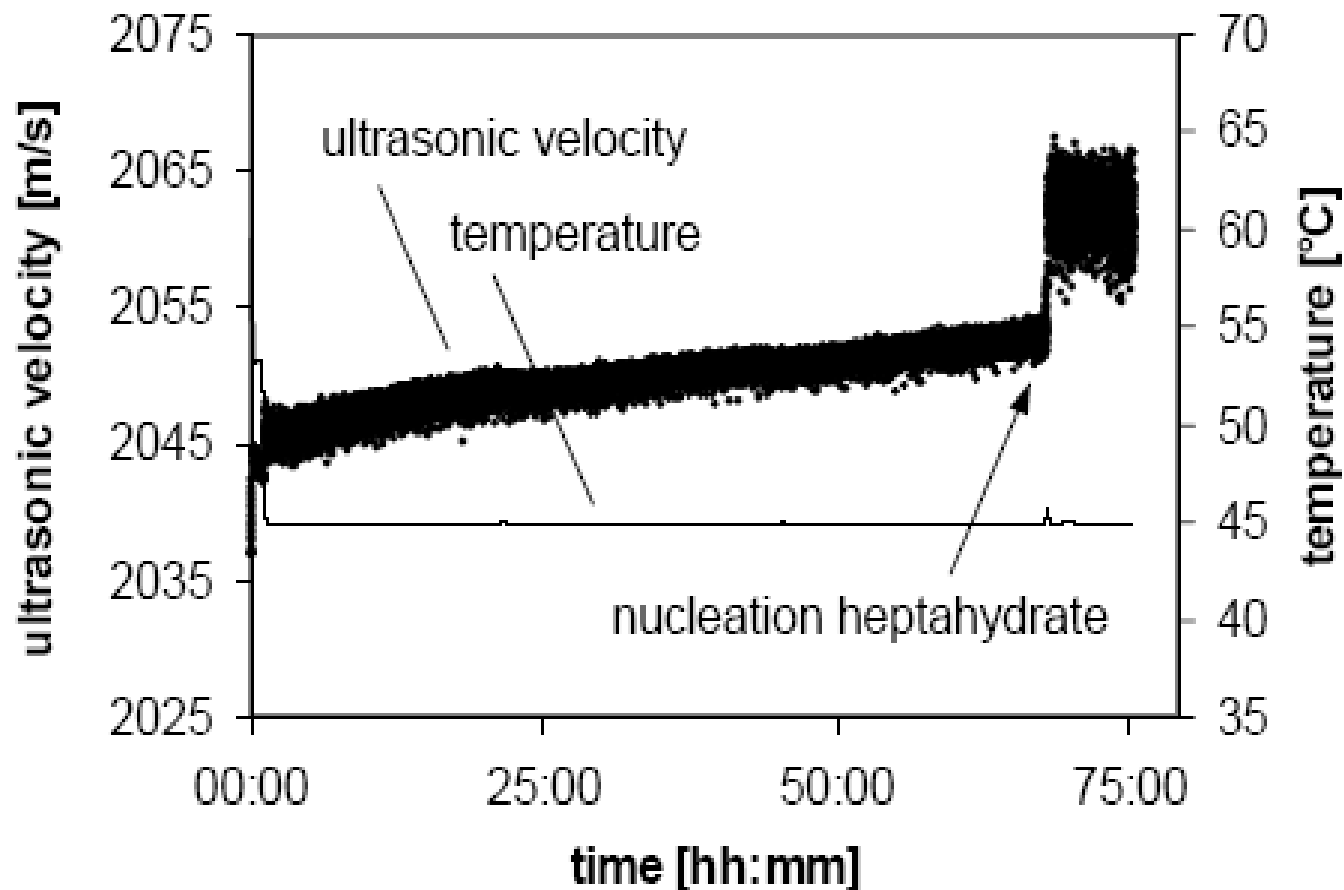
- Phase transformation of magnesium sulfate hydrate with 0.5 wt% KCl



Example

Solvates with additives

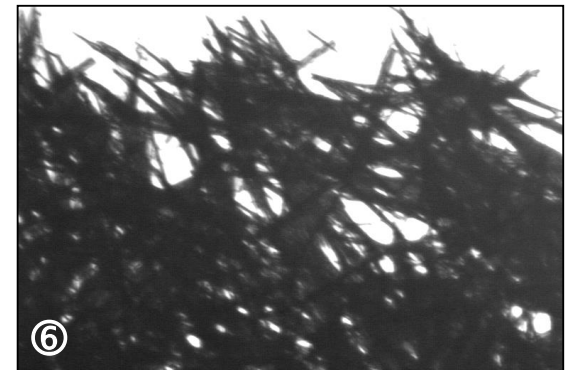
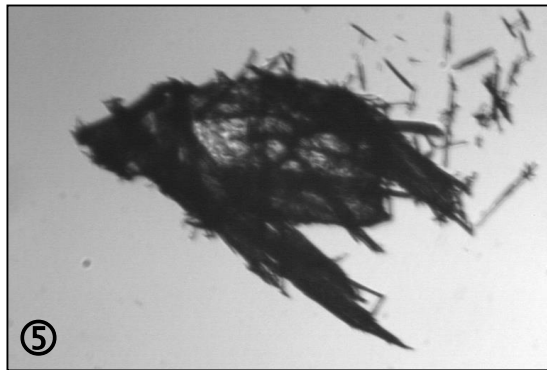
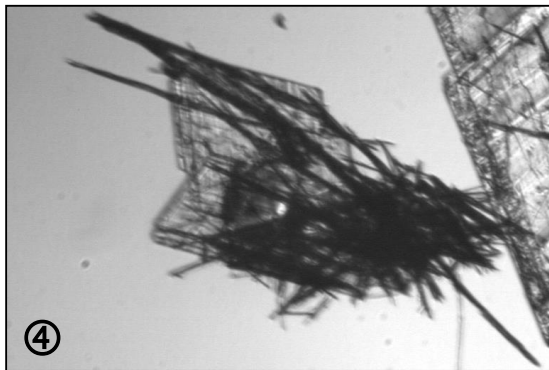
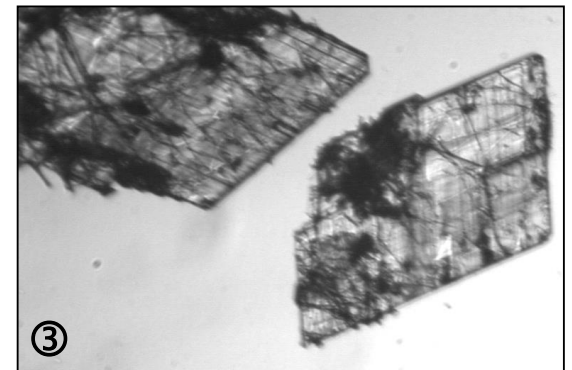
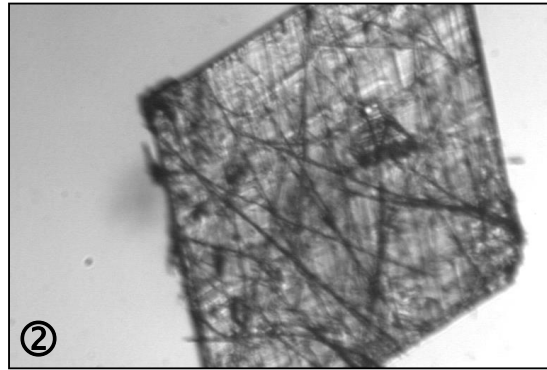
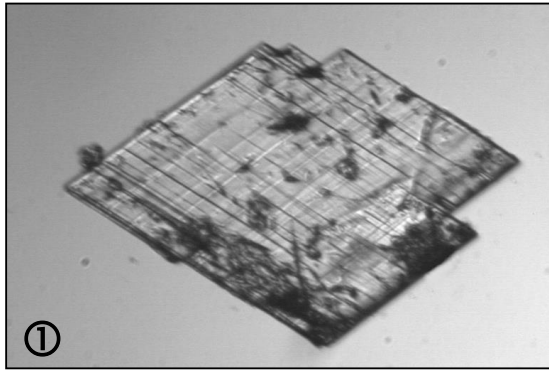
- Phase transformation of magnesium sulfate hydrate with 0.5 wt% borax



Example

making use of the kinetic - time related processes - not only thermodynamics

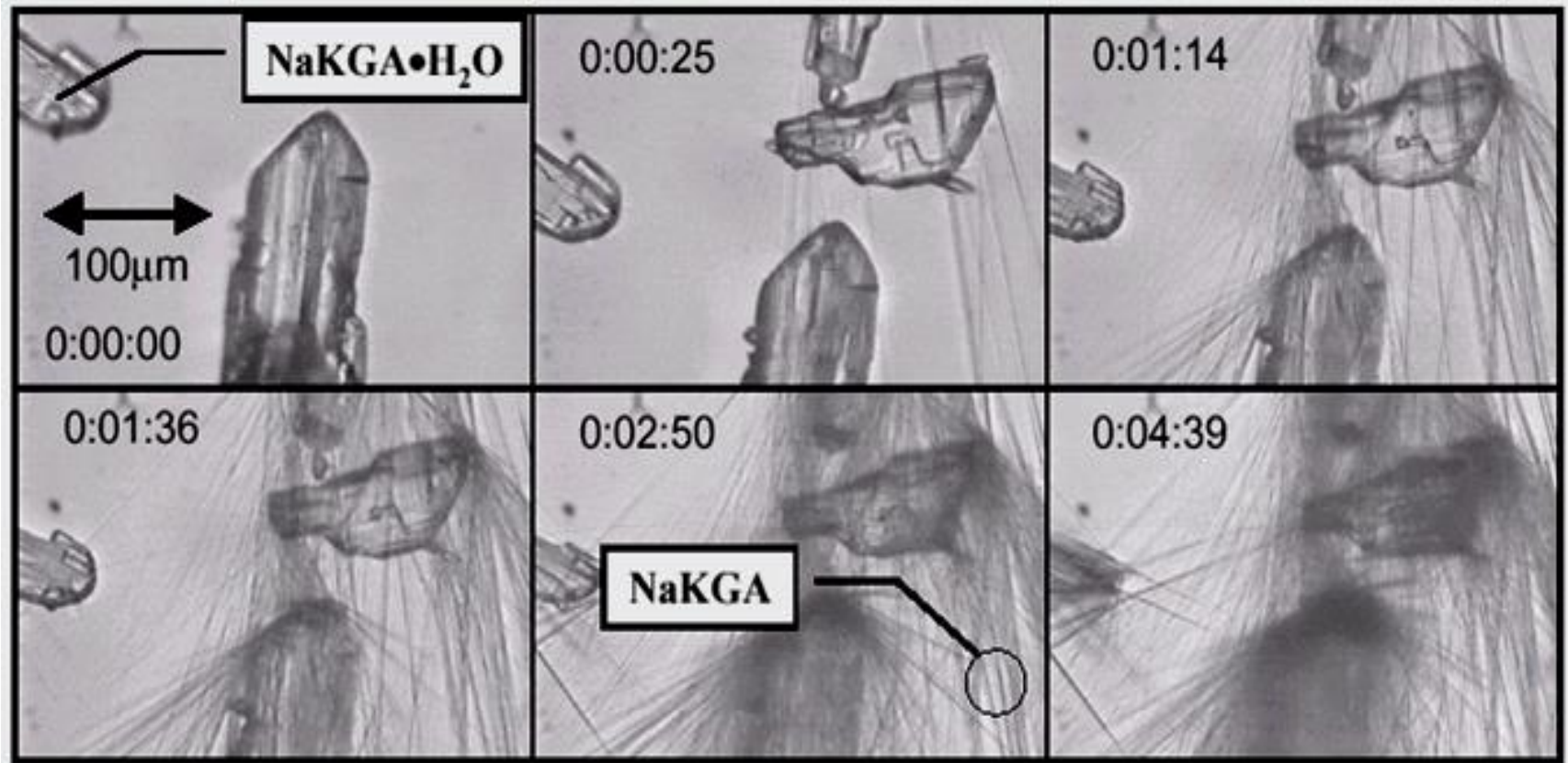
- Phase transformation of anhydrous L-phenylalanine to the monohydrate ^[1]



[1] Strege, C.: *On (Pseudo-) Polymorphic Phase Transformations*, Dissertation, Martin-Luther-Universität Halle-Wittenberg, PhD-thesis, Martin-Luther-Universität Halle-Wittenberg, online-publication: <http://sundoc.bibliothek.uni-halle.de/diss-online/04/04H318/index.htm>, 2004.

Phase Transition

- Sodium-2-keto-L-gulonate-monohydrate (skgm)
- growth rate of the needles: 10^{-5} - 10^{-4} ms⁻¹



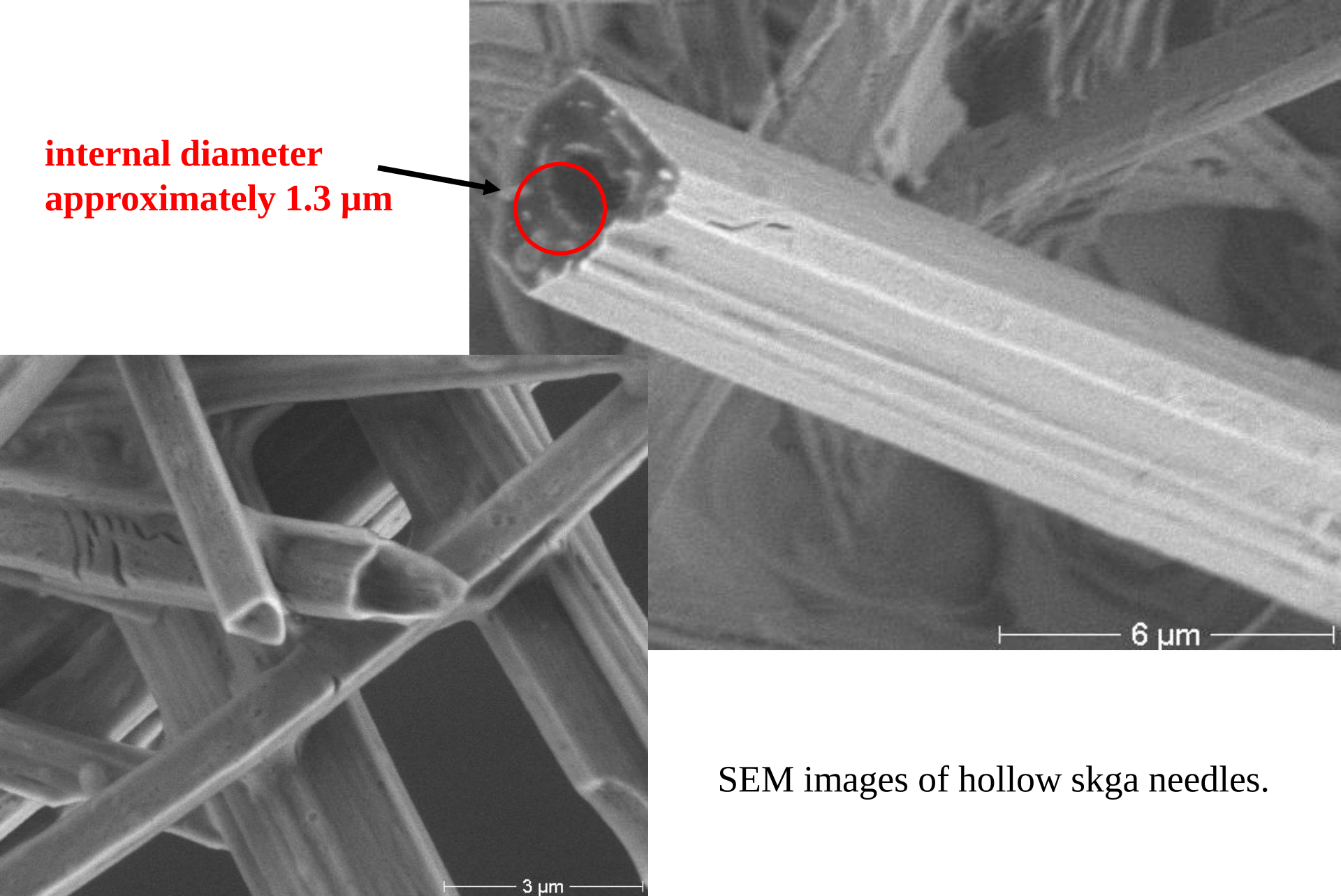
Needle growth during the transformation of skgm in methanol

Solvent mediated phase transitions

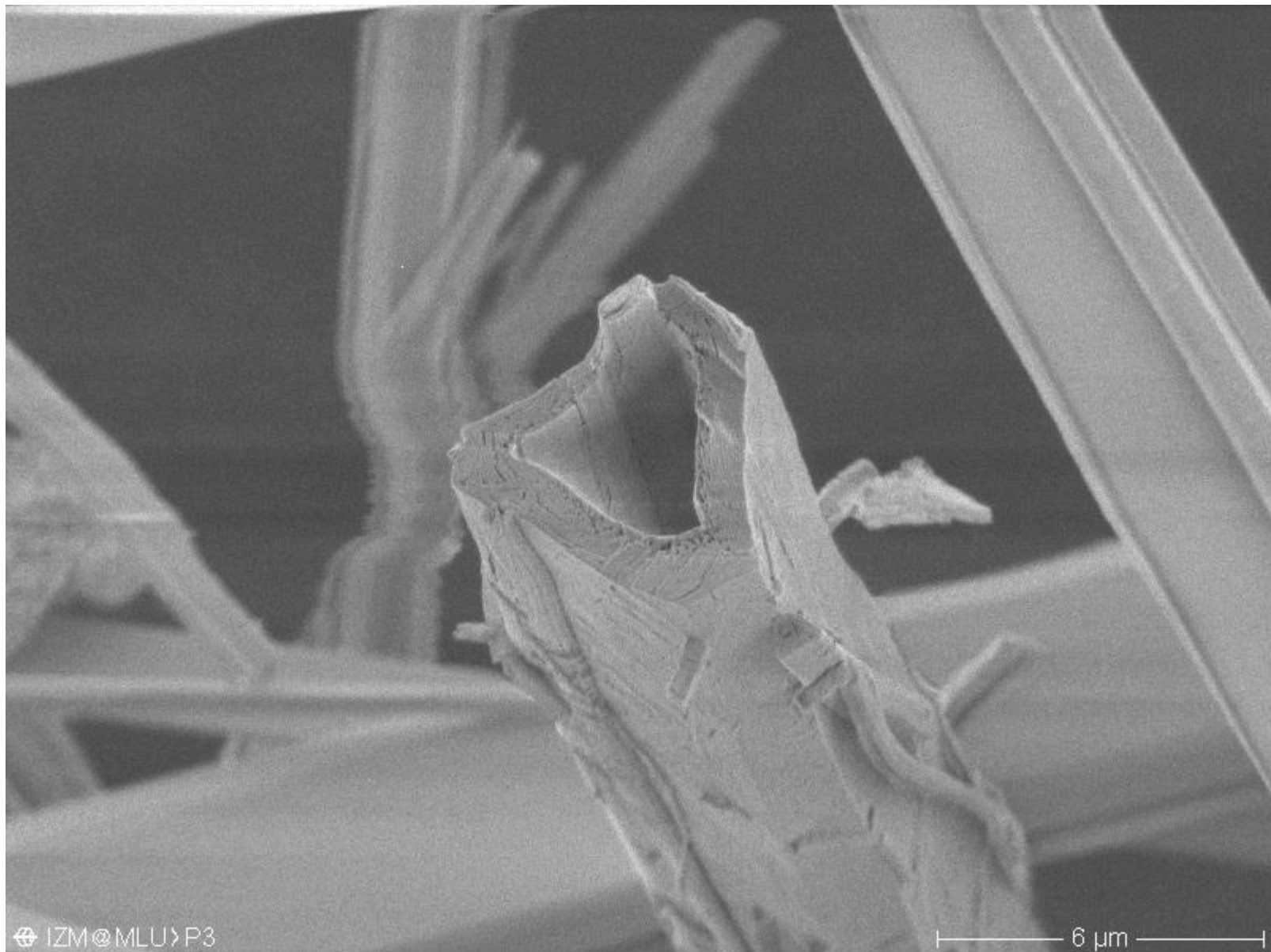


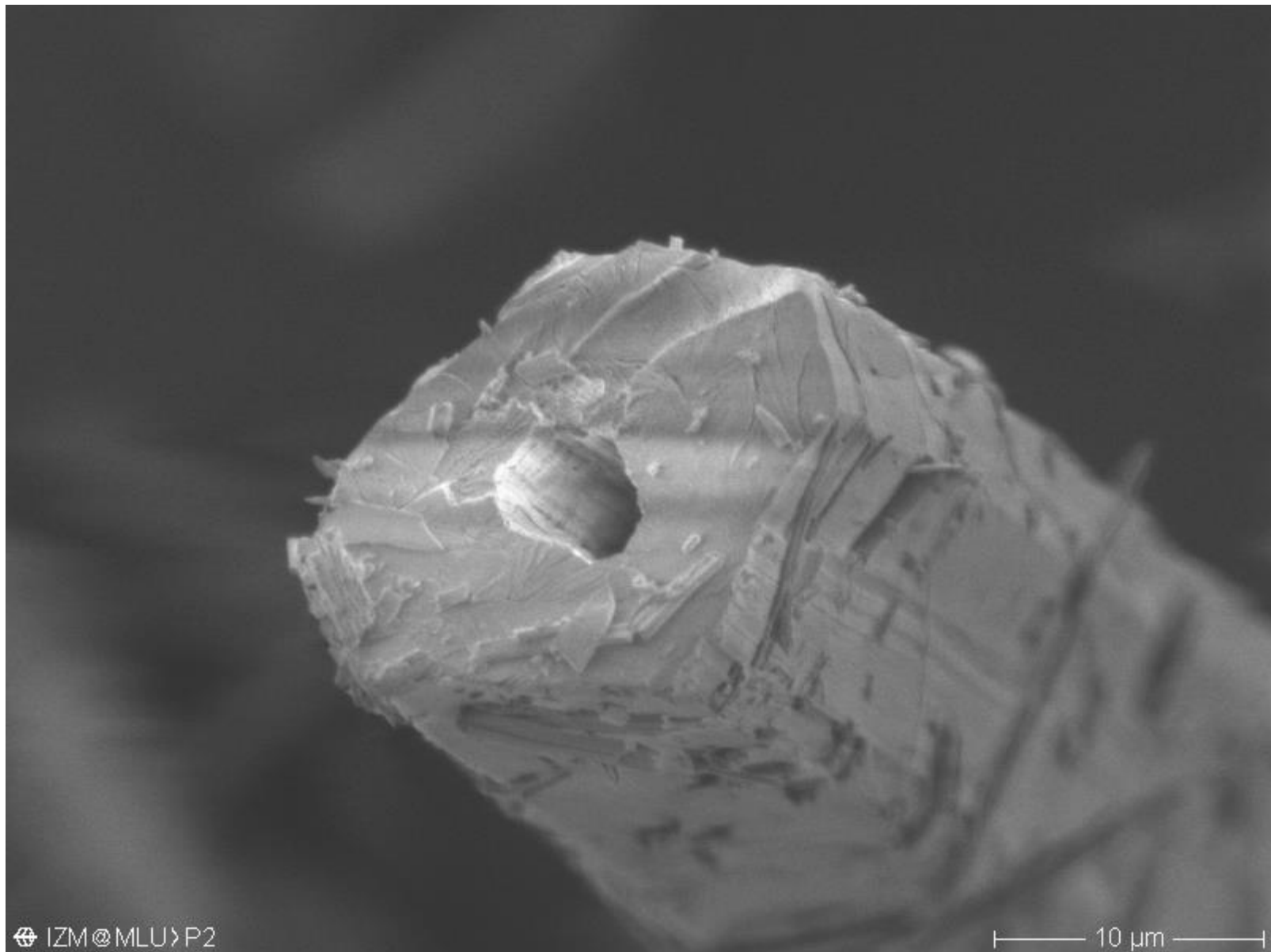
100g methanol ($\approx 150\text{ml}$, left); 10g NaKGA monohydrate (centre),
after suspending the hydrate in methanol (right)

**internal diameter
approximately 1.3 μm**



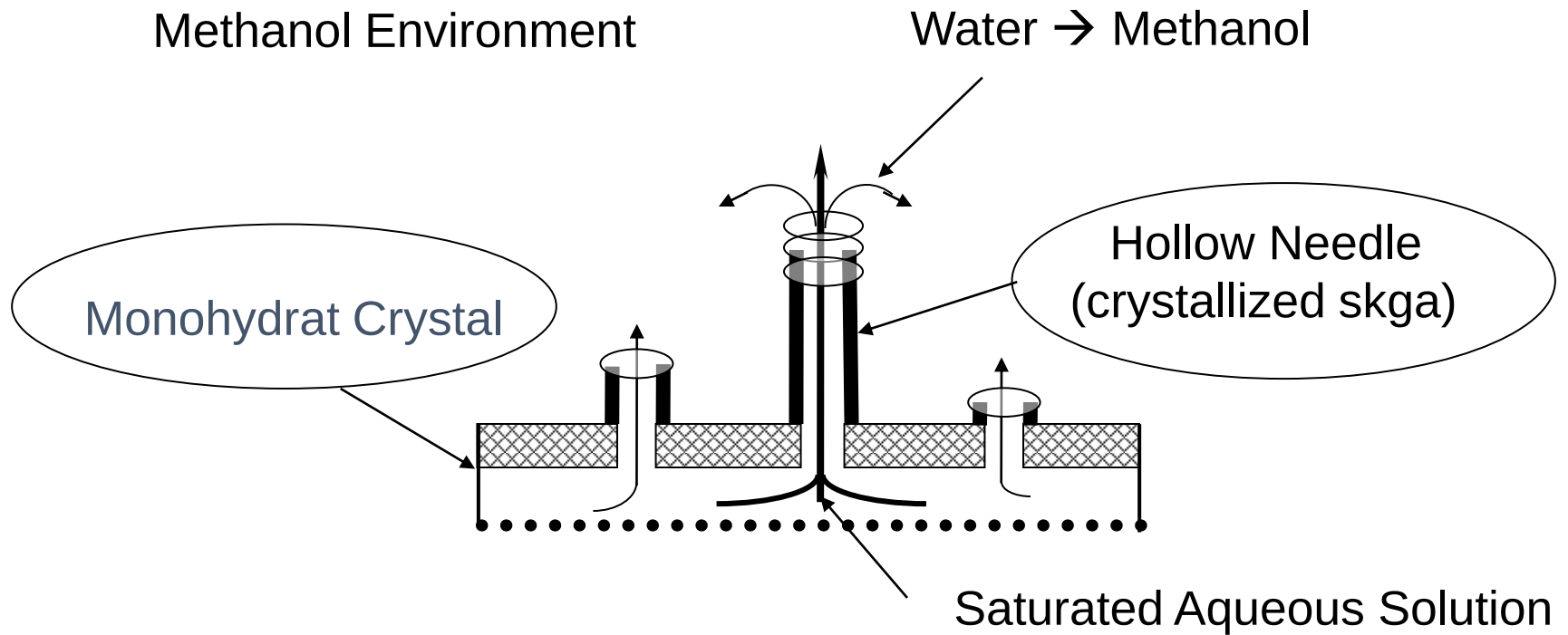
SEM images of hollow skga needles.





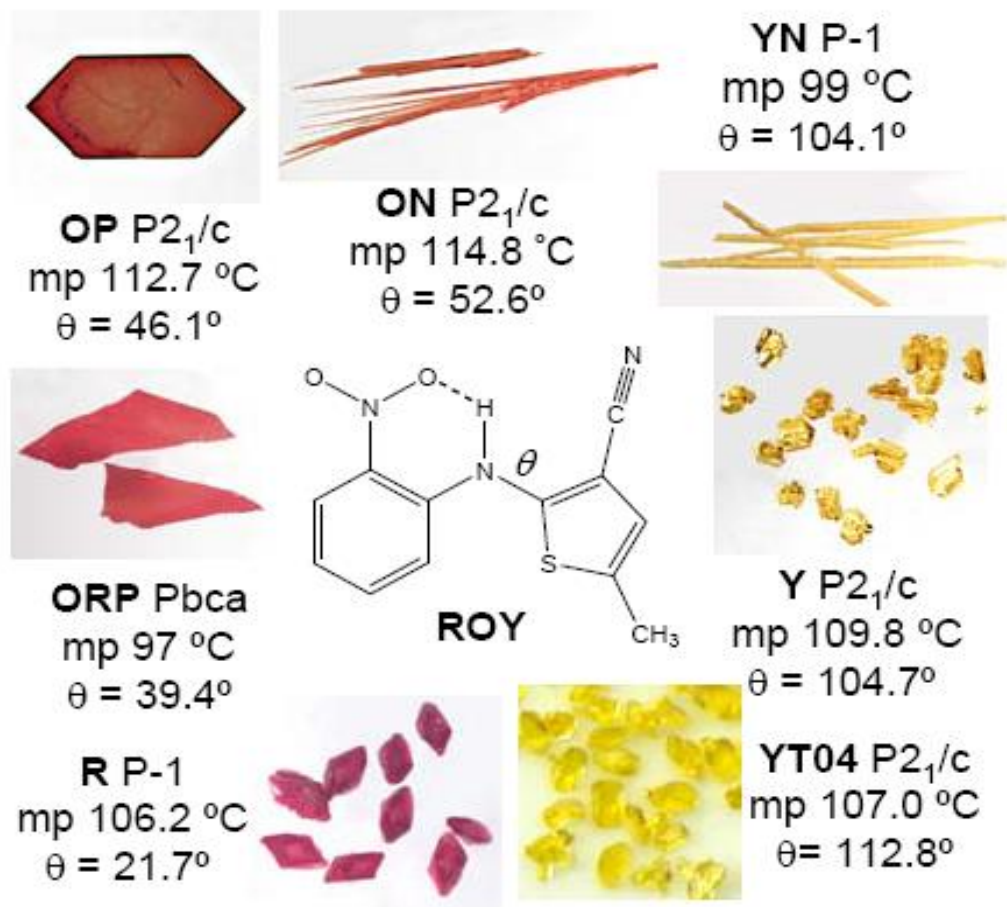


Dehydration in Methanol



Color difference between polymorphs of ROY

Olanzapine



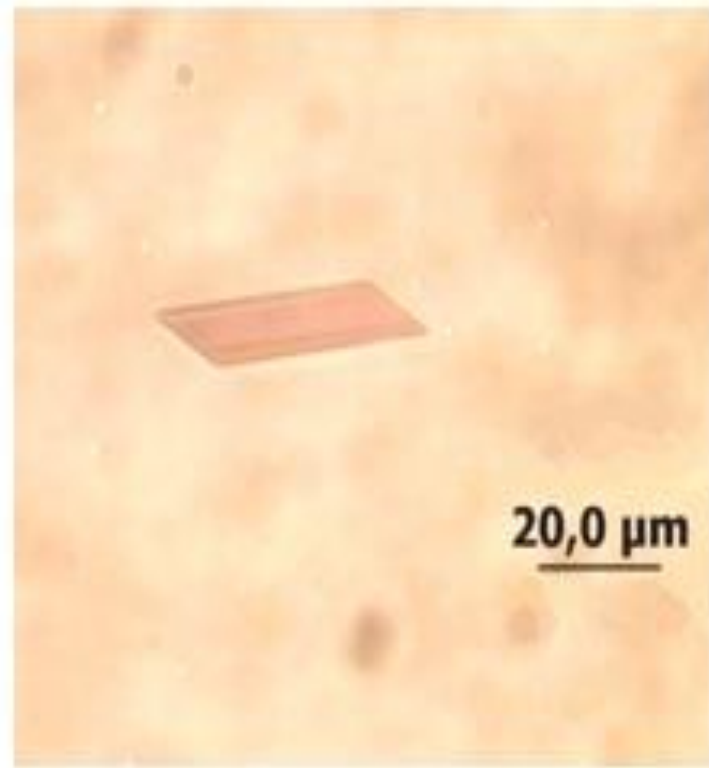
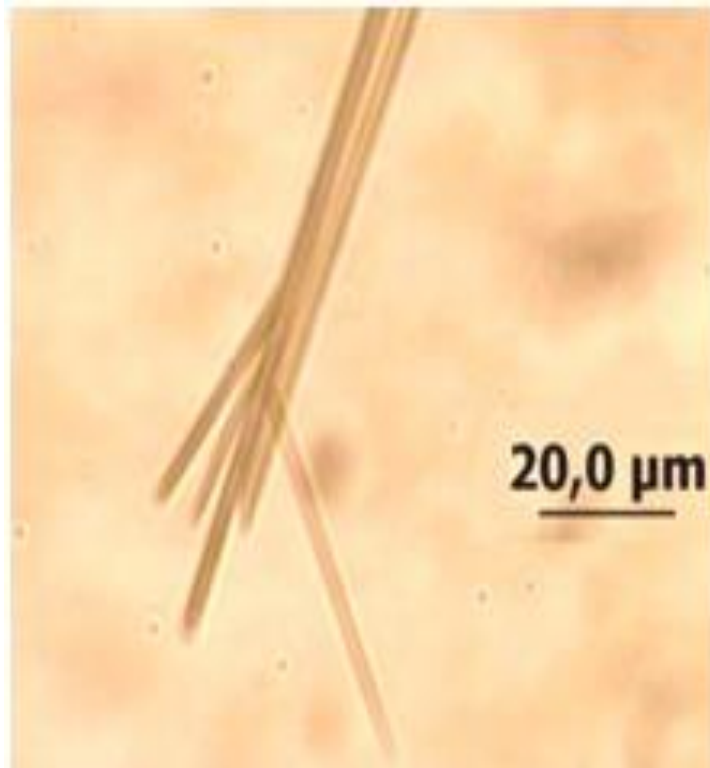
Guo, J.: *Crystallization of Polymorphs: A Case Study on Astaxanthin and Apocarotenoic Ester*, Dissertation, Martin-Luther-Universität Halle-Wittenberg, 2009.

Yu, L., Chen, S., Xi, H.: *Cross Nucleation between ROY Polymorphs*, J. Am. Chem. Soc., 127 (2005), 17439 – 17444.²⁷

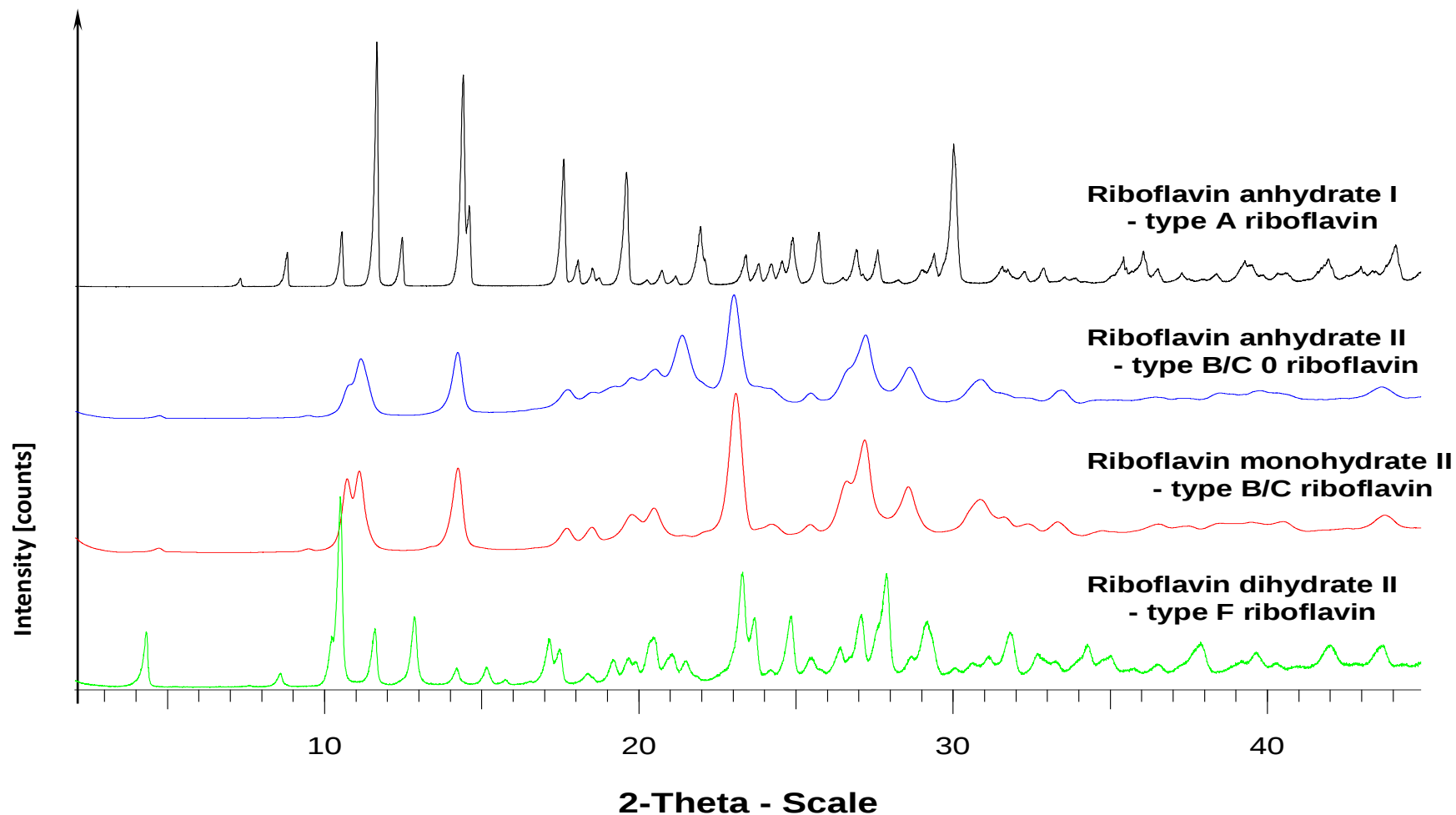
Techniques available for the examination of polymorphs

Method	Data measured	Feature obtained	Literature
Optical microscopy	Refractive index Inherent and polarization colour Interfacial angles	Particle shape and size, Surface characteristics Inclusions Symmetry elements	HAR 1960, 1964, McC 1978 McL 1990, STR 1997, BER 1998
Solubility analysis	Amount dissolved per volume and time (in different solvents)	Dissolution kinetics Saturation solubility Phase transition point	BUR 1982, BRI 1999, GU 2001
Moisture sorption/ desorption isotherms	Change of mass versus variable RH%	Hygroscopy Study of solvation and desolvation Prediction of handling properties	???
DSC	Heat flow versus temperature	Study of glass transition, melting and recrystallization point	GIR 1995, 1999, 2001, BUR 1990
TG	Change of mass versus temperature	Study of solvates Release and stability testing	CHE 2000, BRI 1995
FT-IR, ATR, DRIFT	IR-spectrum	Chemical information Phase characterization	KUH 1976, BRI 1997, GIR 1990, 1999,
Raman	Raman-spectrum	Chemical information Phase characterization	TUD 1993, TER 1994, GU 1995
Solid state NMR	Magnetic resonance	Chemical information Phase characterization	BUG 1993, HAR 1993, BRI 1997, BYR 1999
X-ray diffraction	Diffractogram	Chemical information Phase characterization structure determination Crystallinity measurement	AZA 1958, BIS 1989, ZEV 1995, JEN 1996, STE 2001

Microscope images of the crystals of form I (left) and form II (right)



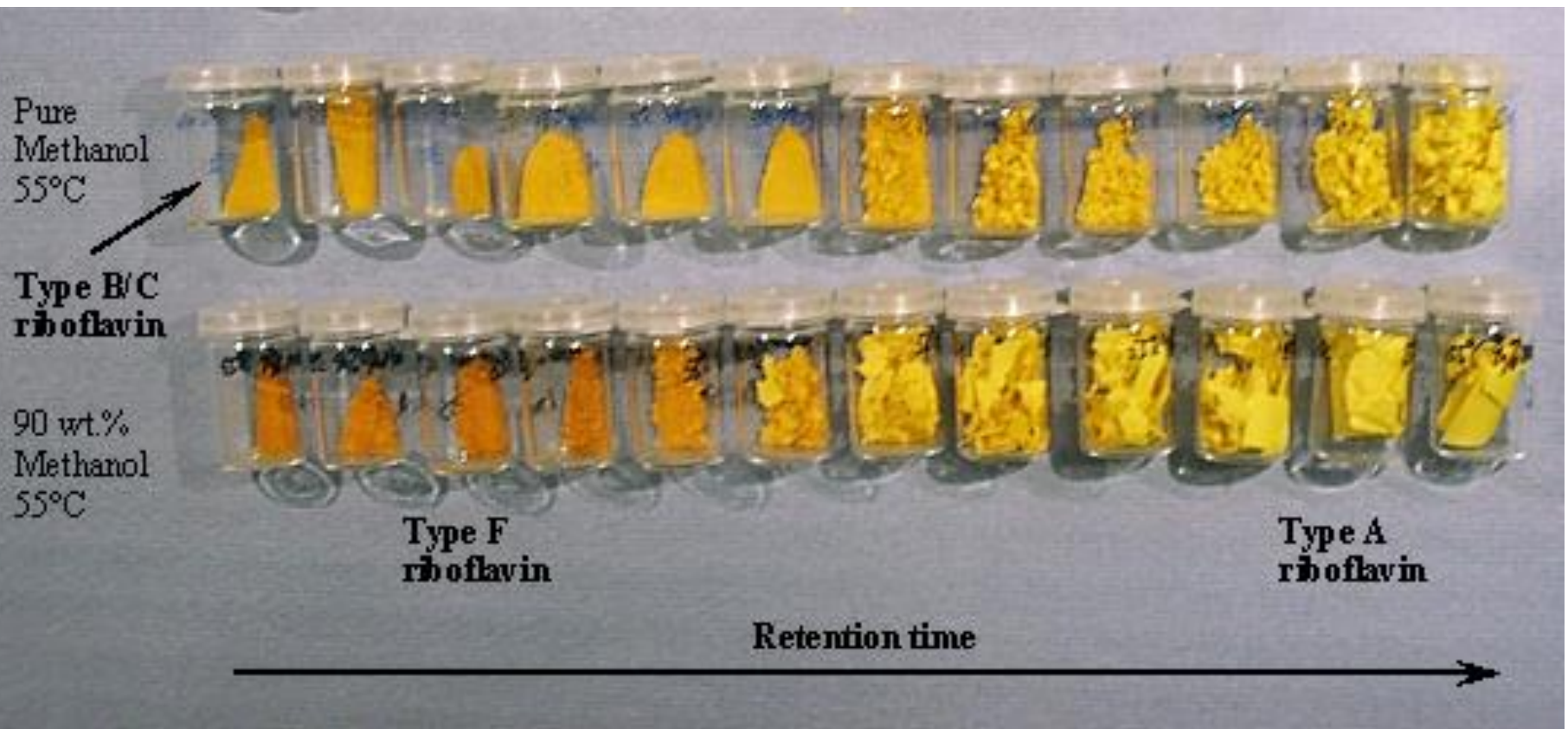
Powder XRD patterns of known riboflavin structures (part I)



Example

polymorph

- Influence of crystal structure, temperature, retention time and solvent composition on the colour of riboflavin crystals¹



Mohnicke, M.: *On the control of riboflavin (vitamin B2) crystal structures*, PhD-thesis, Martin-Luther-Universität Halle-Wittenberg, Shaker Verlag, Aachen, 2007.

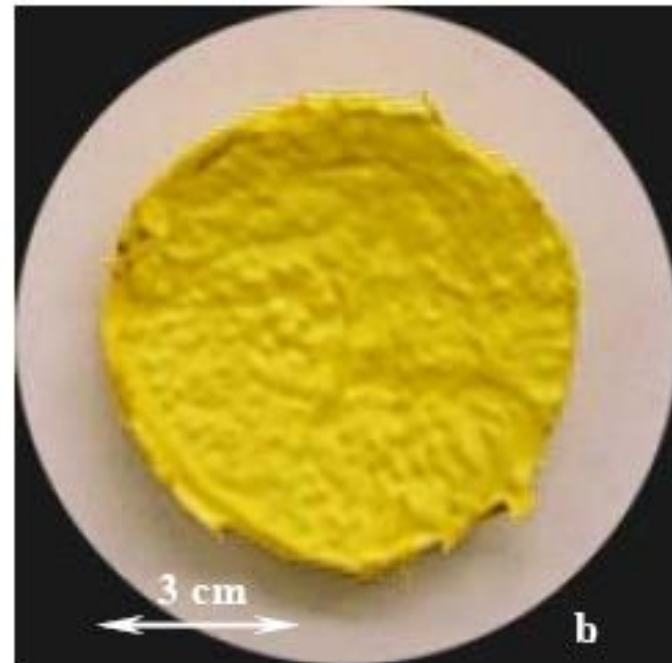
Example

polymorph

- Influence of solvent content on the crystal structure of riboflavin



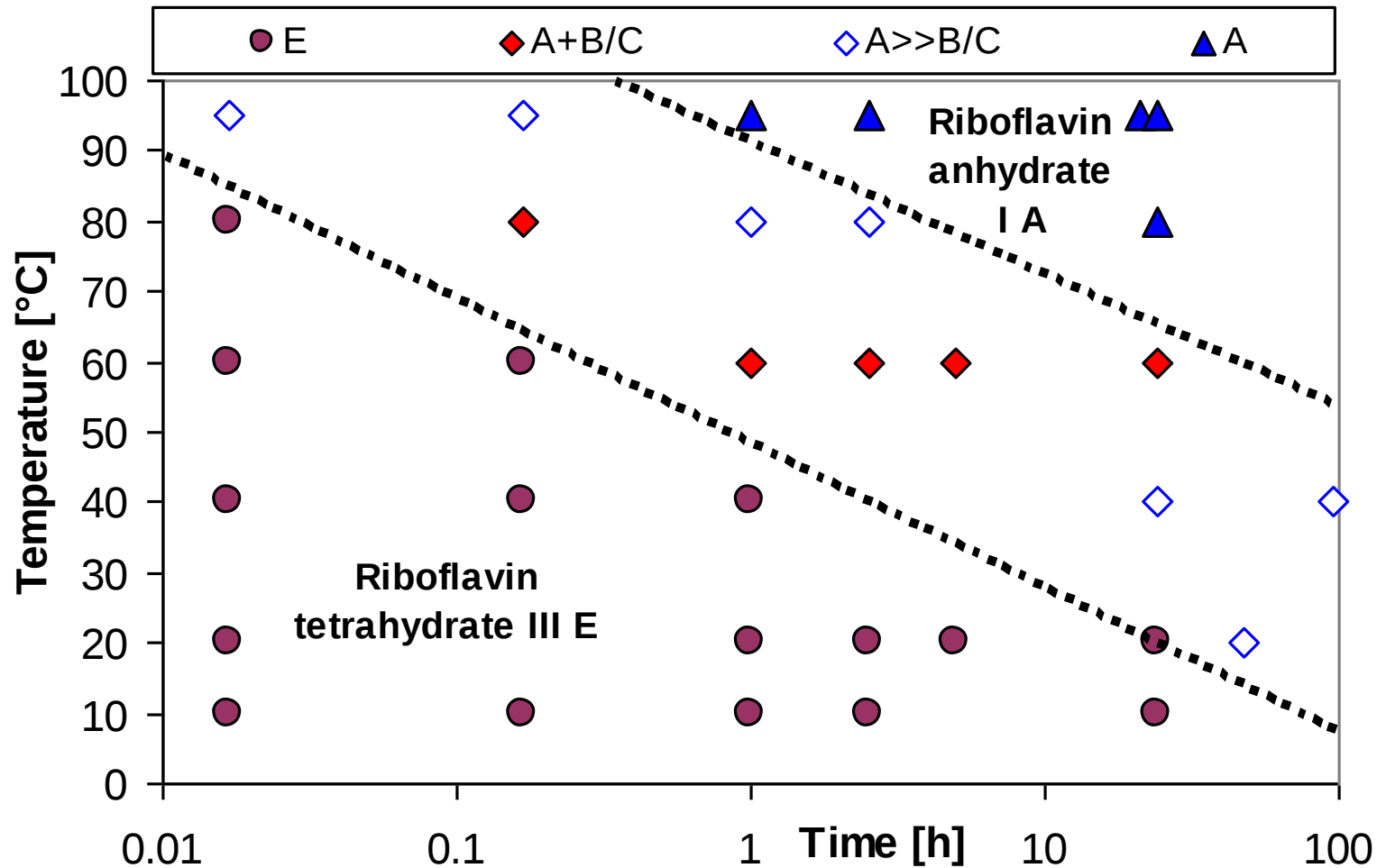
type B/C riboflavin derived
from type E riboflavin



type A riboflavin derived
from type E riboflavin

Example

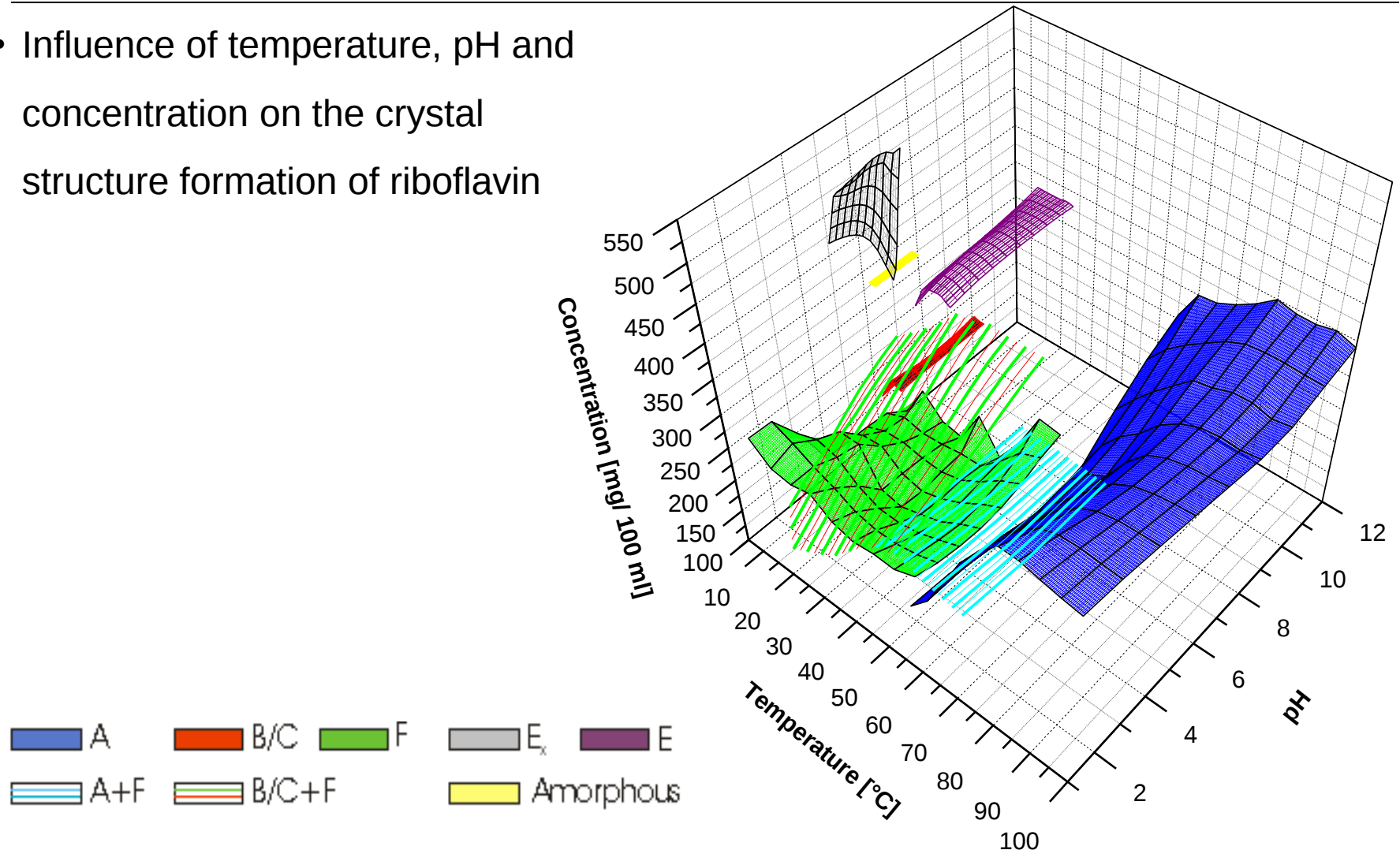
- Phase transition behaviour of type E riboflavin in water



Example

polymorph

- Influence of temperature, pH and concentration on the crystal structure formation of riboflavin

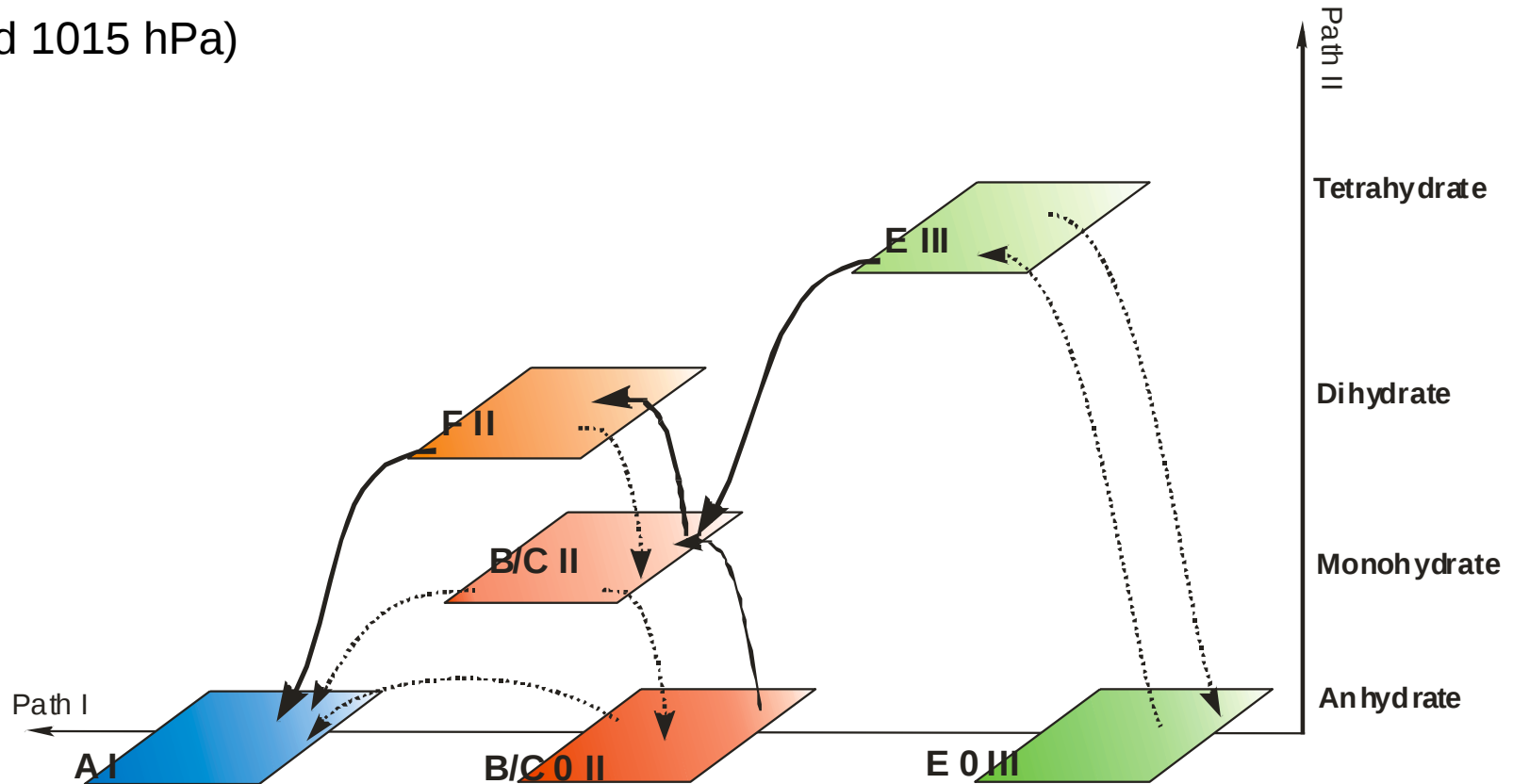


Mohnicke, M.: *On the control of riboflavin (vitamin B2) crystal structures*, PhD-thesis, Martin-Luther-Universität Halle-Wittenberg, Shaker Verlag, Aachen, 2007.

Example

polymorph

- Phase transition pathways of riboflavin (Riboflavin anhydrate I (A)=thermodynamic stable crystal form of riboflavin, at room temperature, normal pressure (18 to 25 °C and 1015 hPa))

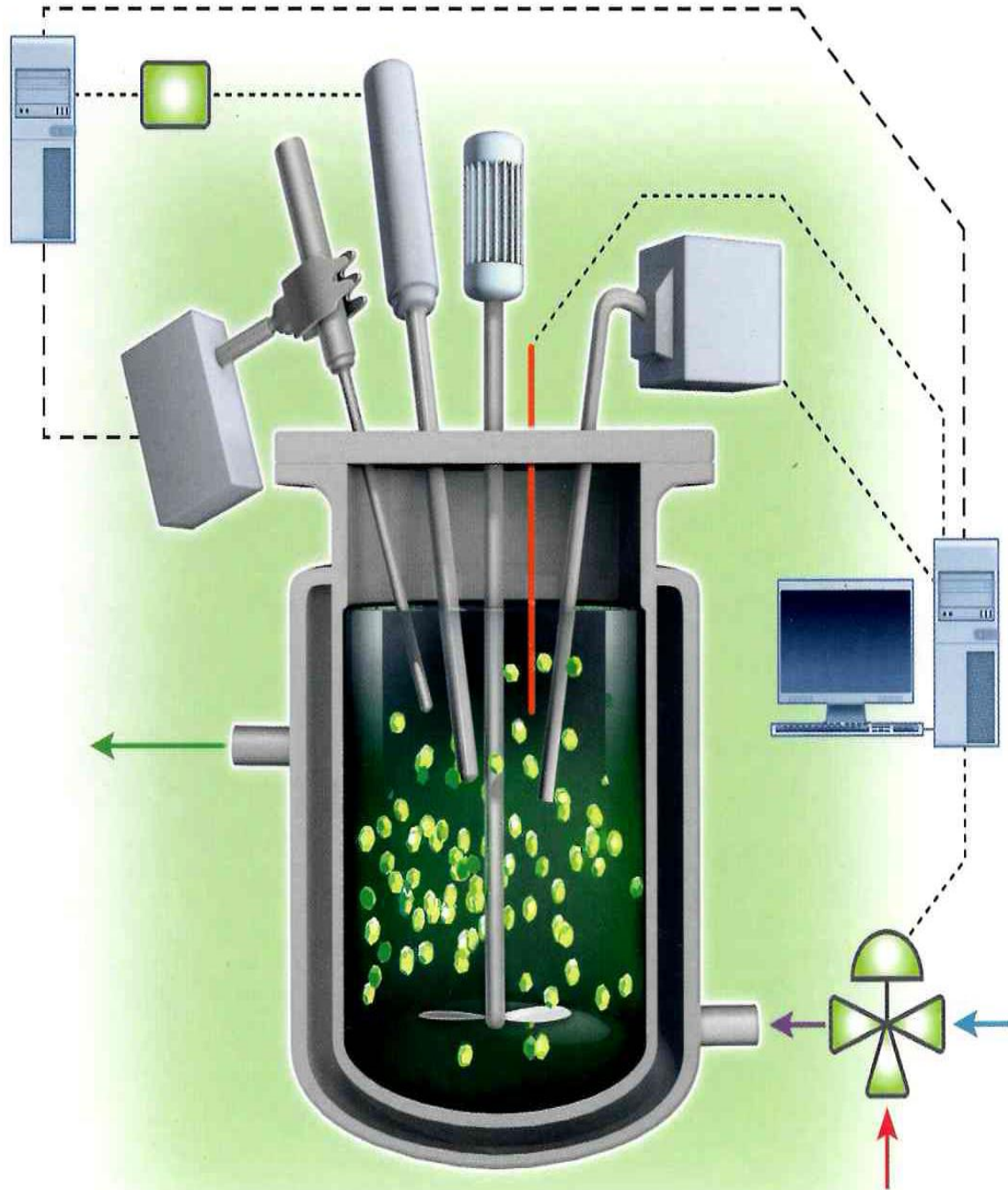


Thank you for your
attention!

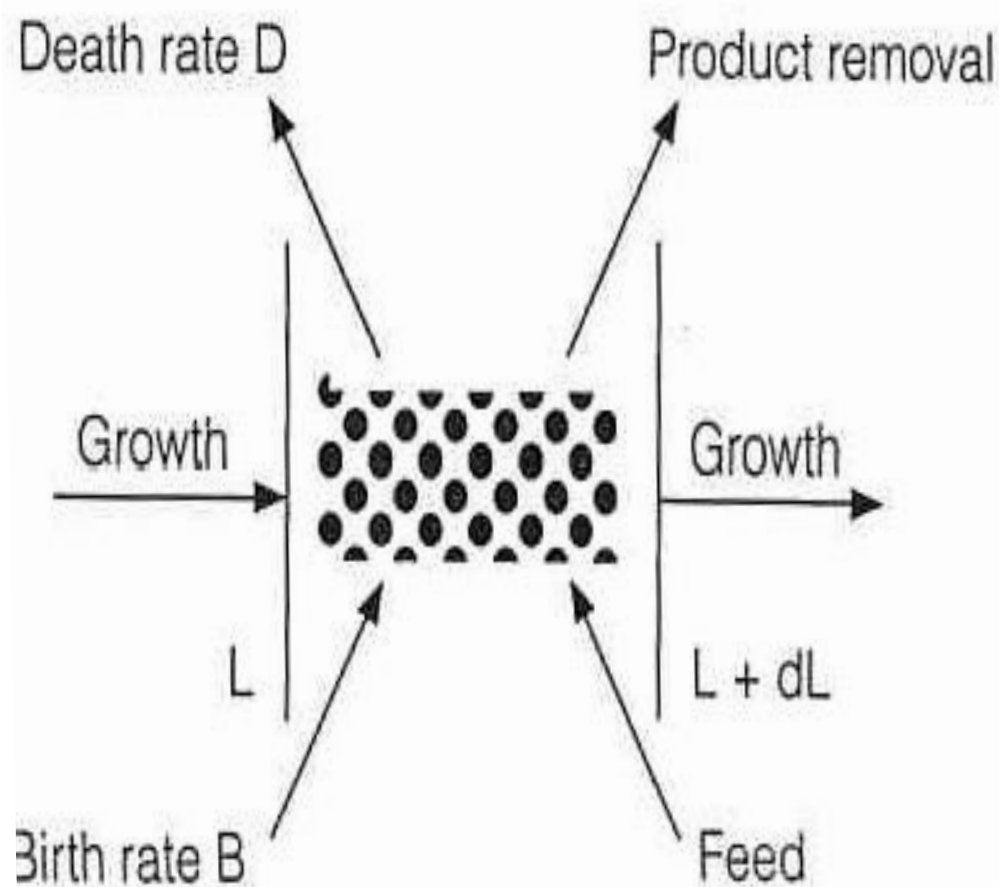


Process control in batch crystallization

Prof. Dr.-Ing. habil. Joachim Ulrich



$$\frac{\partial n}{\partial t} + \frac{\partial(Gn)}{\partial L} + n \frac{\partial V}{V \partial t} + D(L) - B(L) + \sum_k \frac{\dot{V}_i n_i}{V} = 0$$



$$\frac{\partial(Gn)}{\partial L} + \sum_k \frac{n_l \dot{V}_l}{V} = 0$$

$$\frac{\partial(Gn)}{\partial L} + n \frac{\dot{V}}{V} = 0$$

$$\frac{\partial(Gn)}{\partial L} + \frac{n}{\tau} = 0$$

$$G \frac{dn}{dL} + \frac{n}{\tau} = 0$$

$$n = n_0 \exp \left(-\frac{L}{G\tau} \right)$$

$$\ln \left(\frac{n}{n_0} \right) = -\frac{L}{G\tau}$$

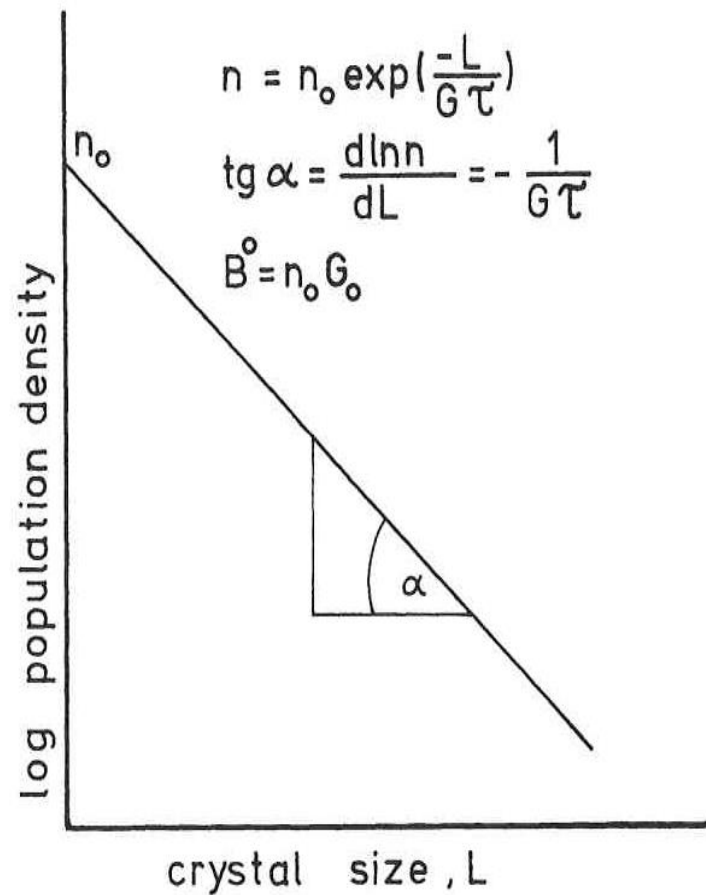
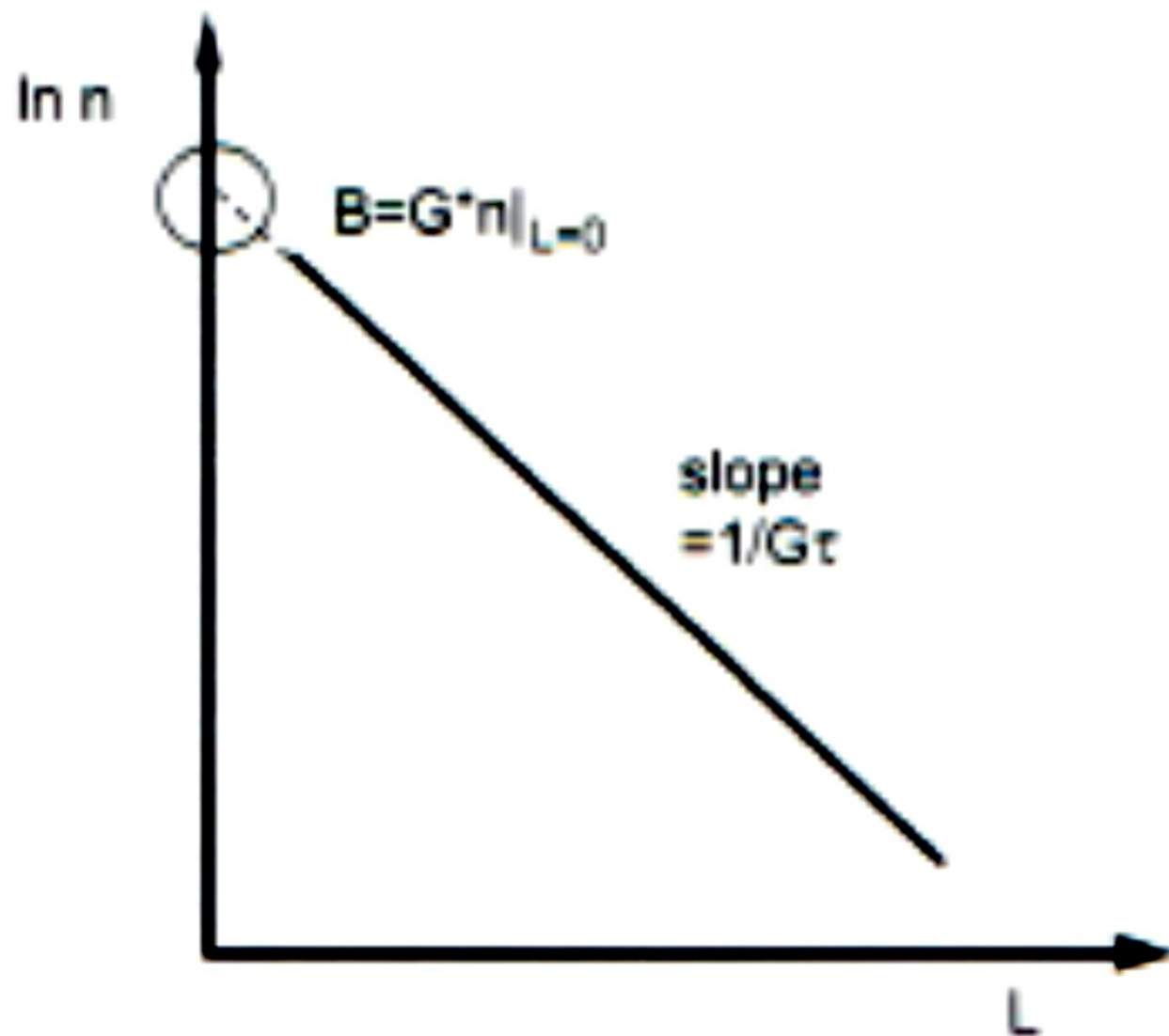
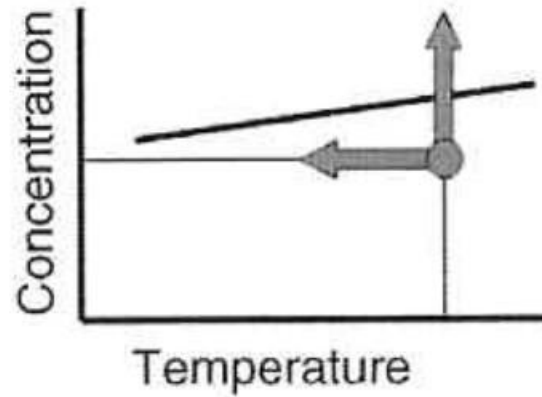


Figure 7.2 Theoretical population density plot for a steady state MSMPR crystallizer operating at the following conditions:

- no secondary nucleation other than at zero size
- no attrition other than at zero size
- no crystal breakage
- no agglomeration
- no growth dispersion
- crystal growth rate is independent of size.

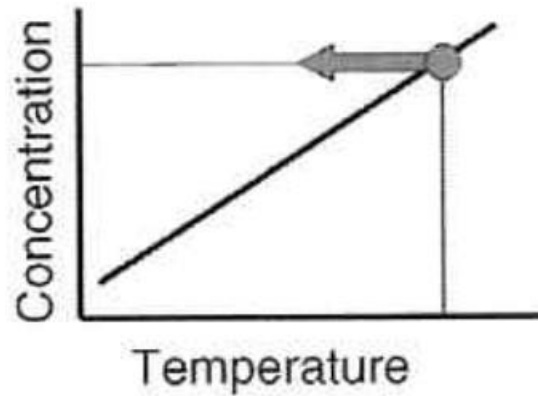


Evaporation

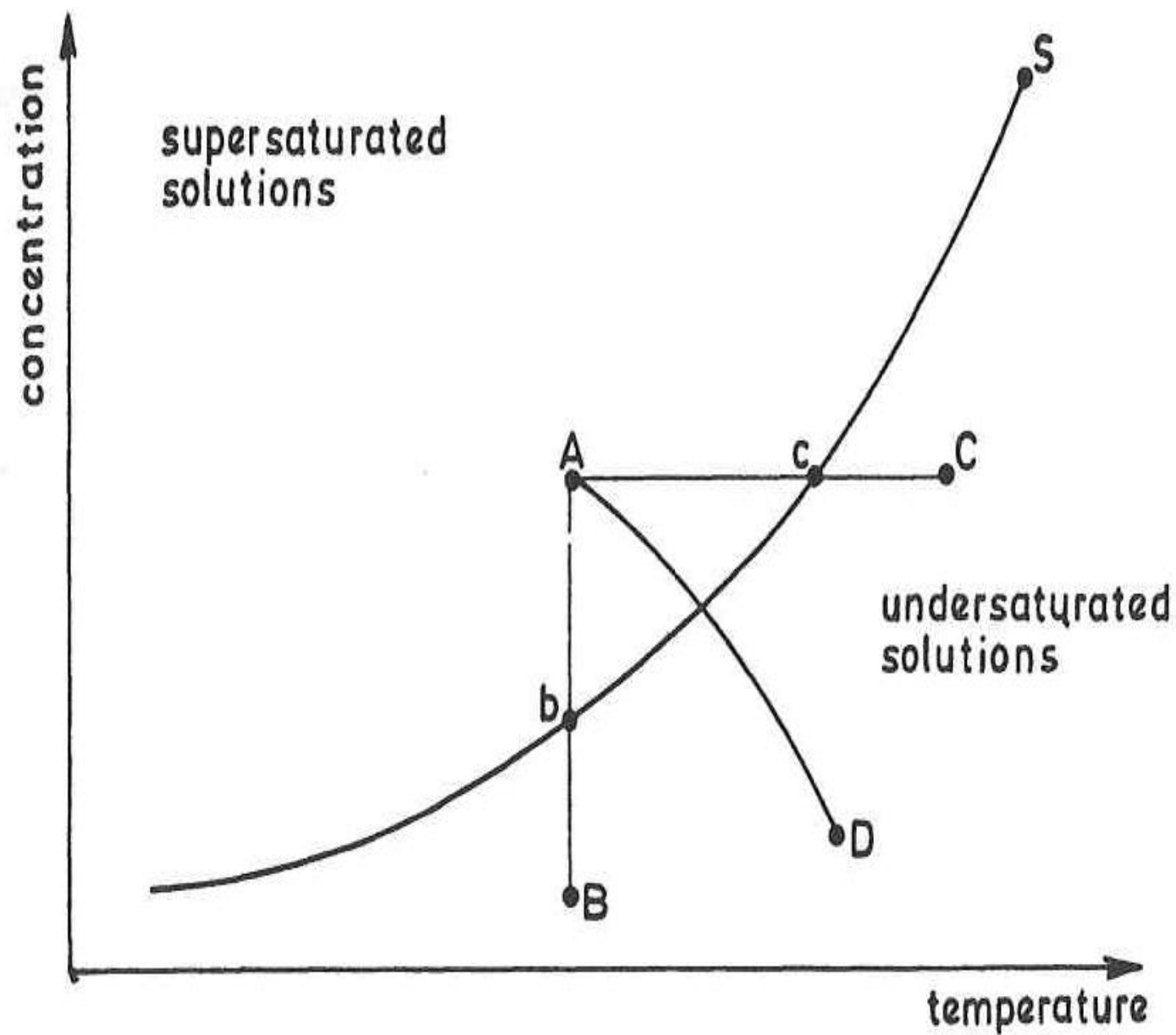


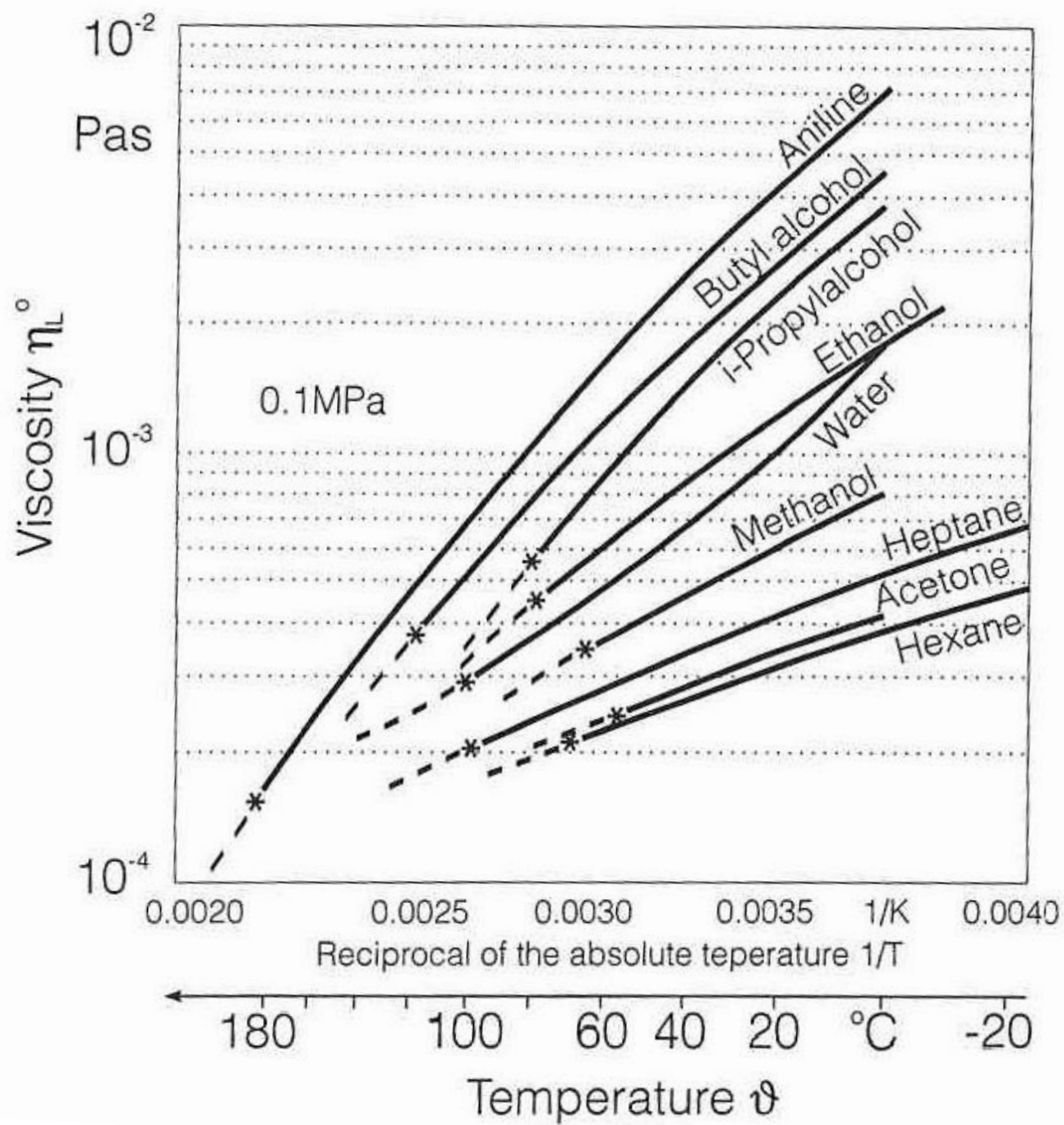
e.g.,
 NaCl
 Na_2SO_4
 $(\text{NH}_4)_2\text{SO}_4$
 CaCl_2

Cooling

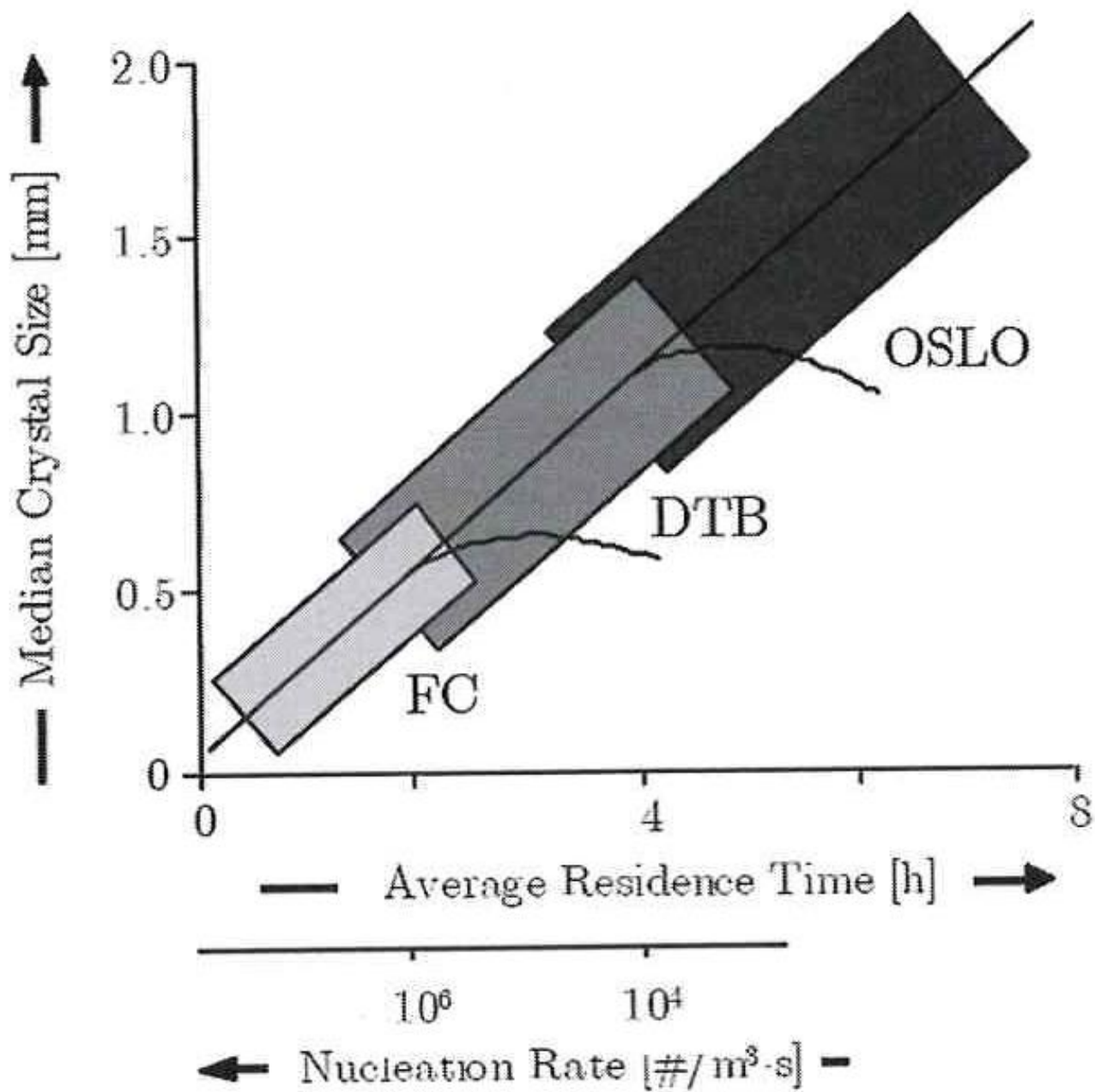


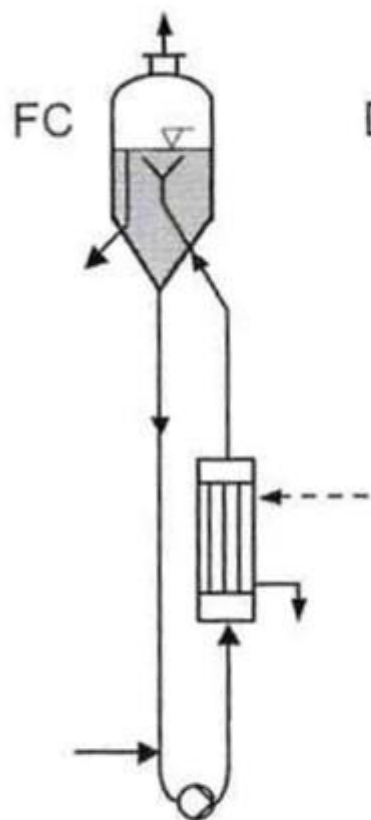
e.g.,
Melamine
 KCl
 NiSO_4
 CuSO_4
 AgNO_3





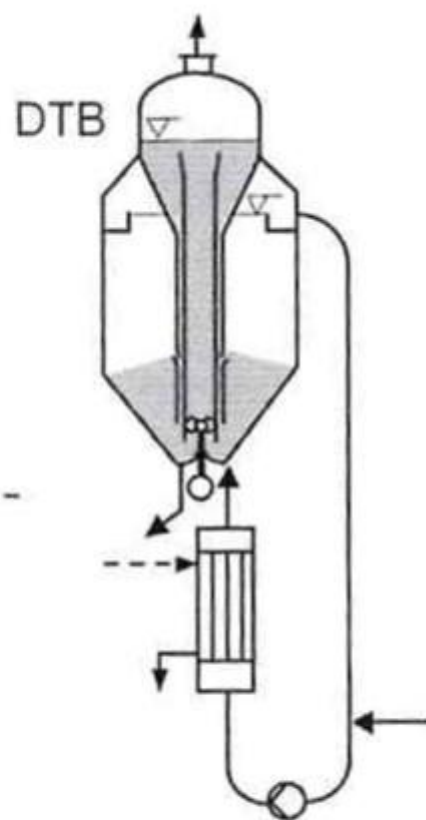
FC crystallizers:	0.2-0.8 mm
DTB crystallizers:	0.8-2.5 mm
Oslo crystallizers:	1.5-5 mm





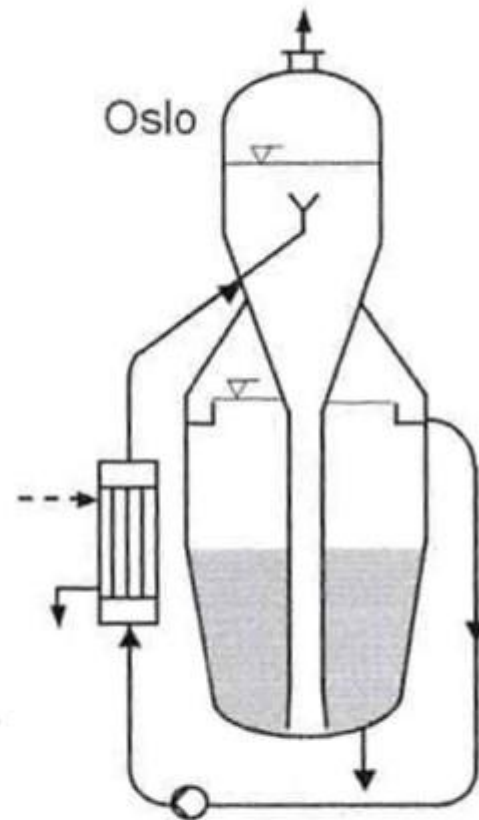
0.2 – 0.8 mm

(a)



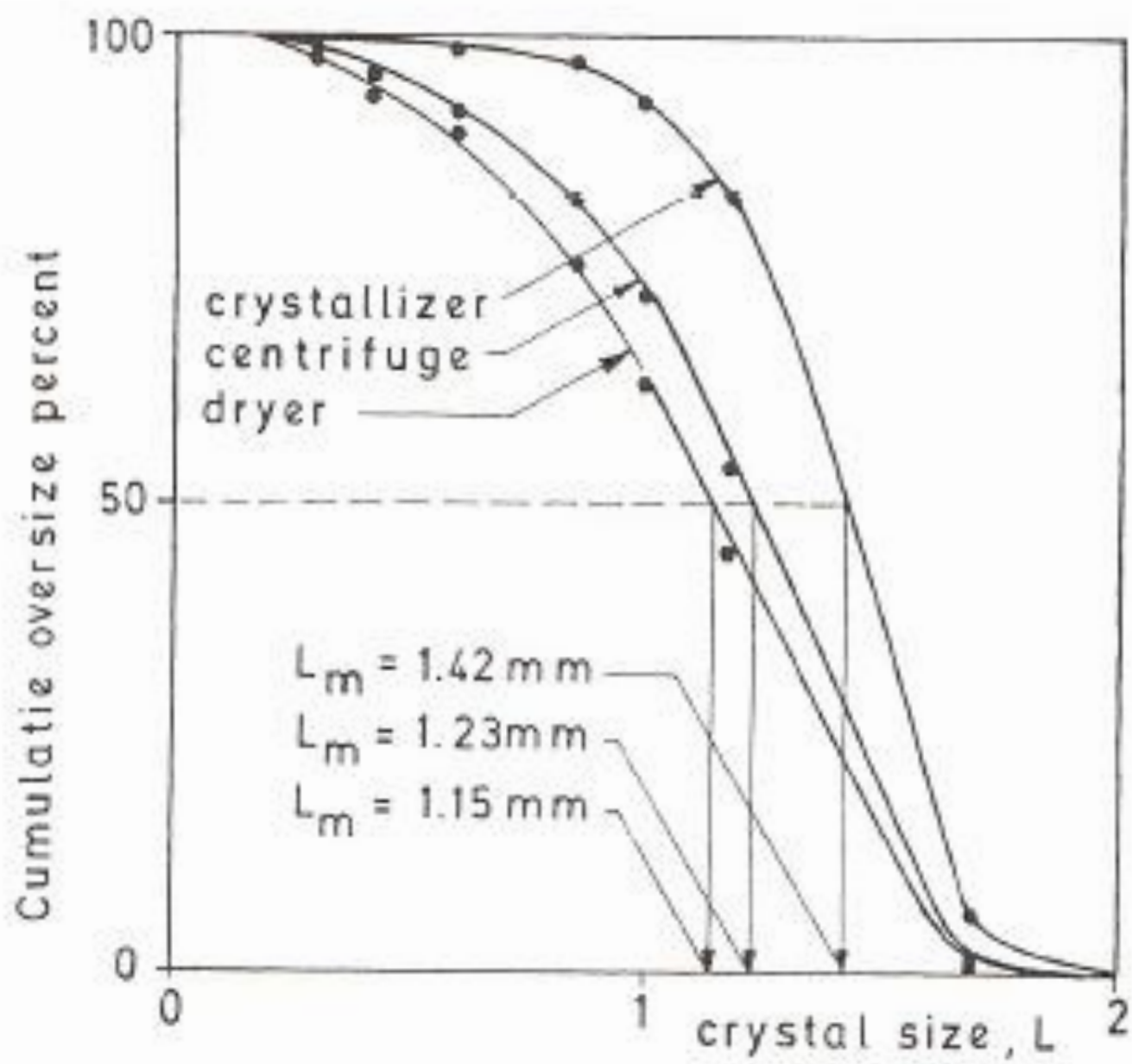
0.8 – 2.5 mm

(b)



> 1.5 - 5 mm

(c)



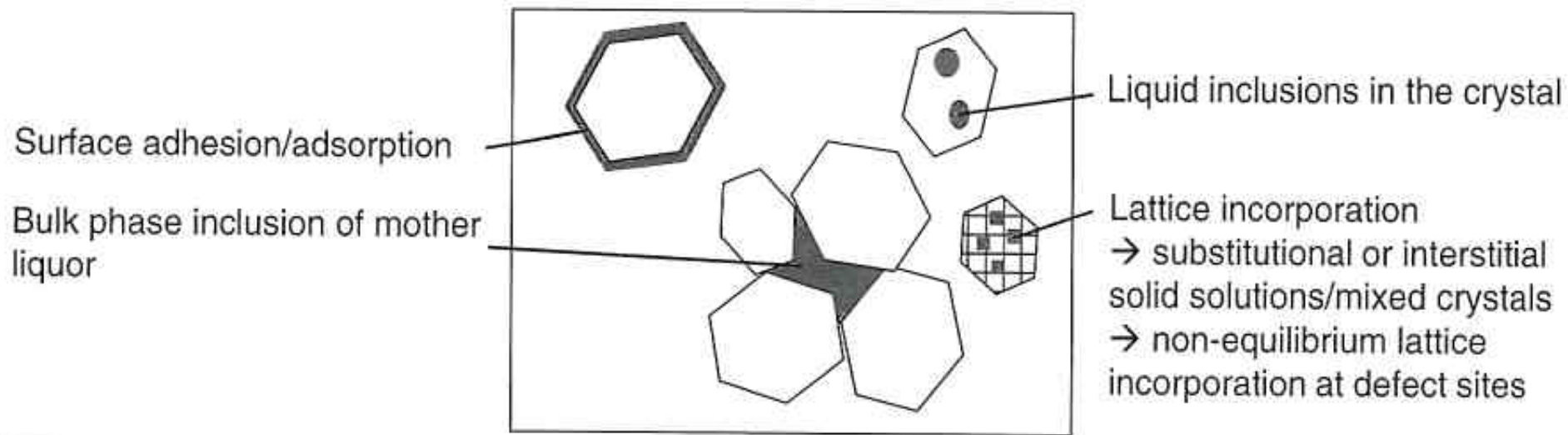
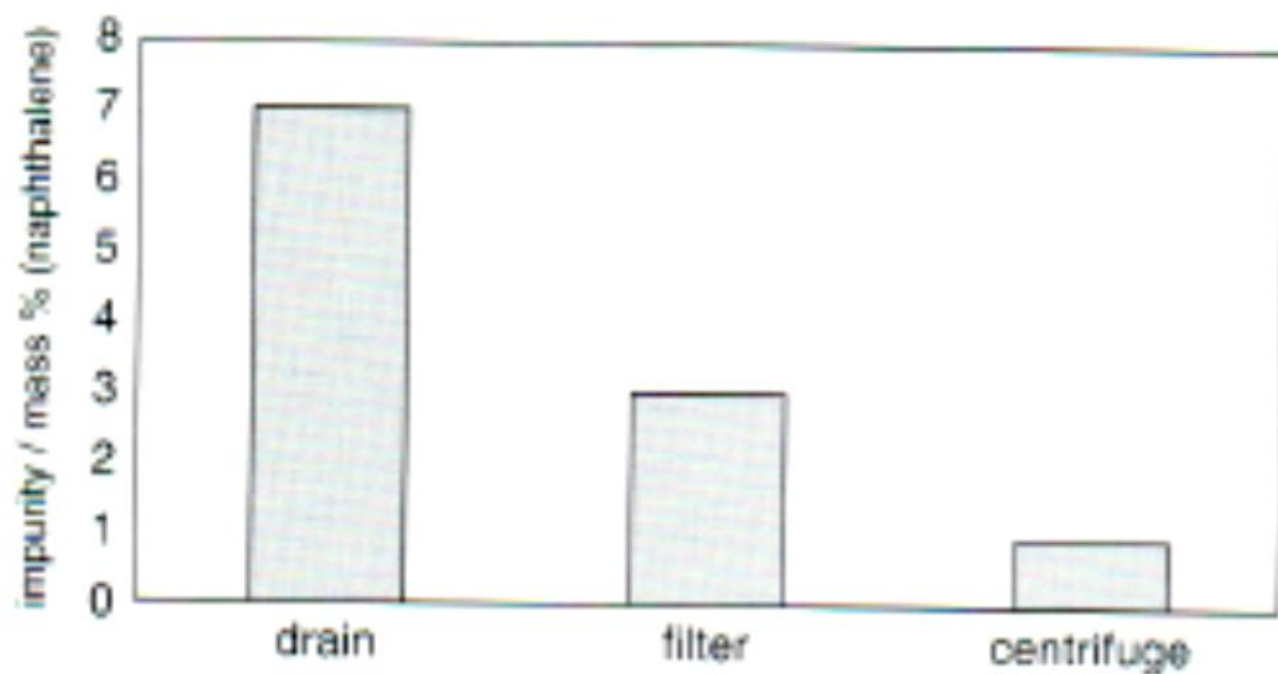


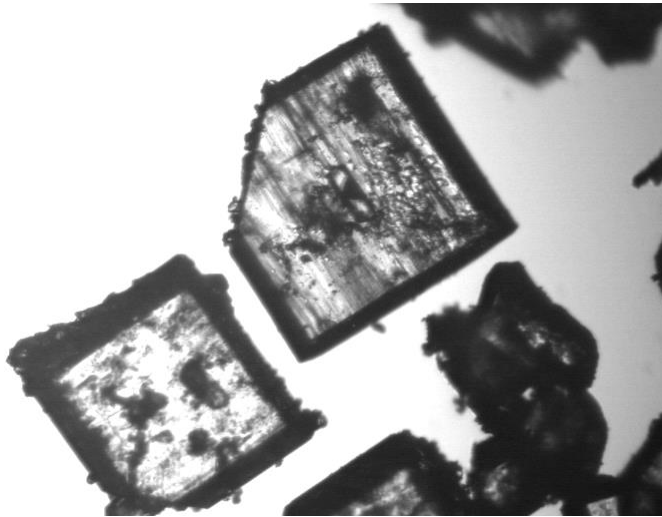
Figure 7.1 Location of impurities in a crystalline product after solid-liquid separation. The growing crystal itself can incorporate impurities either in the lattice on a molecular level or as three-dimensional faults, liquid

inclusions (right). In addition, the crop can contain impurities via mother liquor, either adsorbed on the crystals or entrapped between crystals (left).

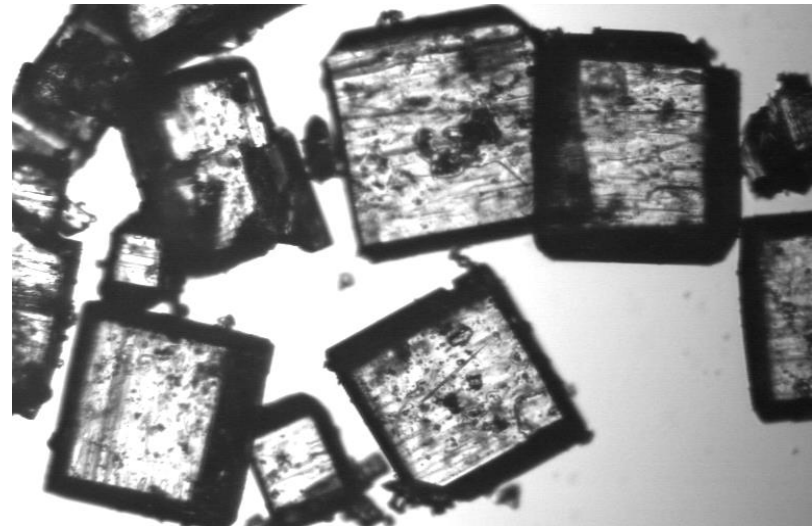
Residual moisture content related to the solid (wt%)

Sedimentation	20–40
Filtration	8–18
Centrifugation	4–8

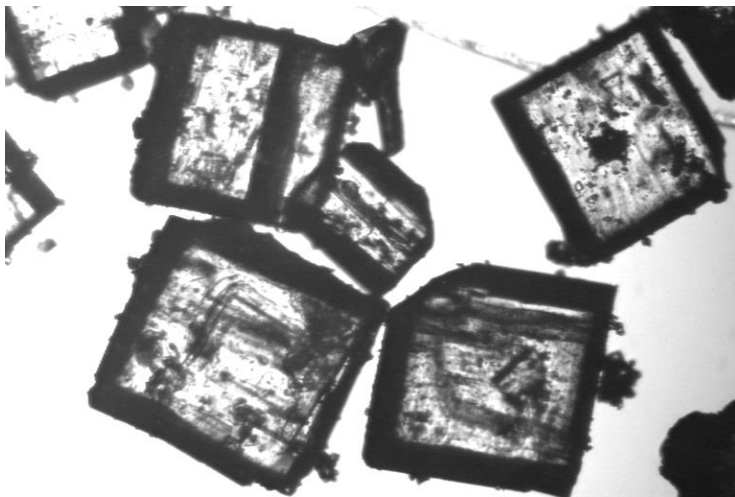




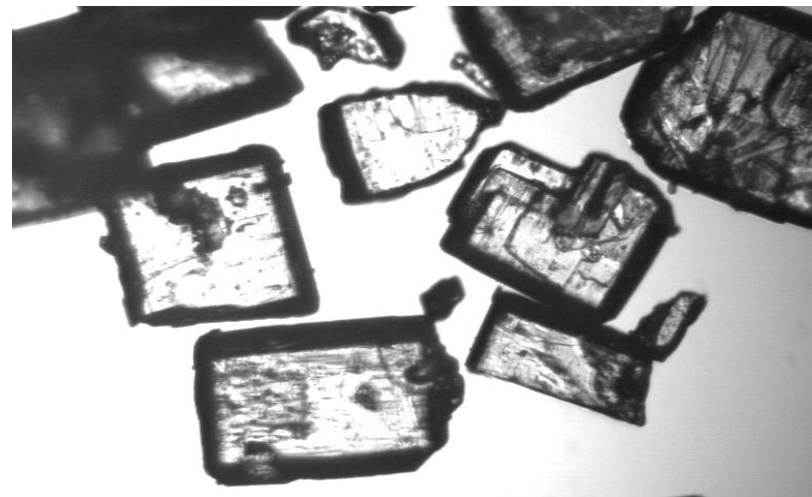
Ethanol



Methanol

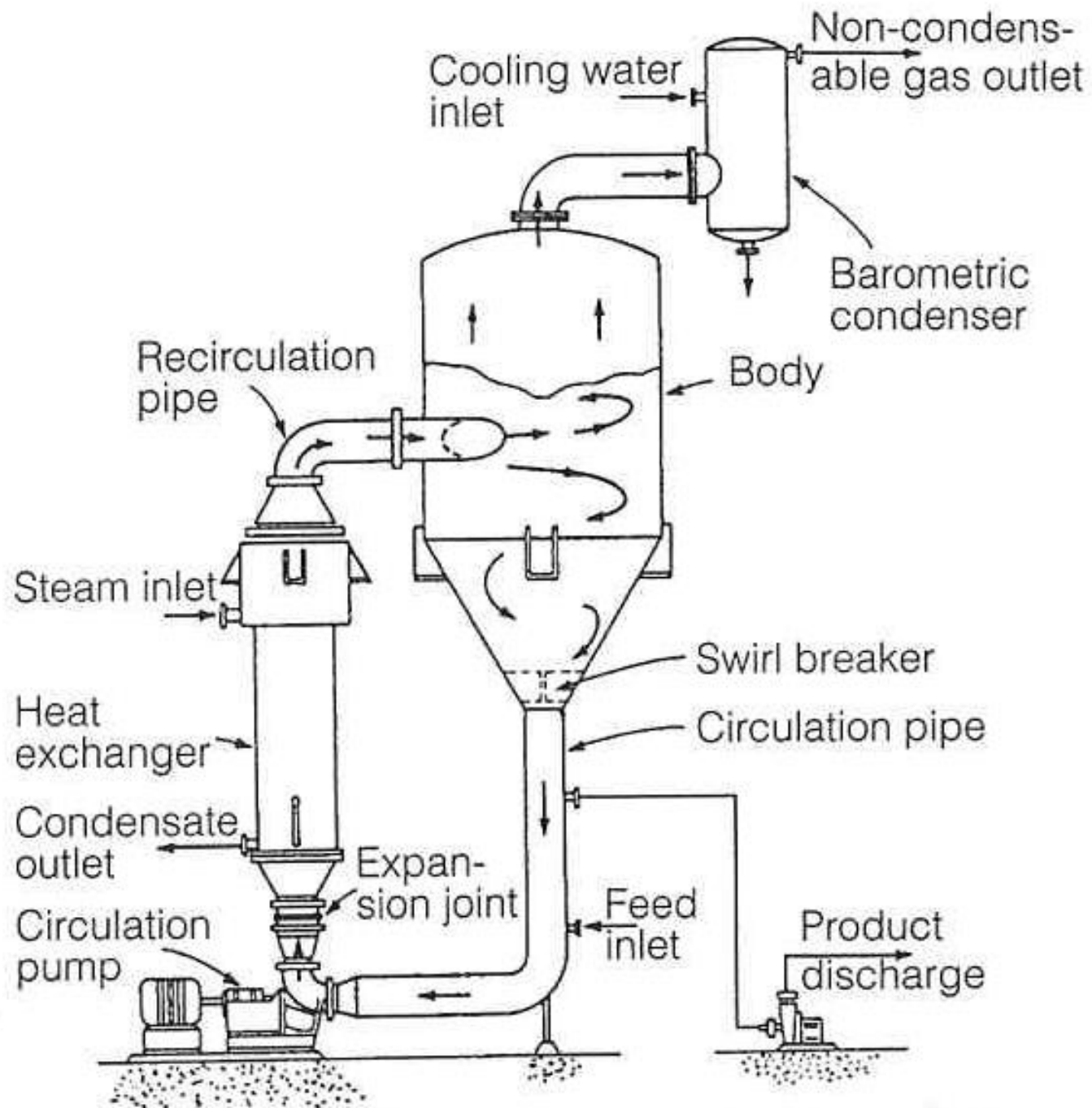


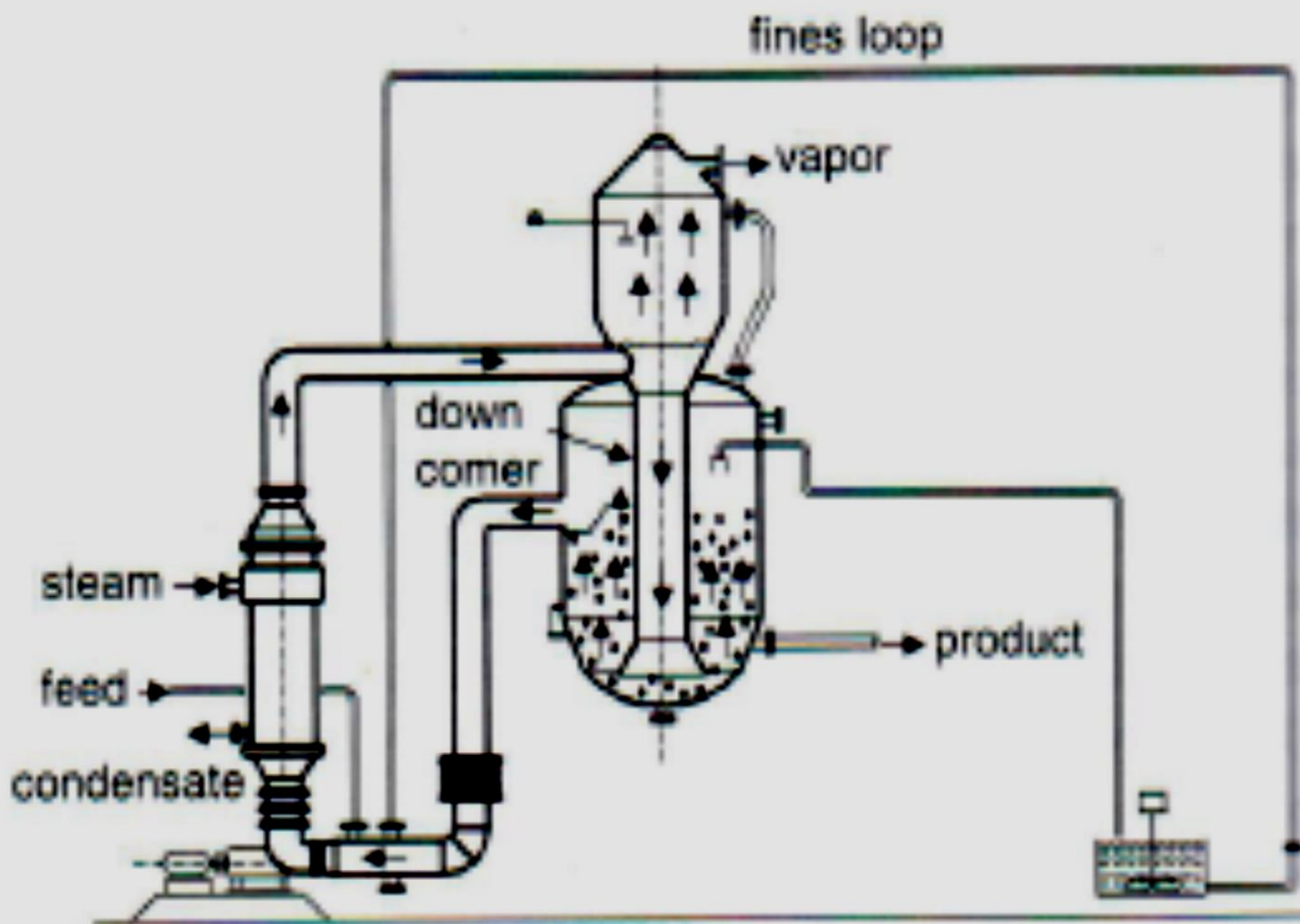
Propanol

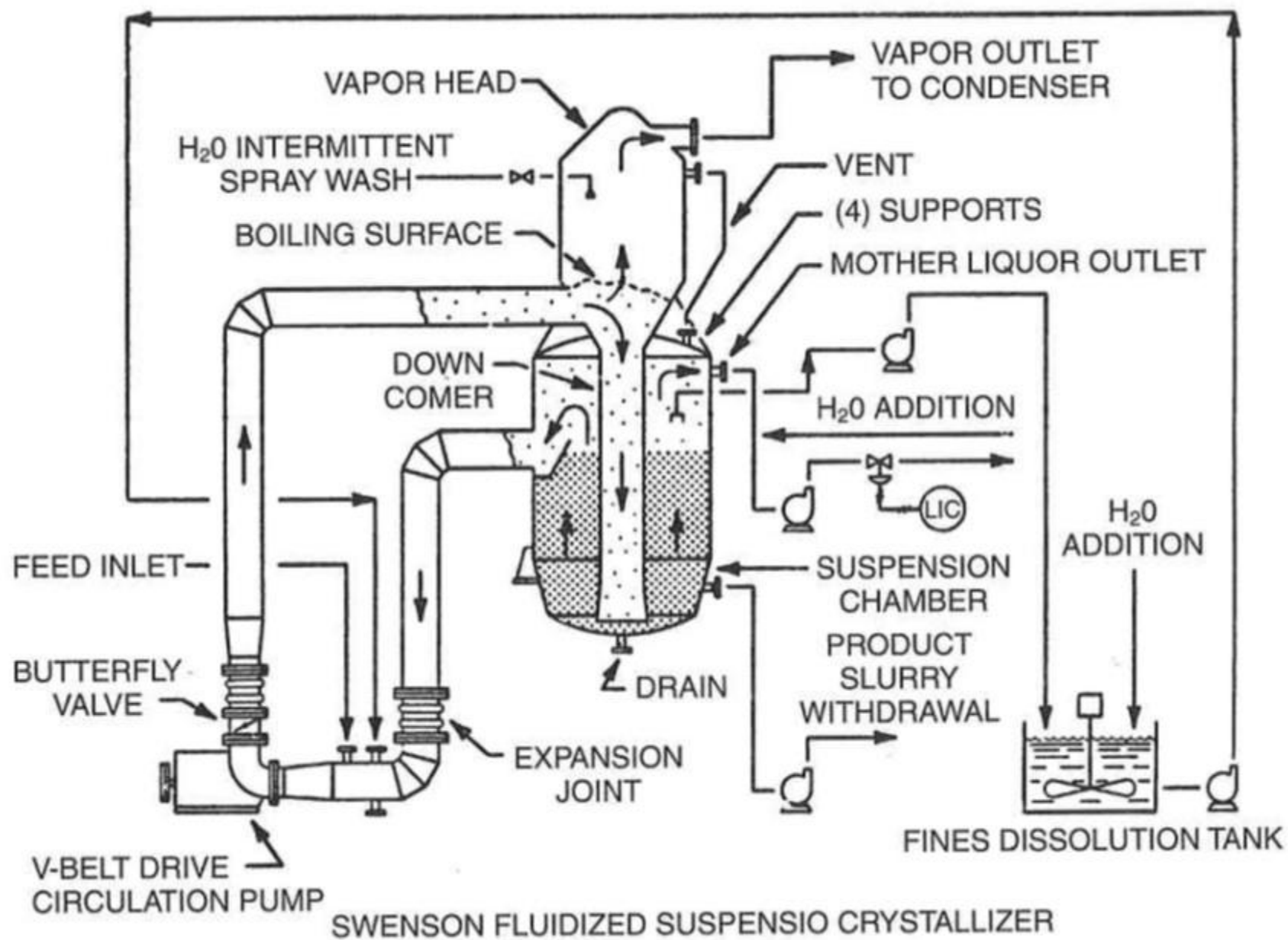


Water

Omar, W., Mohnicke, M., Ulrich, J.: *Influence of solvents on the crystal growth kinetics of ascorbic acid*, in WASIC 2003, Workshop on Advance in Sensoring in Industrial Crystallization, edited by N. Bulutcu, 18 H. Gürbüz & J. Ulrich, Istanbul Technical University, Istanbul, Turkey 2003, 113-120, (ISBN: 975-561-254-8).





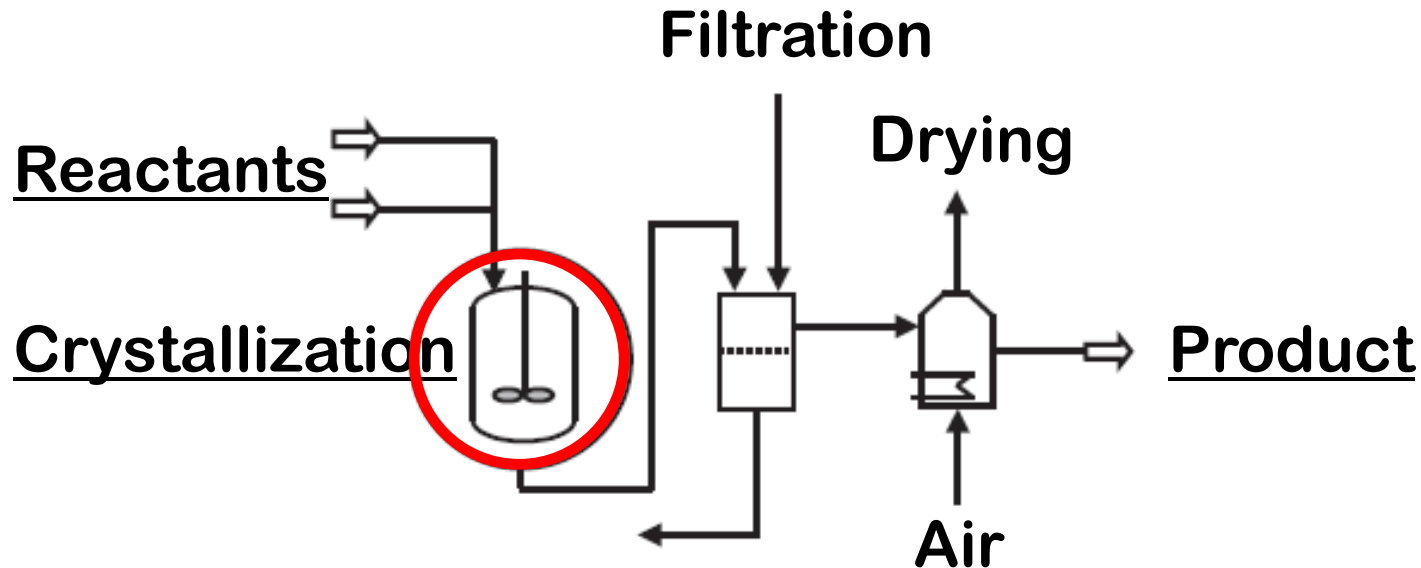


$$\Delta C = 0.4 - 0.6 \Delta C_{\text{met}}$$

$$m_T = 10 - 30 \text{ mt\% crystals in suspension}$$

$$k_G = f(T, \dots) \quad \text{Target: } T \text{ high}$$

Crystallization Process



Aims: Product *quality* & *quantity*

- purity
- morphology + CSD
- flowability, shelf life,...

- maximum yield
- efficiency (materials, energy...)

Thermodynamically stable phases of organic and inorganic substances depend on:

- Temperature
- Pressure
- surrounding media:
 - air (relative humidity)
 - solvent (solubility)
- additional: mechanical stress (e.g. grinding)

→ A change of these parameters often leads to metastable modifications

Yield

- mass growth rates decrease
 - decreasing yield
- loss of charges
- mass growth rates can increase?
- expanded metastable zone width
 - higher supersaturations?
- prevent scaling?

Product Quality

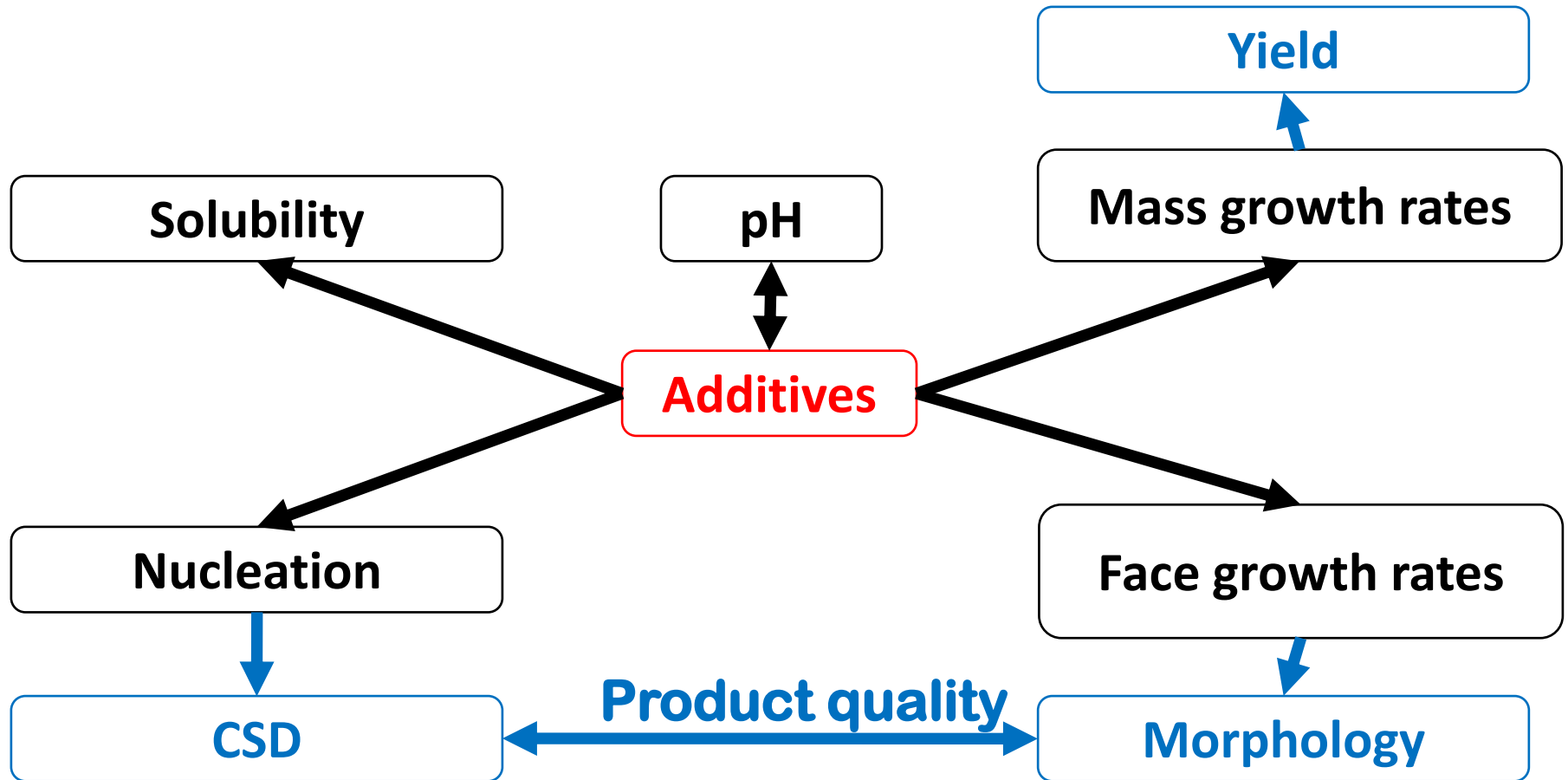
- crystal habit changes
 - filtration, transport and storage problems
 - useless product
- undesired crystal size distribution
- directed crystal modifying
 - special applications
- “tailor-made”-additives

Aim of crystallization processes

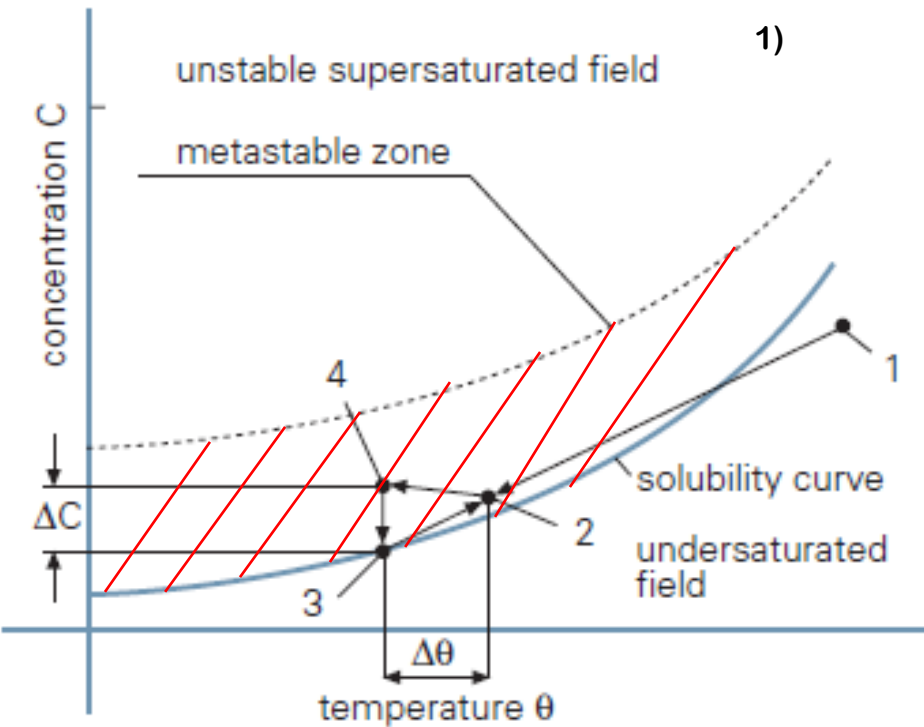
The crystallization process includes the determination of:

- Purification
- Particle size (distribution)
- Modification (polymorph / solvates)
- Shape design
- Lower costs (reduction of number of steps)

Effects of additives – An Overview



Crystallization Process – A closer look



Metastable zone width (MZW):

- zone for industrial crystallizations
- no primary homogeneous nucleation
- secondary nucleation → control of CSD

Supersaturation σ „driving force“

- σ should not exceed MZW
- maximized for maximum growth rate

Where can additives affect the crystallization process?

Tools in crystallization

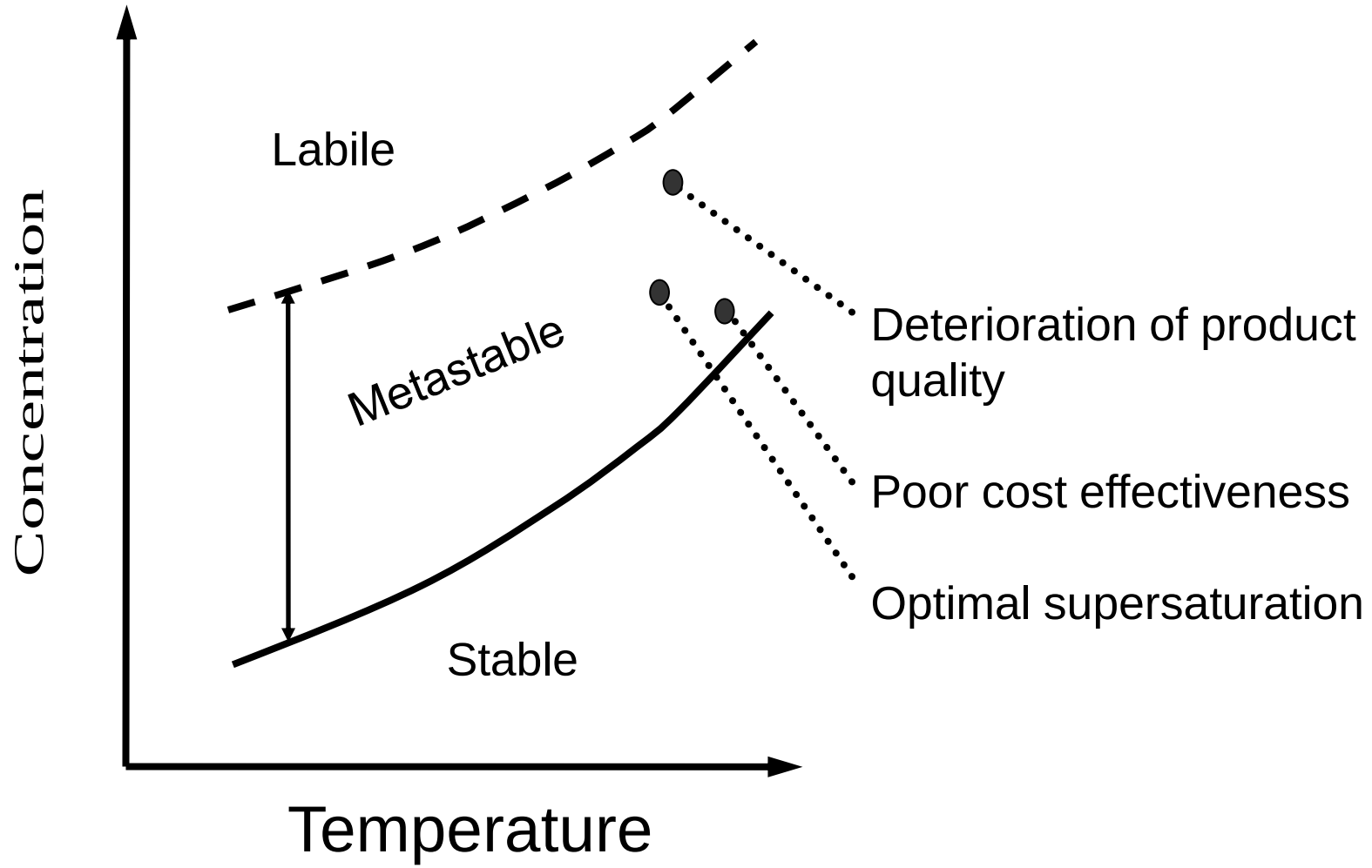
❖ **Thermodynamics:**

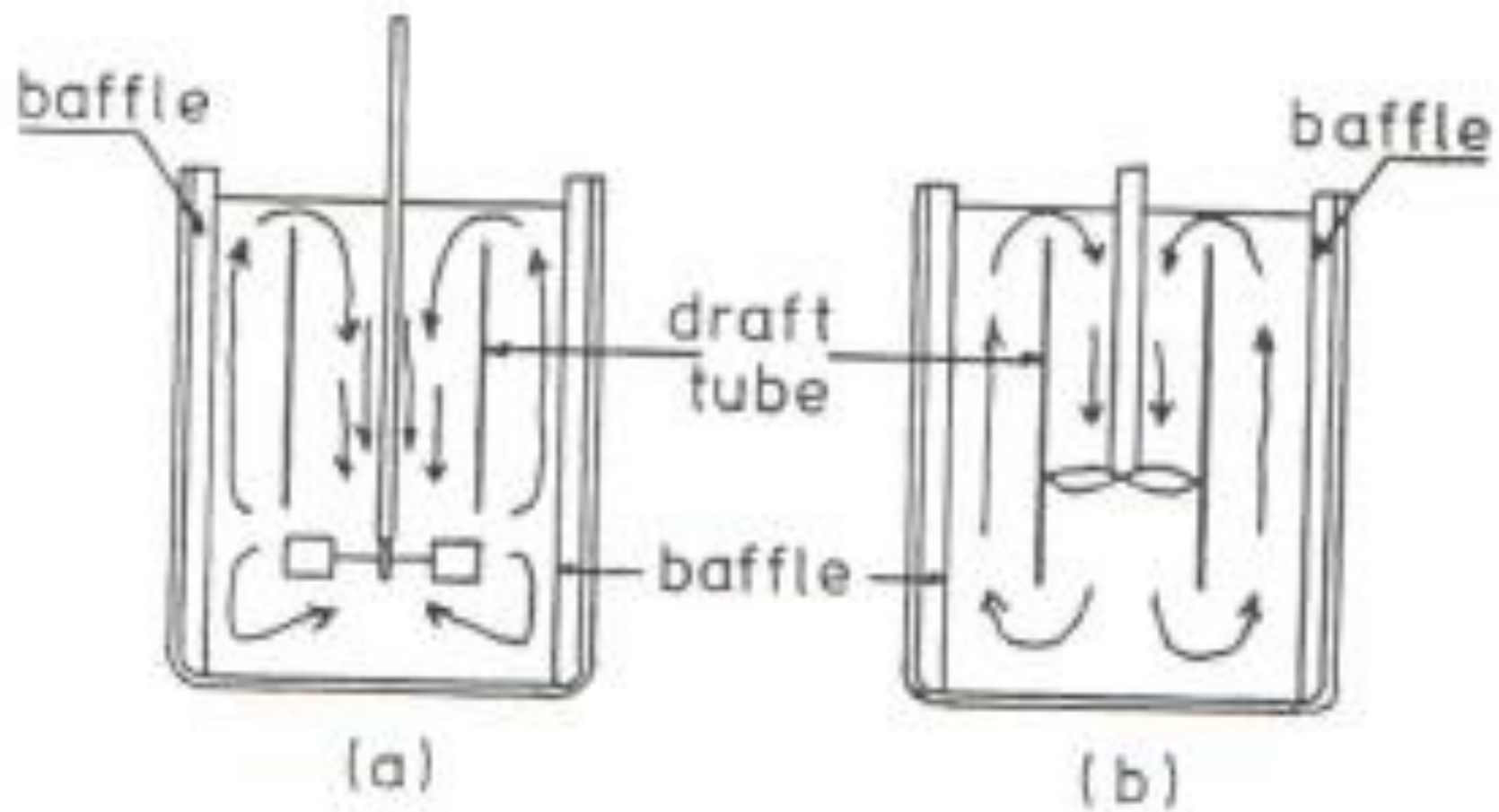
- Driving force (program)
- Phase diagram

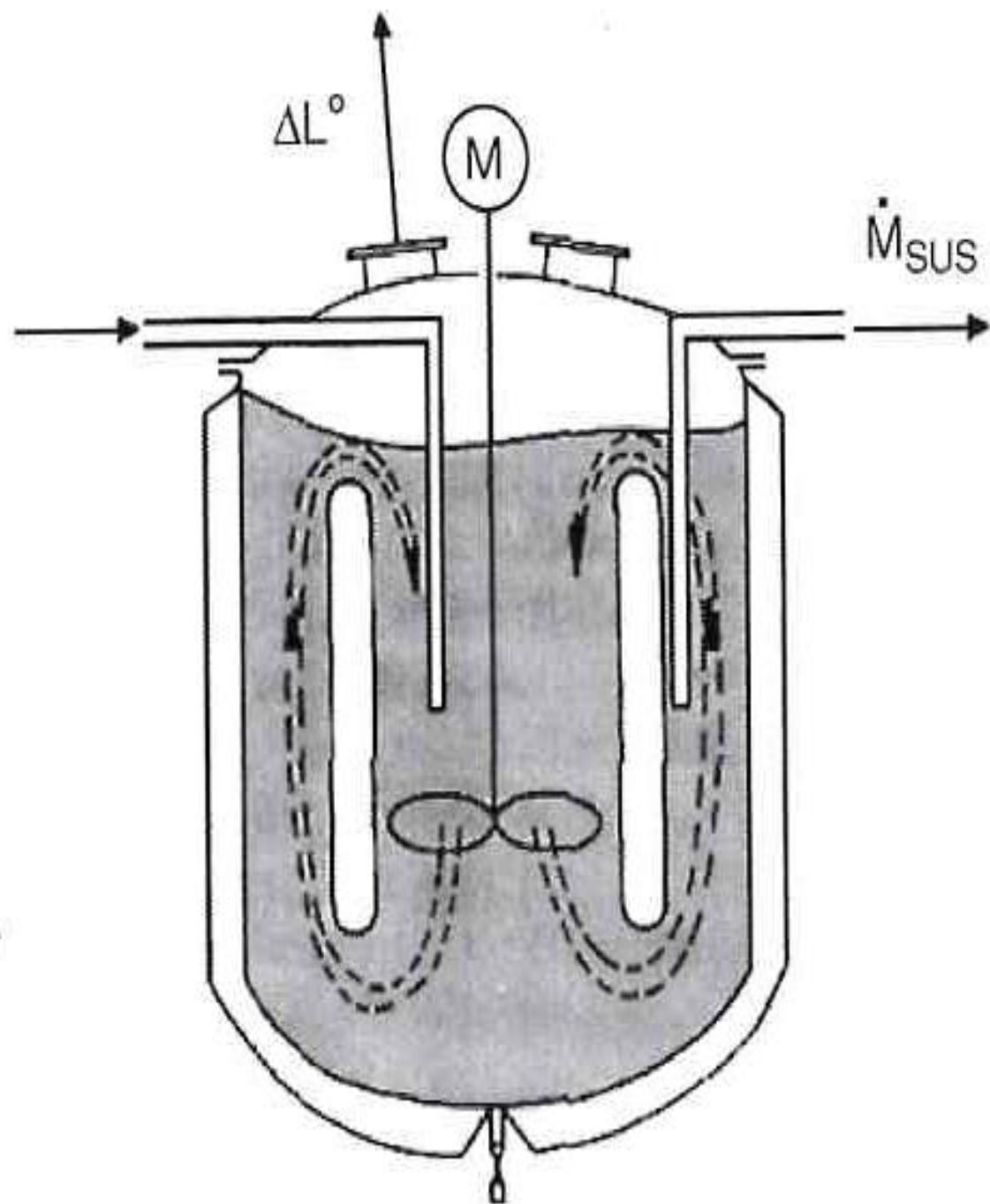
❖ **Kinetics:**

- Nucleation (place, how, when)
- Retention time
- Impurities

Metastable zone







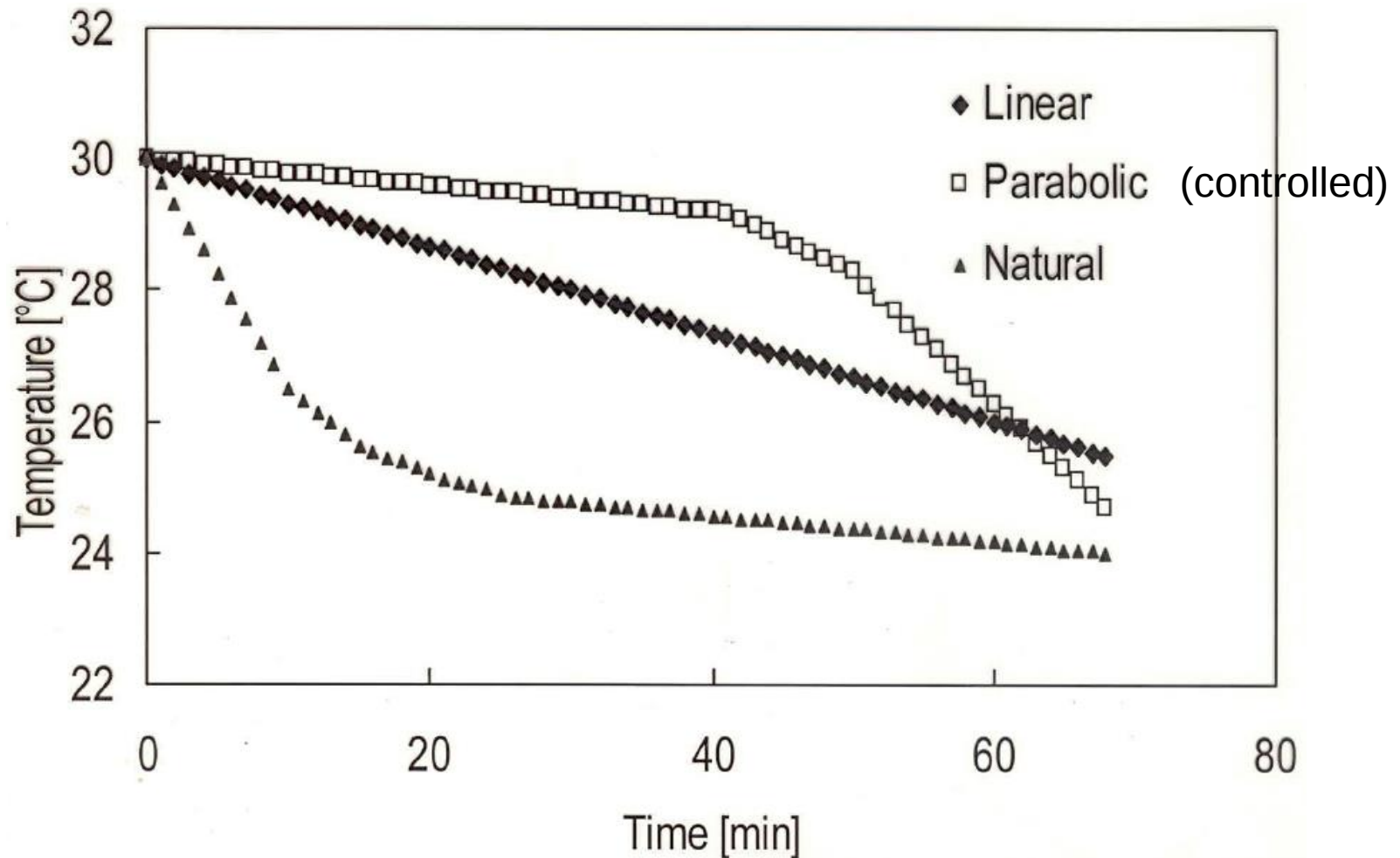
Batch crystallization

- How to control ?
 - cooling profiles
 - seeding

Classification	Cooling rate (K/h)
Slow	1-5
Realistic	5-10
Fast	10-15
Crash	> 15

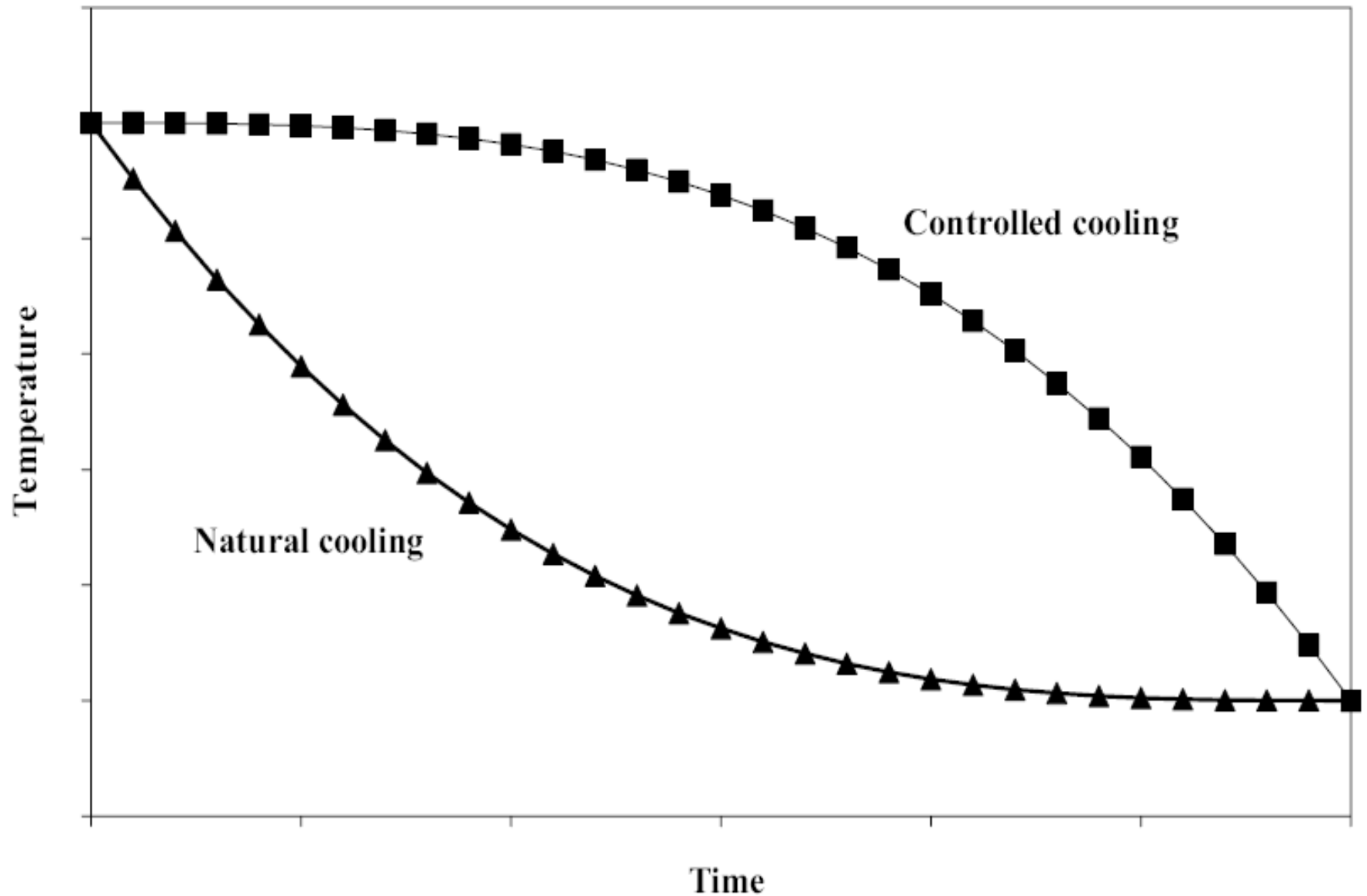
Cooling profiles

for supersaturated K_2SO_4 -solutions in a seeded batch-crystallizer



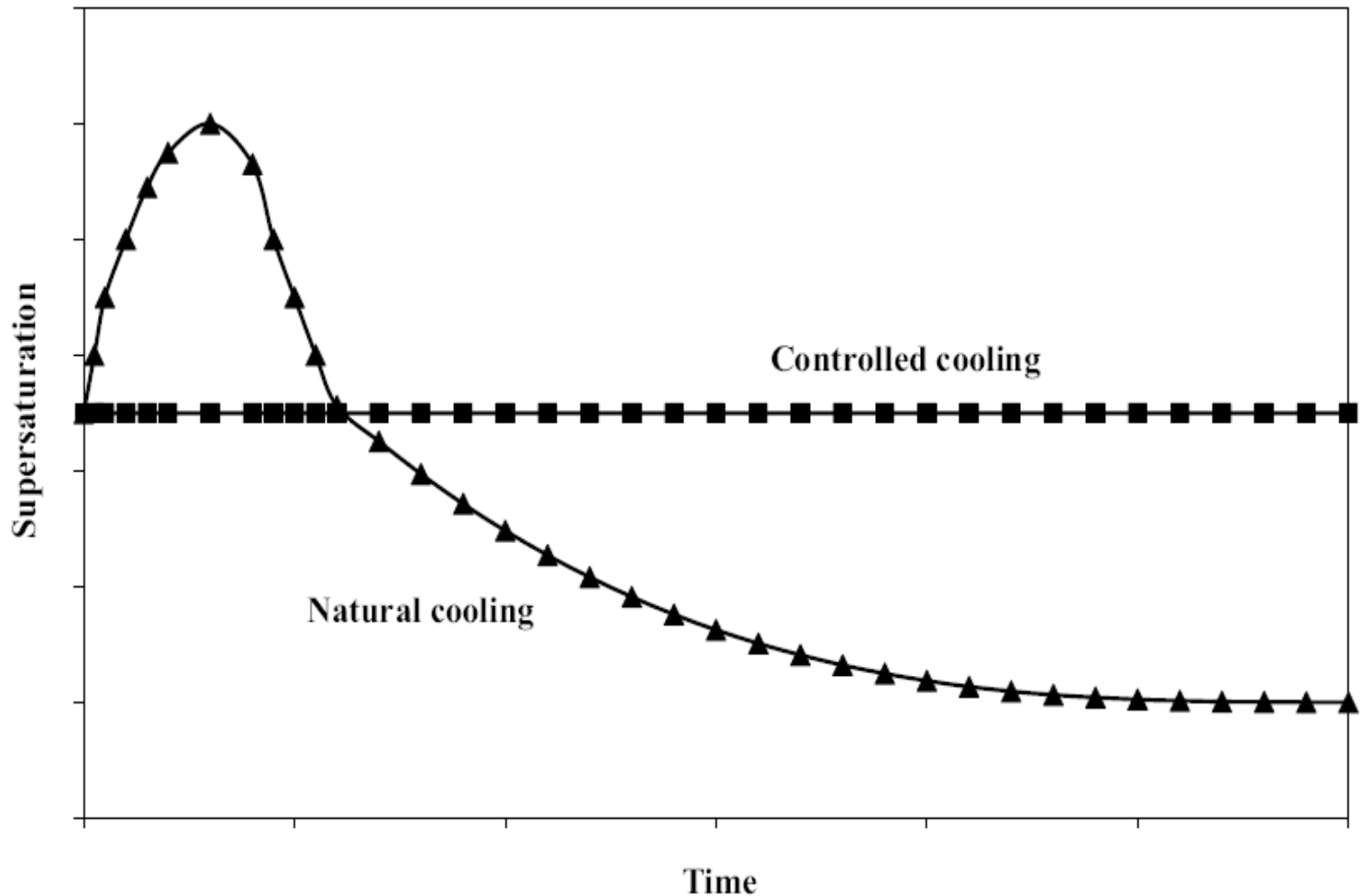
Cooling profiles

temperature profiles at natural and controlled cooling in batch crystallization



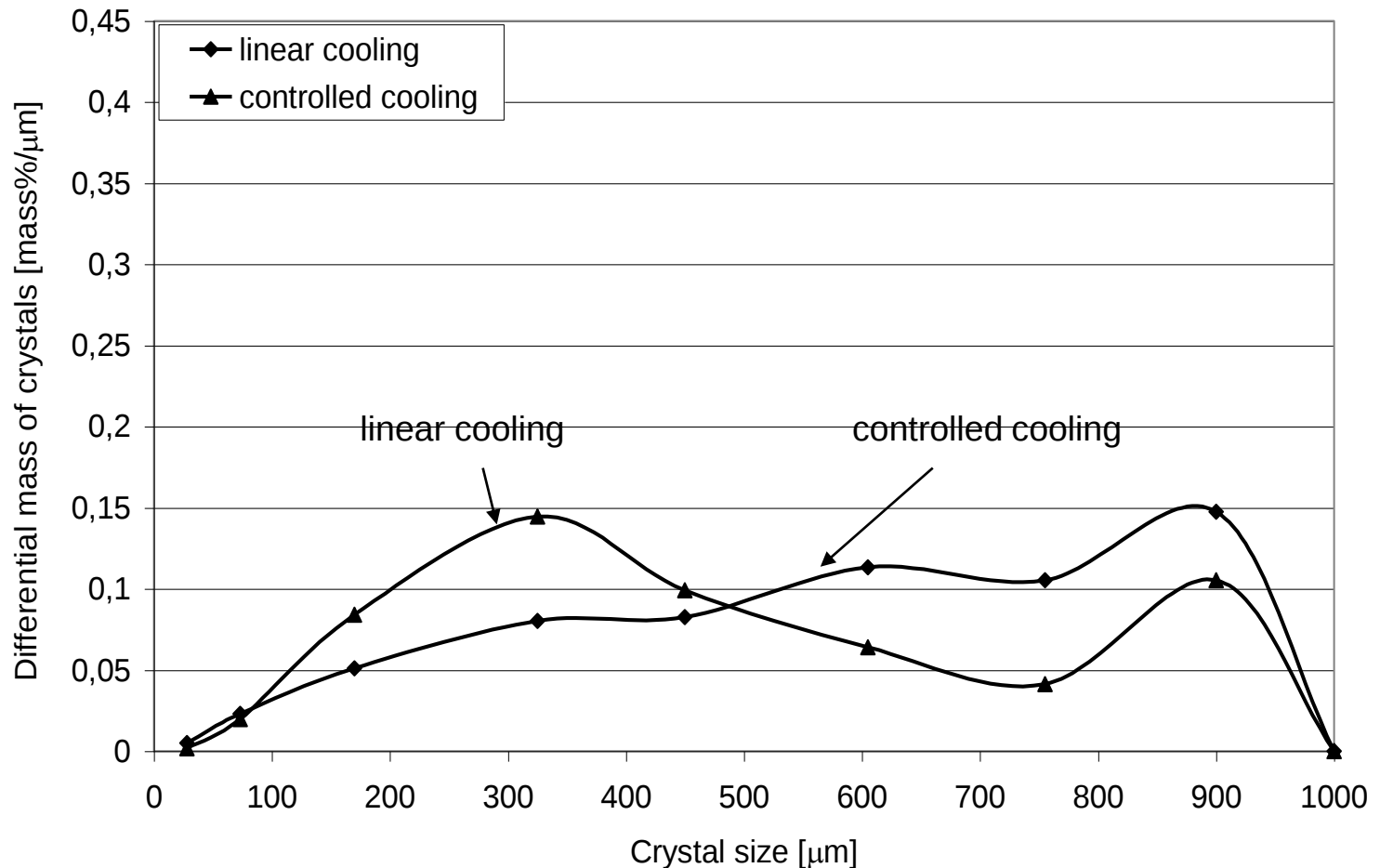
Cooling profiles

supersaturated profiles at natural and controlled cooling in batch crystallization



Example

Crystal size distribution obtained for different cooling programs without seeding

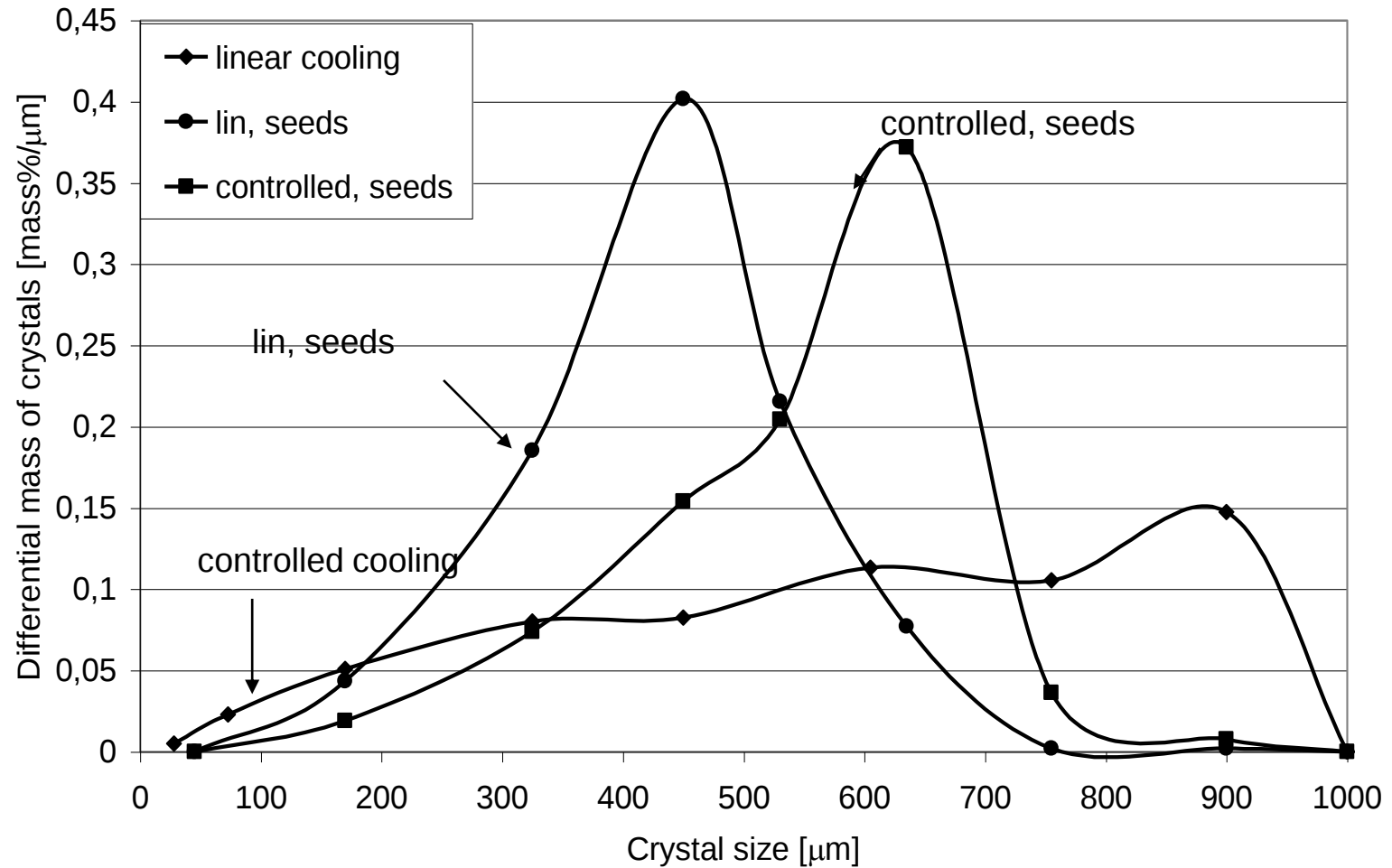


Example seeding (batch process)

- When – at what supersaturation
- How:
 - seed mass
 - seed size distribution
 - seed quality
- Supersaturation maintaining / changing profile

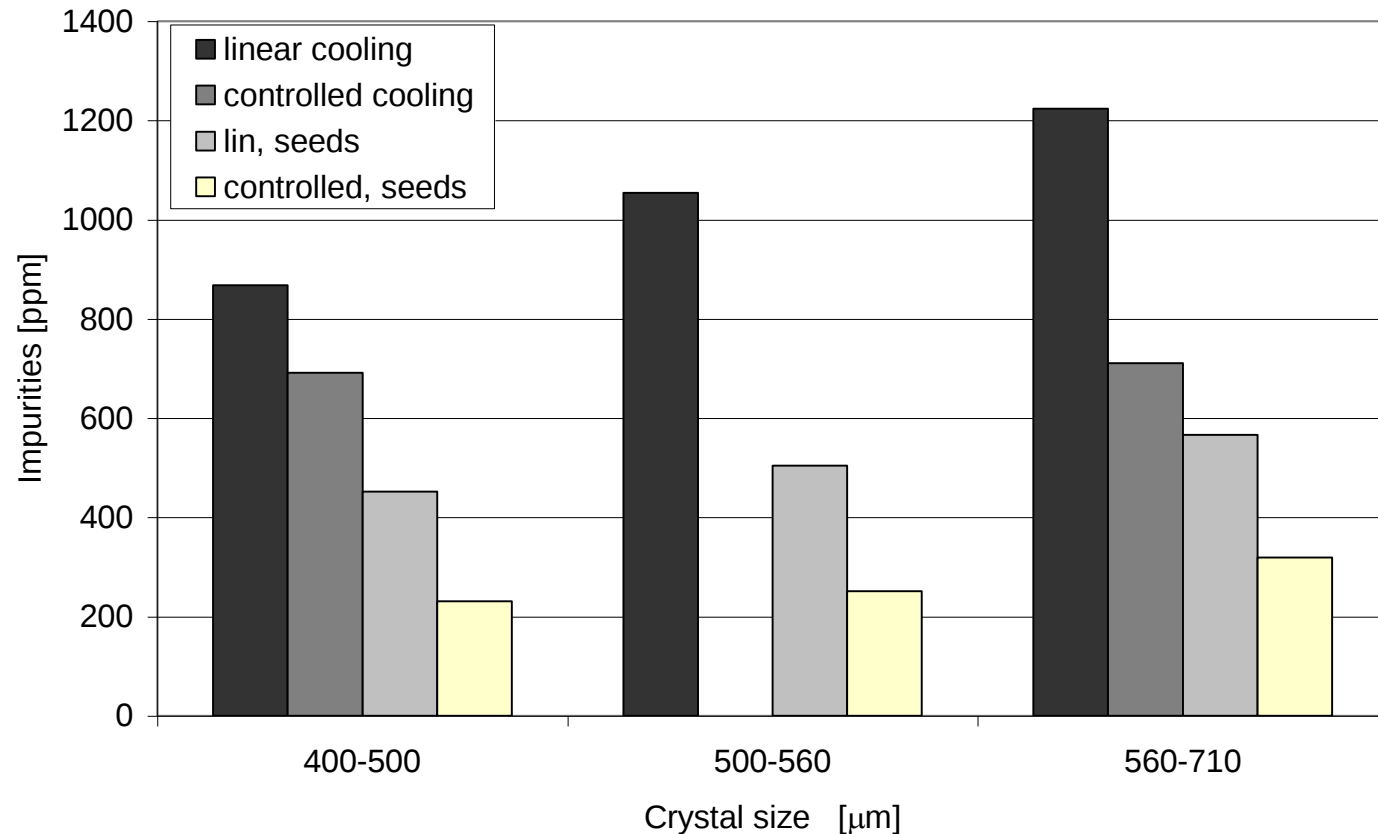
Example seeding (batch process)

Crystal size distribution: a) different cooling programs, b) with and without seeding



Example seeding (batch process)

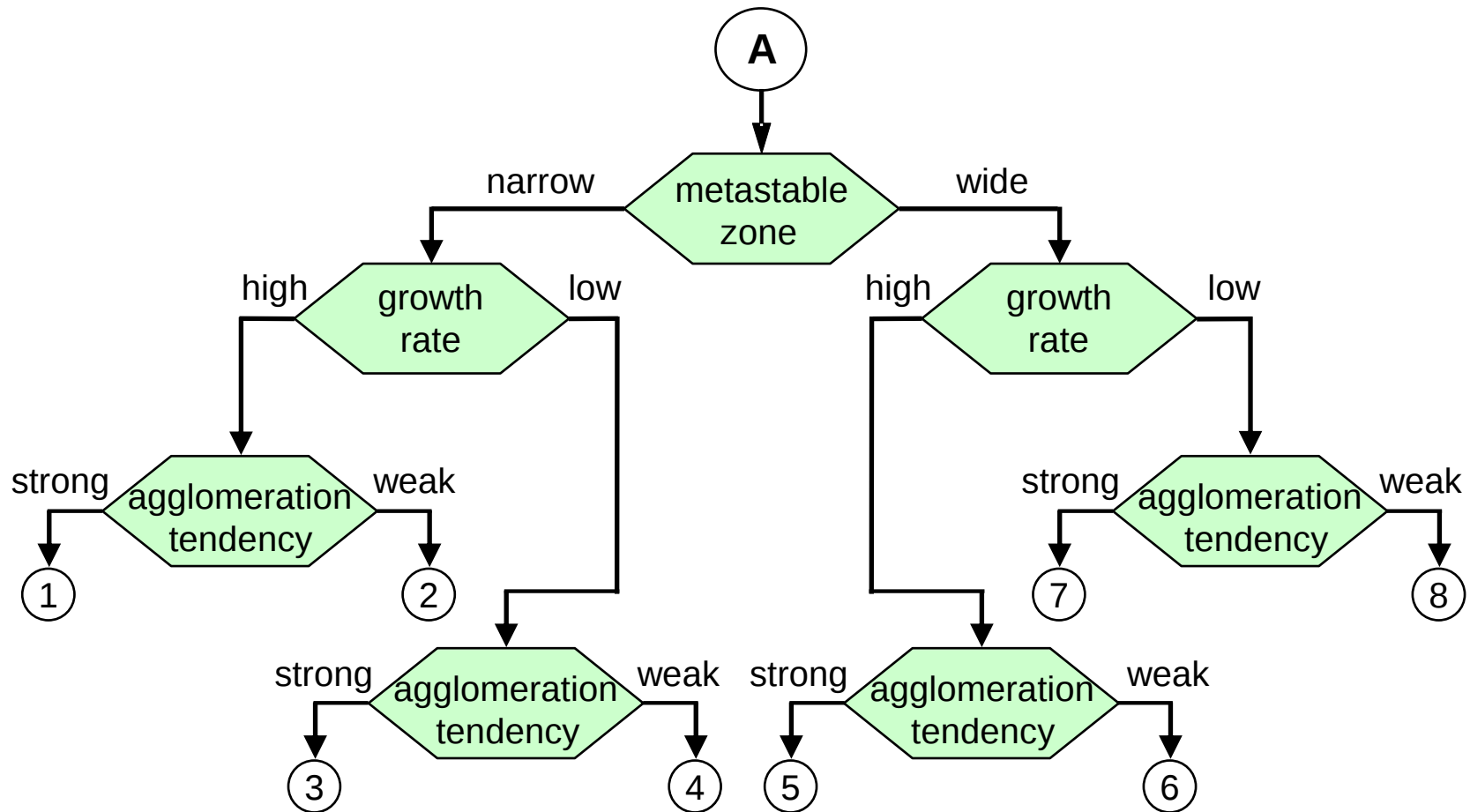
Water content in crystals for different size classes



Example

seeding (batch process)

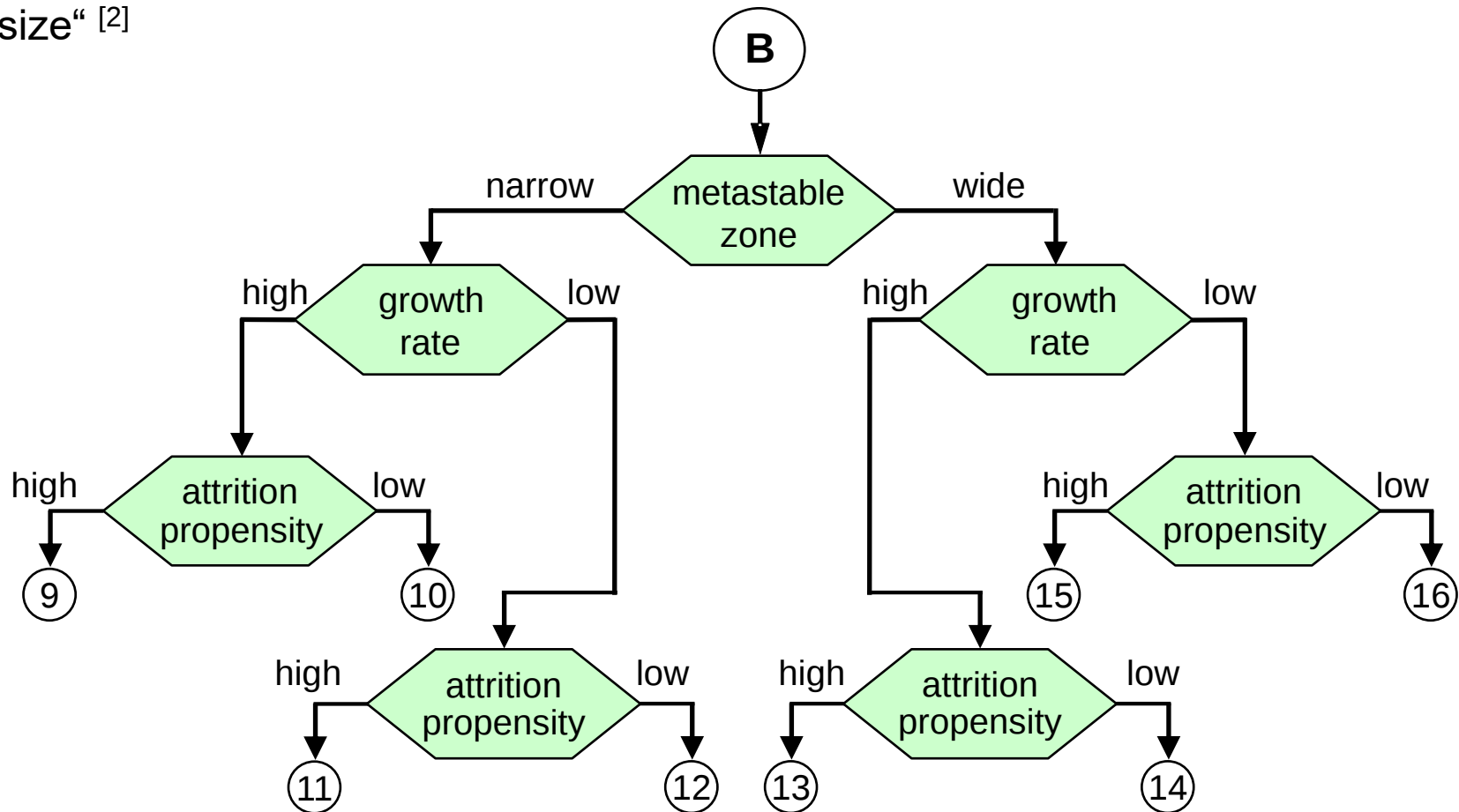
- Decision tree; target value „unimodal crystal size distribution and purity“ [2]



Example

seeding (batch process)

- Decision tree; target value „unimodal crystal size distribution and specific crystal size“ [2]



Thank you for your
attention!

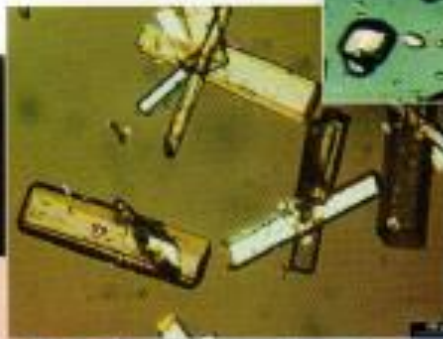
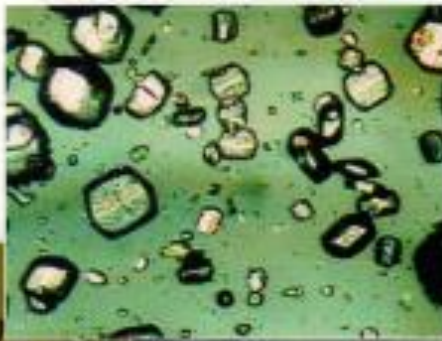
Handbook of Industrial Crystallization

Edited by
Allan S. Myerson,
Deniz Erdemir and
Alfred Y. Lee

THIRD EDITION

Crystallization

Basic Concepts and Industrial Applications



Industrial Crystallization

S. J. JANČIĆ

and

P. A. M. GROOTSCHOLTEN

*Delft University of Technology, Laboratory for Process Equipment,
Delft, The Netherlands*

Delft University Press

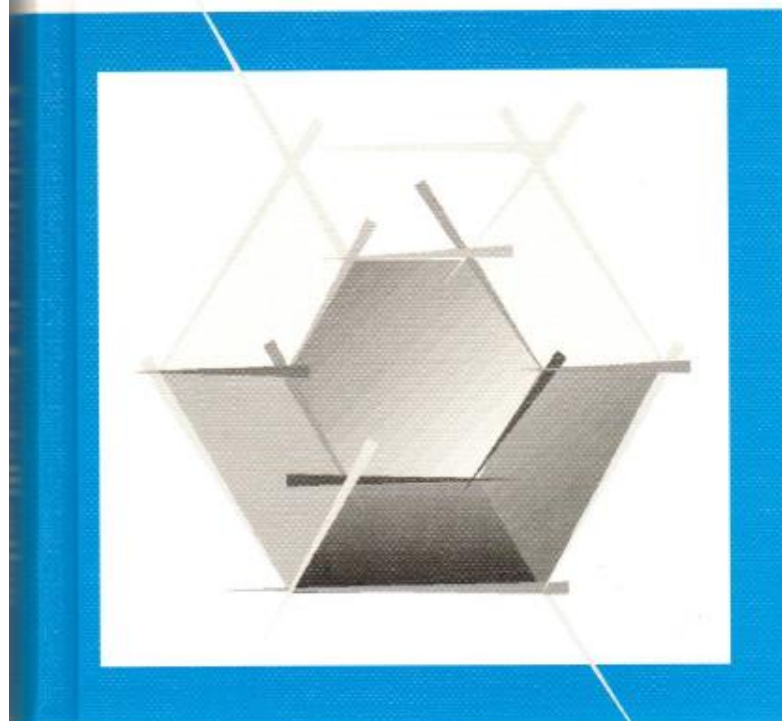


kluwer

the language of science

Jaroslav Nývlt, Joachim Ulrich

Admixtures in Crystallization



CRYSTALLIZATION

Second Edition

TECHNOLOGY

Revised and Expanded

HANDBOOK



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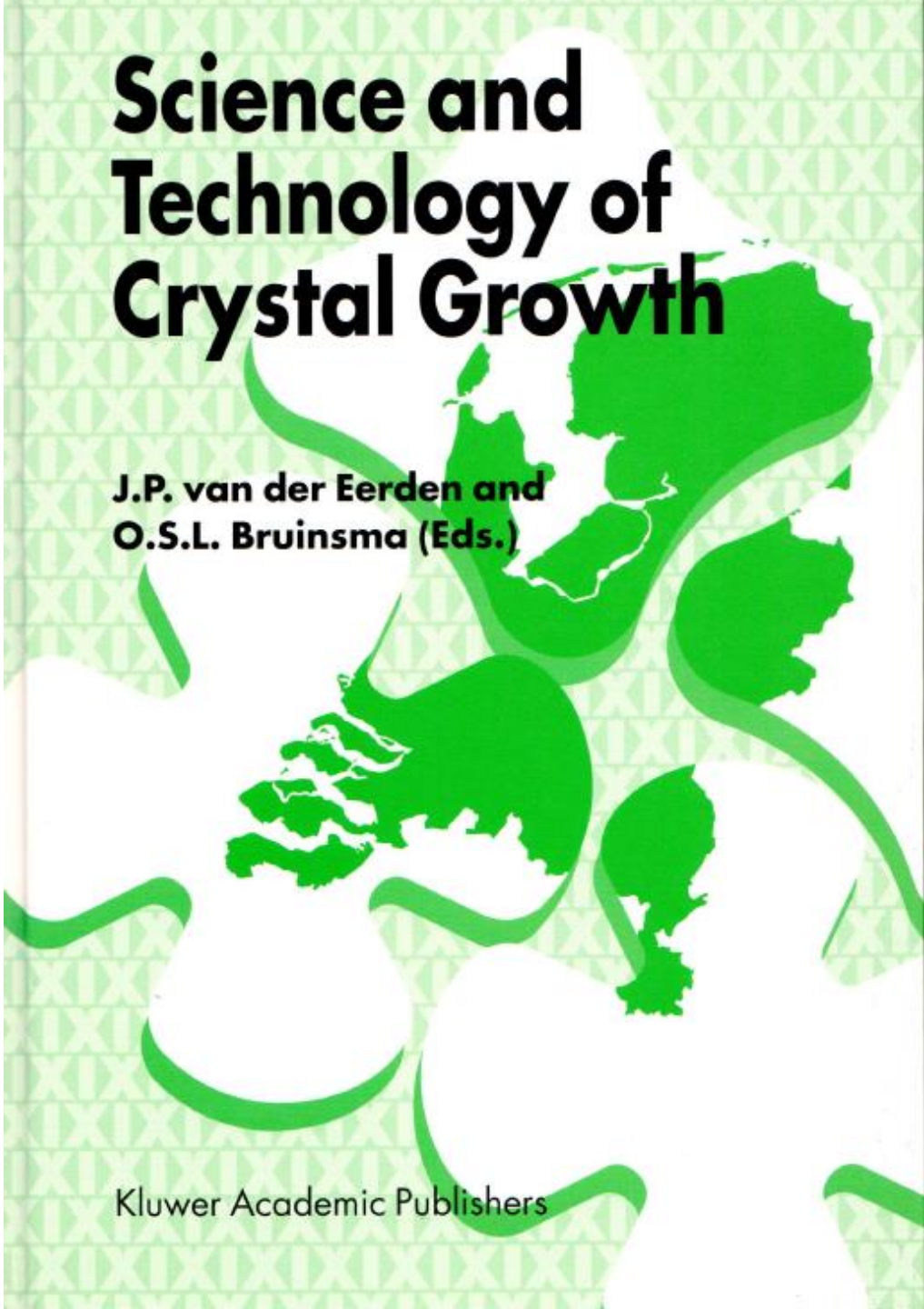


ADDITIVES AND CRYSTALLIZATION PROCESSES

From Fundamentals
to Applications

 WILEY

Science and Technology of Crystal Growth



**J.P. van der Eerden and
O.S.L. Bruinsma (Eds.)**

Kluwer Academic Publishers

Thank you for your
attention!



Protein Crystals

-more questions than answers-

Prof. Dr. Dr. h.c. Joachim Ulrich

Crystals

“Crystals are solids in which the atoms are arranged in a periodic repeating pattern that extends in three dimensions.

While all crystals are solids, not all solids are crystals.

Materials that have short-range rather than long-range ordering, like glass, are non-crystalline solids.

A non-crystalline solid is often referred to as an amorphous solid” [MYE02].

Polymorphism, Hydrates, Solvates

Grant [GRA99, Loh06] defines *polymorphism, amorphous solids and solvates* as:

“**Polymorphism** is often characterized as the ability of one drug substance (chemical compound) to exist in two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice”.

“**Solvates** are crystalline solid adducts containing either stoichiometric or nonstoichiometric amounts of a solvent incorporated within the crystal structure. If the incorporated solvent is water, the solvates are also commonly known as ***hydrates***”.

Grant, D.J.W., Theory and Origin of Polymorphism, In: Polymorphism in Pharmaceutical Solids, edited by Harry G. Brittain, Marcel Dekker Inc., New York, 1999, 1-34, ISBN: 0-8247-0237-9.

Lohani, S., Grant, D.J.W., Thermodynamics of Polymorphs, In: Polymorphism in the Pharmaceutical Industry, edited by Rolf Hilfiker, Wiley-VCH, Weinheim, 2006, 21-41, ISBN: 978-3-527-31146-0.

Phase diagrams

“A phase diagram graphically represents (in two or three dimensions) the equilibria between various phases of a system in a wide range of temperature, pressure and concentration/composition.

It specifies the equilibrium conditions (T , p and x) and the corresponding phase present at this state.

Thus, in case of SLE, the phase diagram also tells about the solid phases occurring in a system, such as polymorphs, solvates or intermediate compounds” [LOR13].

Introduction

protein crystallization

special characteristics of protein crystallization^{1), 2)}

multi-component
system
complexity /
diversity

often restricted crystallization conditions
(limited solubility data, risk of denaturation, loss of activity)
(e.g. hydrogen bonds, salt bridges, hydrophobic forces)

very high supersaturation required
(temperature, buffer pH, solvent composition, crystallizing agent e.g. salt, low nucleation)
(macromolecules, asymmetry)

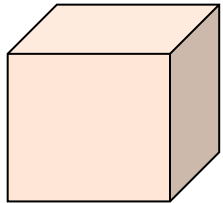
crystal lattice
(large number of contacts between the molecules, crystal channels)

¹⁾ McPherson A.: Crystallization of biological macromolecules; *Cold Spring Harbor Laboratory Press, Cold Spring* 1998.

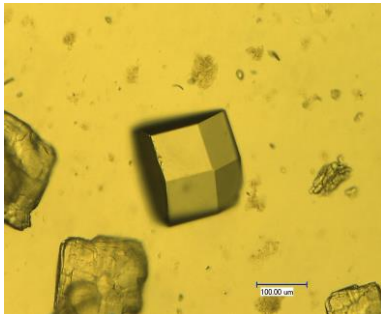
²⁾ Banga A.K.: Therapeutic peptides and proteins; second edition, *CRC Press* 2006.

production conditions of different lysozyme crystal morphologies

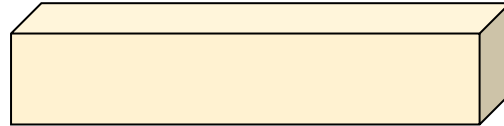
Tetragonal⁵⁾



acetate buffer pH5,
50 mg/mL,
4 wt% NaCl, **4 °C**

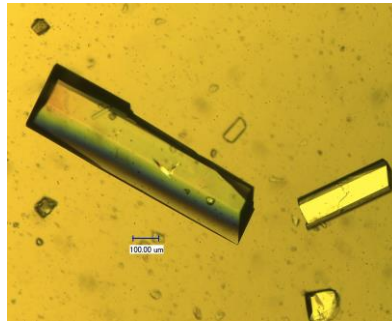


HTO⁵⁾

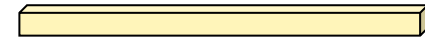


High Temperature Orthorhombic

acetate buffer pH5,
115 mg/mL,
6 wt% NaCl, **37 °C**

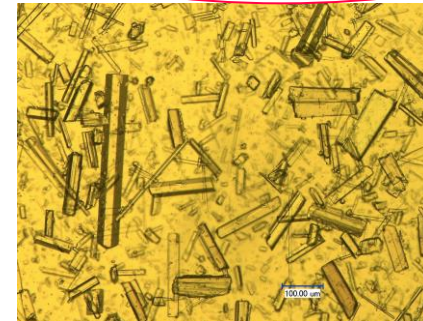


LTO⁵⁾



Low Temperature Orthorhombic

glycine buffer pH9.6,
50 mg/mL,
4 wt% NaCl, **20 °C**



→ different crystallization conditions → different lysozyme crystal morphologies

⁵⁾ AlDabaihbeh, N. *PhD Thesis*, Department of Chemical & Biological Engineering, Illinois Institute of Technology, 2010.

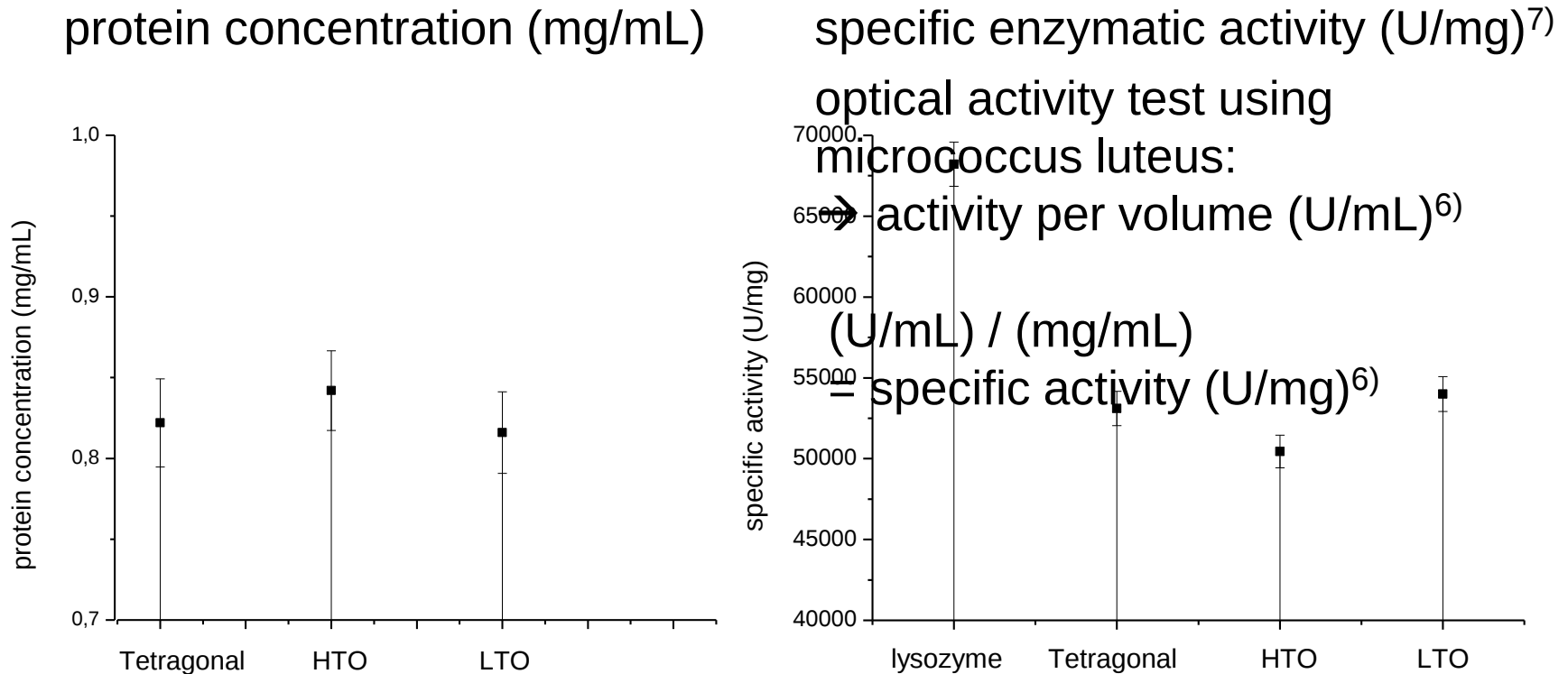
analysis of lysozyme crystals

4 main components

- 1) **lysozyme** (protein)
 - **protein concentration**
 - **activity**
 - XRPD (powder x-ray diffraction)
- 2) **buffer solution** (high solvent content)
 - **dyeing / coloring**
 - pH
- 3) **free + bound water** (solvent content) - **TGA** (thermo gravimetric analysis)
- 4) **NaCl** (crystallizing agent)
 - conductivity
 - silver nitrate (precipitation)
 - **EDX** (energy dispersive x-ray spectroscopy)
 - XRPD

1) lysozyme (protein)

protein concentration + enzymatic activity



→ highest protein content: HTO > Tetragonal > LTO

→ specific activity: LTO > Tetragonal > HTO

⁶⁾ Löffler G.: *Basiswissen Biochemie*, 5. Auflage, Springer 2003

⁷⁾ Shugar D.: *Biochimica et Biophysica Acta* 8 (1952) 302-309

2) buffer solution (free water)

dyeing / coloring

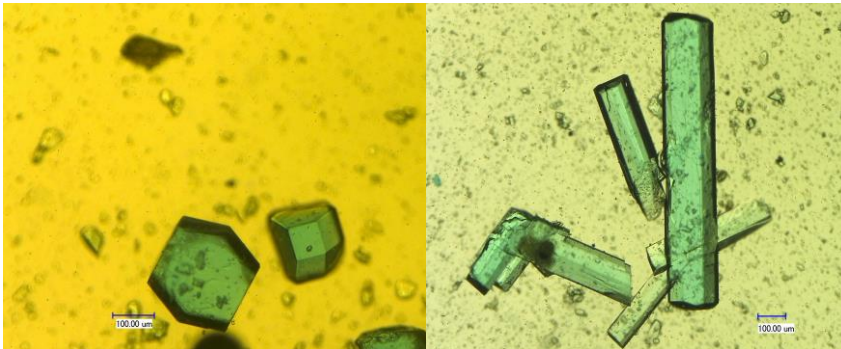
Tetragonal, HTO and LTO lysozyme crystals

+ methylene blue

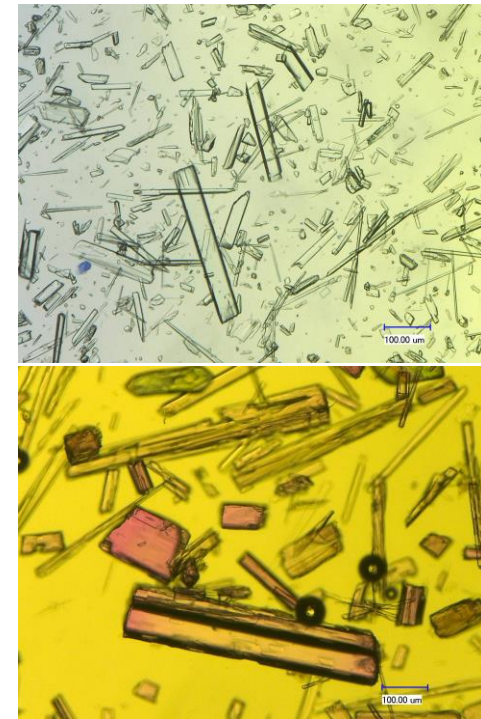
Tetragonal

HTO

after 24h



LTO



→ buffer solution inside the crystals

+ phenolphthalein after 24h

Müller, C., How to describe protein crystals correctly? –case study of lysozyme crystals-, Dissertation, Martin-Luther-Universität Halle-Wittenberg, 2012, <http://141.48.65.178/hs/content/titleinfo/1177647>.

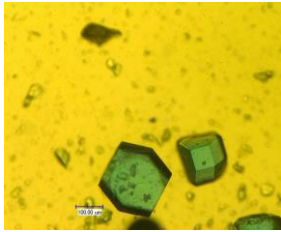
2) buffer solution (free water)

dyeing / coloring

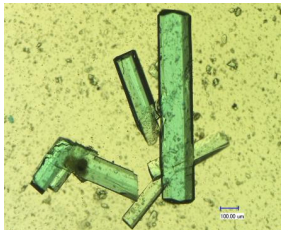
why?

→ size and shape of the dye molecule
and crystal pores

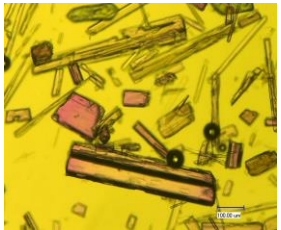
Tetragonal



HTO

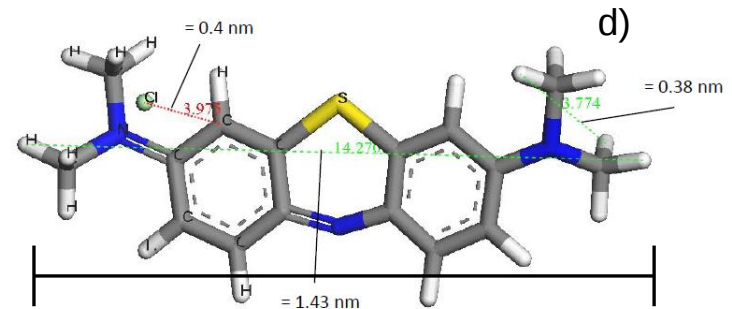


LTO



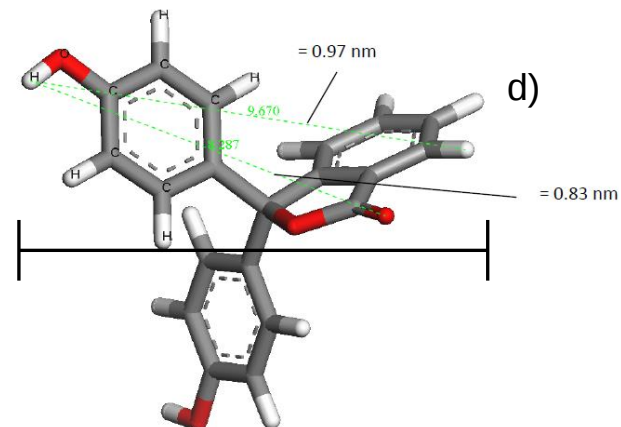
methylene blue

1.43 nm



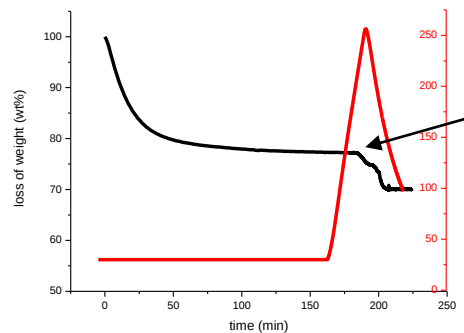
phenolphthalein

0.97 nm



3) free (buffer)+ bound water TGA^{e)}

Tetragonal

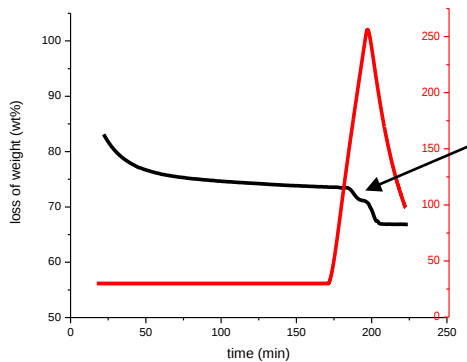


1. step: free water molecules (buffer in the void spaces)

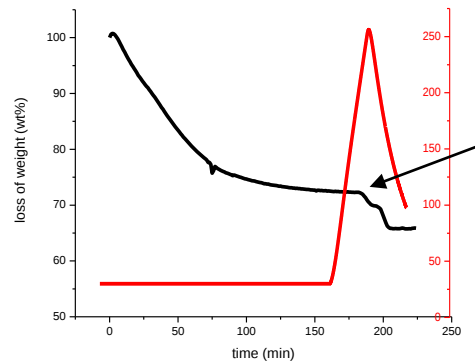
2. step: bonded water molecules (crystal lattice)

decomposition

HTO



LTO



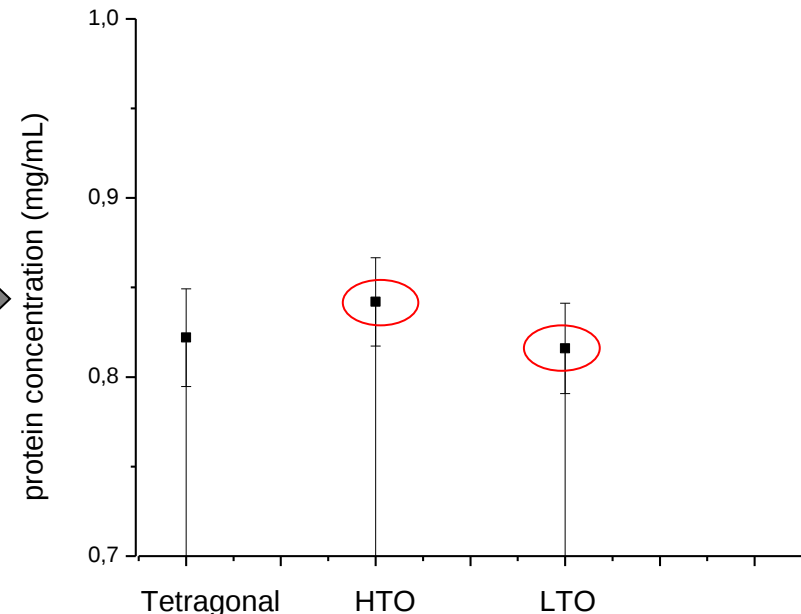
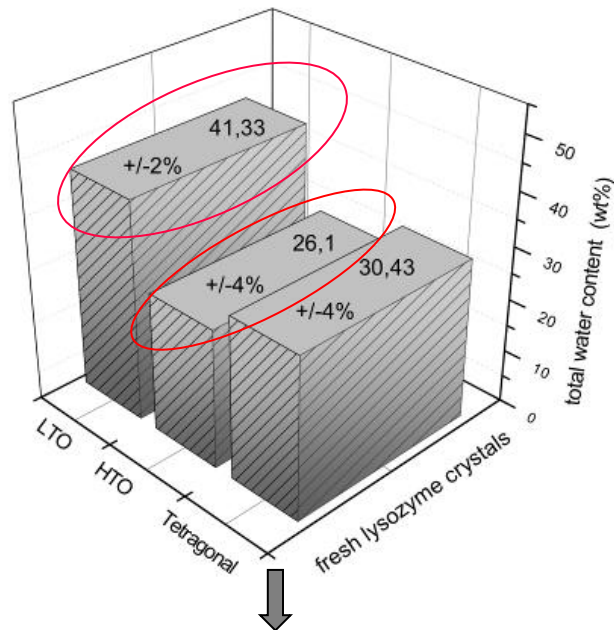
→ two “types” of water (free and bound)

^{e)} TGA _ Thermogravimetric analysis

⁸⁾ Petrova et al.: *Crystallography Reports*, 52, 2 (2007), 275-279

3) free (buffer) + bound water TGA^e) results

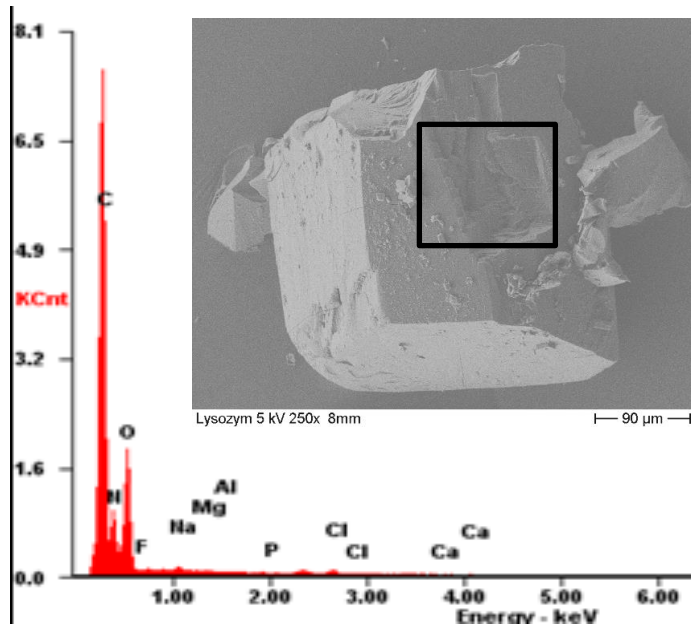
1. + 2. step: loss of water
→ total water content of fresh
lysozyme crystals by TGA



→ total water content varies
→ LTO > Tetragonal > HTO

4) NaCl (crystallizing agent) EDX^{f)}

➤ Tetragonal:



surface sample

Element	wt%	at wt%
C	63.90	70.16
N	15.50	14.59
O	16.40	13.51
F	00.07	00.05
Na	00.39	00.22
Mg	00.00	00.00
Al	00.11	00.05
P	00.00	00.00
S	01.53	00.63
Cl	02.00	00.74
Ca	00.11	00.04

interior sample

Element	wt%	at wt%
C	63.53	70.54
N	14.88	14.17
O	15.12	12.60
F	00.09	00.06
Na	00.58	00.34
Mg	00.03	00.02
Al	00.03	00.01
P	00.02	00.01
S	02.38	00.99
Cl	03.28	01.23
Ca	00.06	00.02

- Tetragonal lysozyme crystals contain NaCl
- no homogeneity
- same is assumed for HTO and LTO

^{f)}EDX _ Energy dispersive X-ray Spectroscopy by REM Jeol SM 7401 F with the EDX System genesis of EDAX

4) crystallizing agent

In the paper:

„Growth Inhibition of Protein Crystals: A study of Lysozyme Polymorphs“
of Heijna, M.C.R., van Enckevort, W.J.P., Vlieg., E. [Hej08],

the following sentence is to be found:

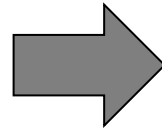
„Note:

***The various possible crystal structures of lysozyme are not polymorphs
in the strictest sense of the word, because
the salts are incorporated in the crystal and thus induce different
compositions.“***

analysis of lysozyme crystals - conclusion

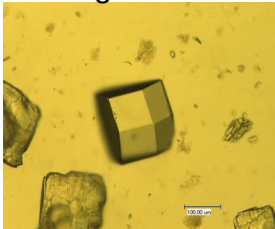
4 main components

lysozyme crystals

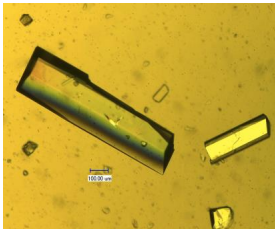


4 components

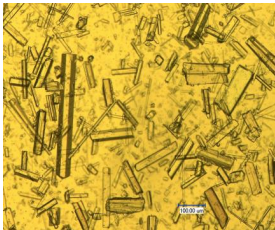
Tetragonal



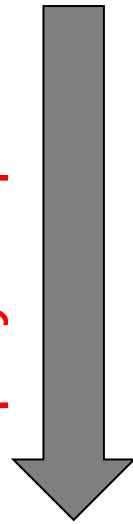
HTO



LTO



no polymorphism



→ ≠ crystallization conditions – Tetragonal, HTO and LTO morphologies show **variations in the component fractions**

Tetragonal - HTO → “pseudo“- solvates

LTO

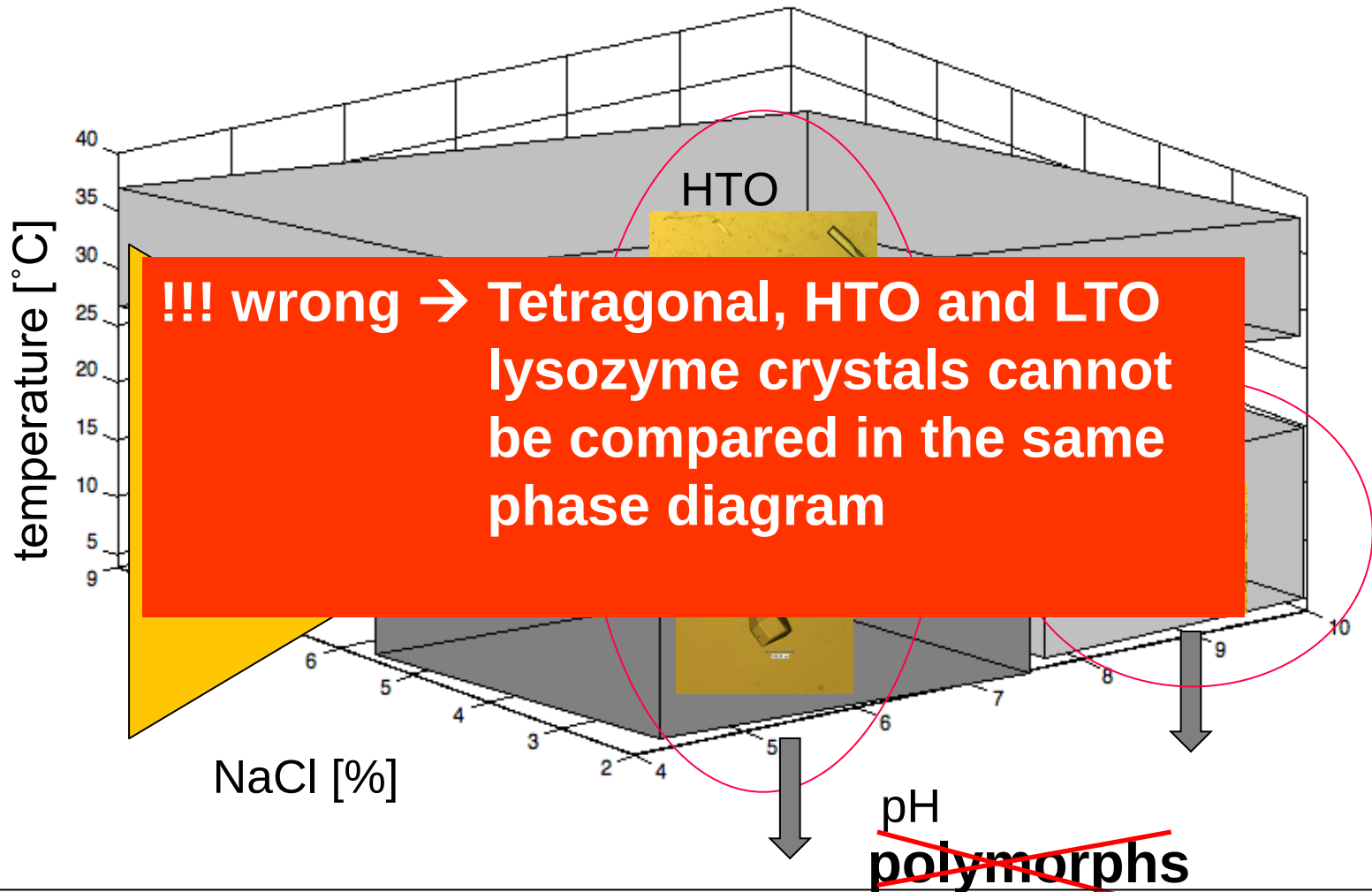
→ chemically a different solvate

phase
diagram



solubility of lysozyme crystals

phase diagram⁵⁾



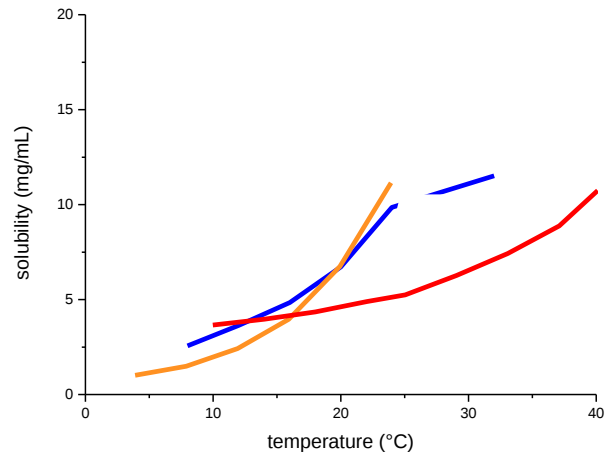
⁵⁾N. AlDabaihbbeh: PhD Thesis, Department of Chemical & Biological Engineering, Illinois Institute of Technology, 2010
Müller, C., How to describe protein crystals correctly? –case study of lysozyme crystals-, Dissertation, Martin-Luther-Universität Halle-Wittenberg, 2012., <http://141.48.65.178/hs/content/titleinfo/1177647>

solubility of lysozyme crystals

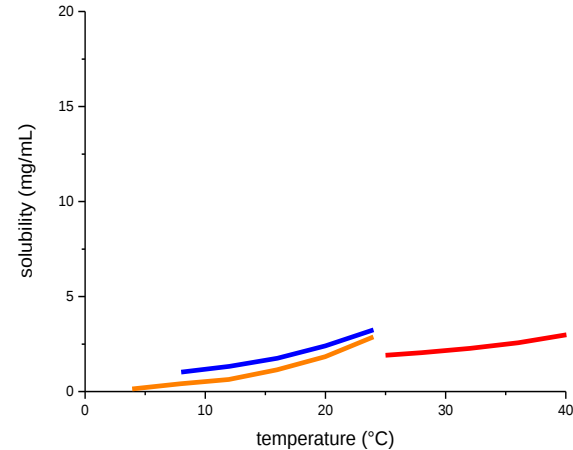
→ new LTO solubility data

--- Tetragonal
--- HTO
--- LTO

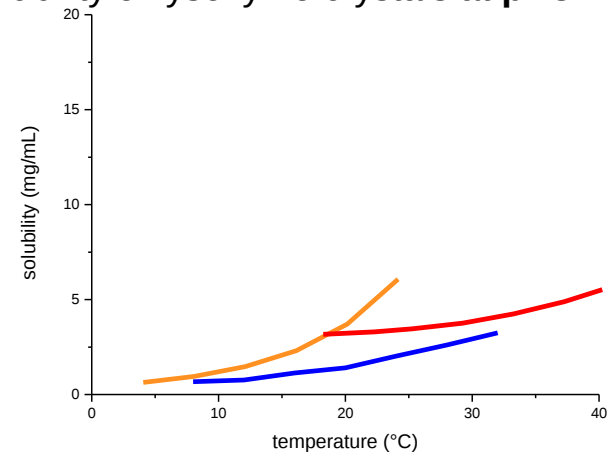
solubility of lysozyme crystals at **pH5.7 + 3 wt%**



solubility of lysozyme crystals at **pH5.7 + 7 wt%**



solubility of lysozyme crystals at **pH8 + 3 wt%**

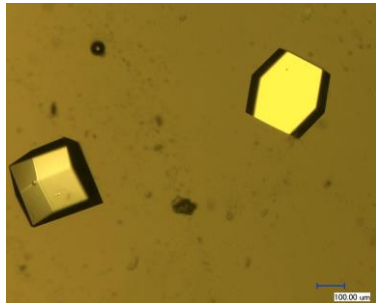


production conditions of different lysozyme crystal morphologies

Tetragonal

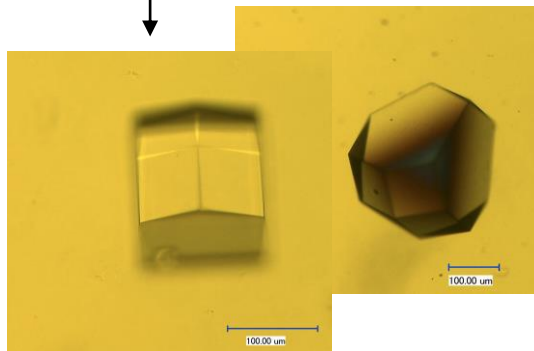
acetate buffer pH5,
50 mg/mL,
4 wt% **salt**, 4 °C

KCl, 24h



Tetragonal

CaCl₂*2H₂O, 24h

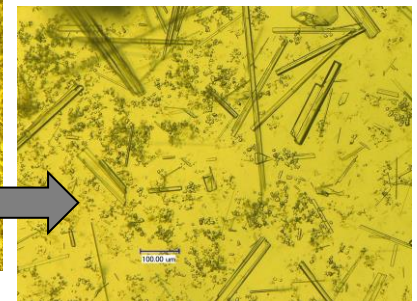
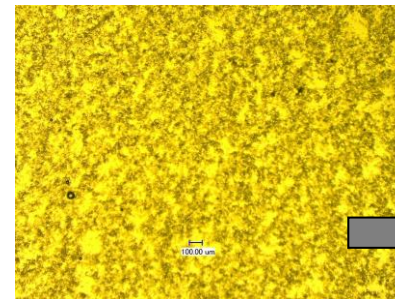


Tetragonal

Na₂CO₃,

24 h

14 d



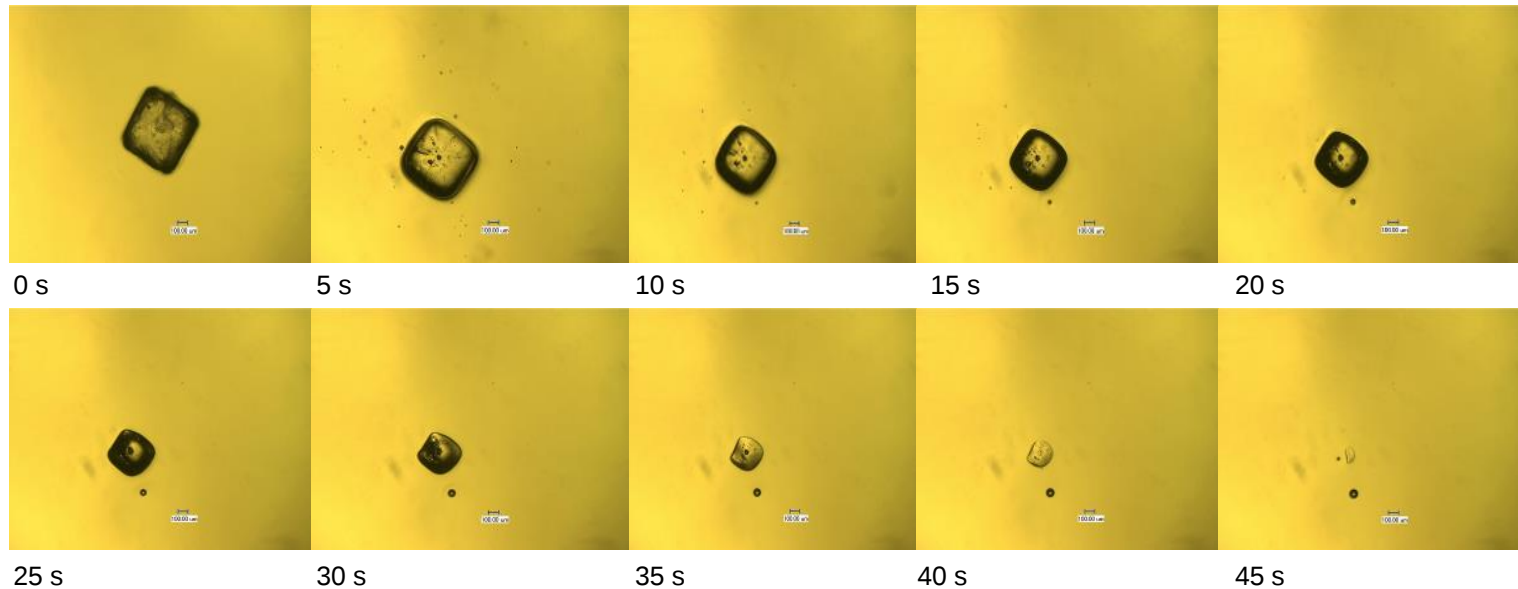
Orthorhombic

→ **type of salt has high impact on crystal outcome**

dissolution of lysozyme crystals

dissolution behavior of NaCl

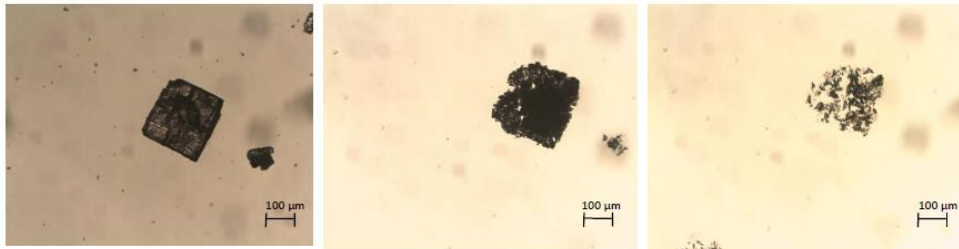
- known dissolution behavior of NaCl crystals in distilled water at room temperature



dissolution of lysozyme crystals

dissolution behavior

Tetragonal

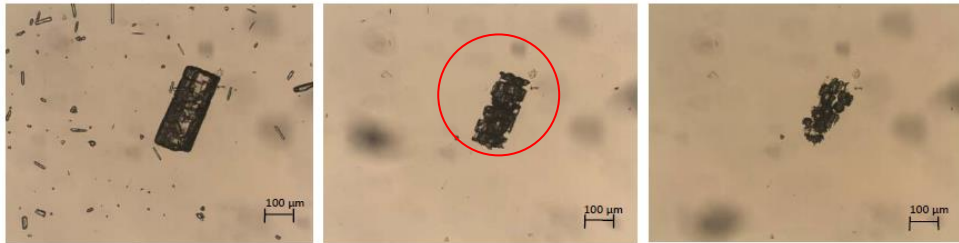


10 s

10 h

40 h

HTO

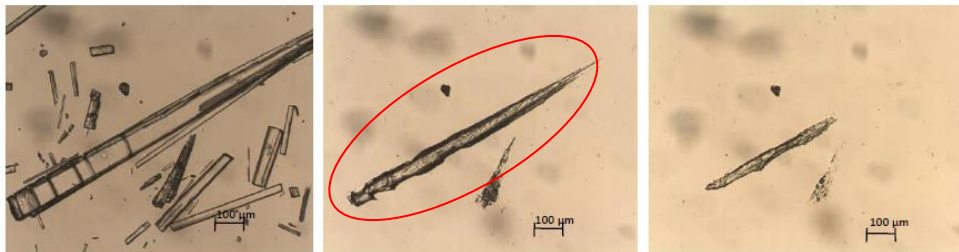


10 s

2 h

8 h

LTO



10s

10h

18h

→ fresh lysozyme crystals
in sodium phosphate
buffer pH8 + 2 wt%
NaCl at 16 °C

→ HTO falls apart in
irregular particles

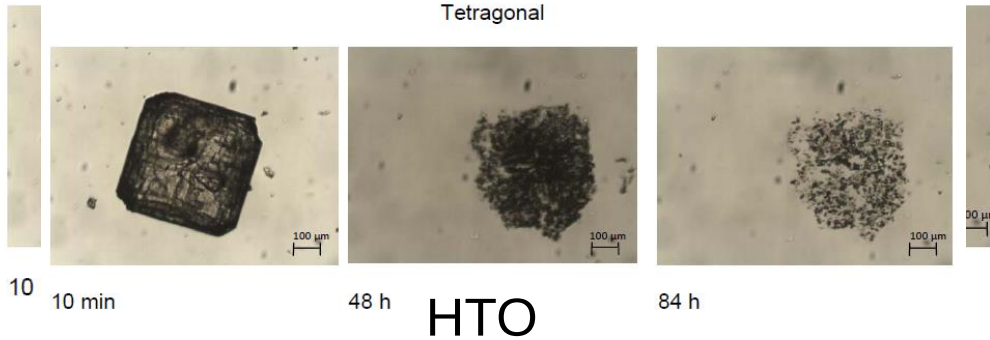
→ LTO changes its
dissolution behavior
again – no fragments

dissolution of lysozyme crystals

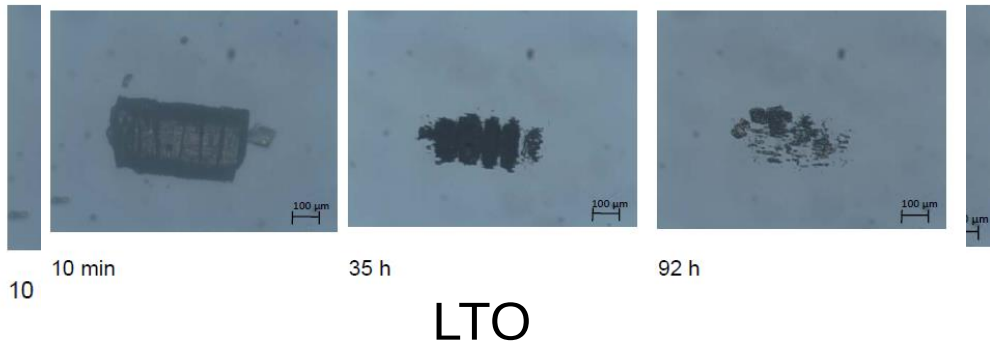
dissolution phenomenon

Tetragonal

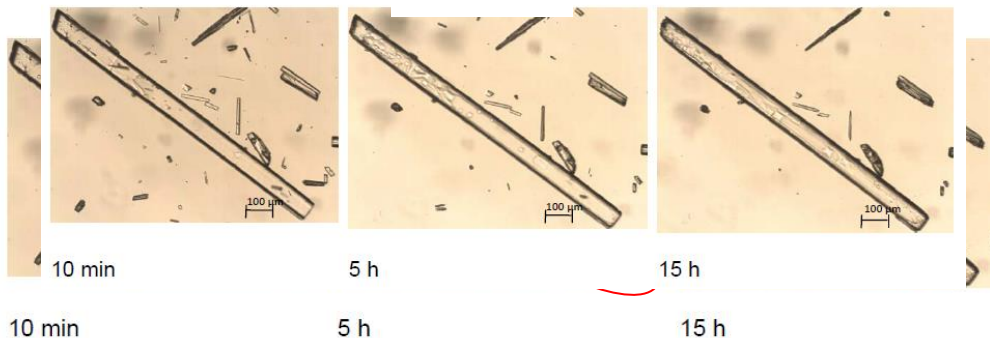
Tetragonal



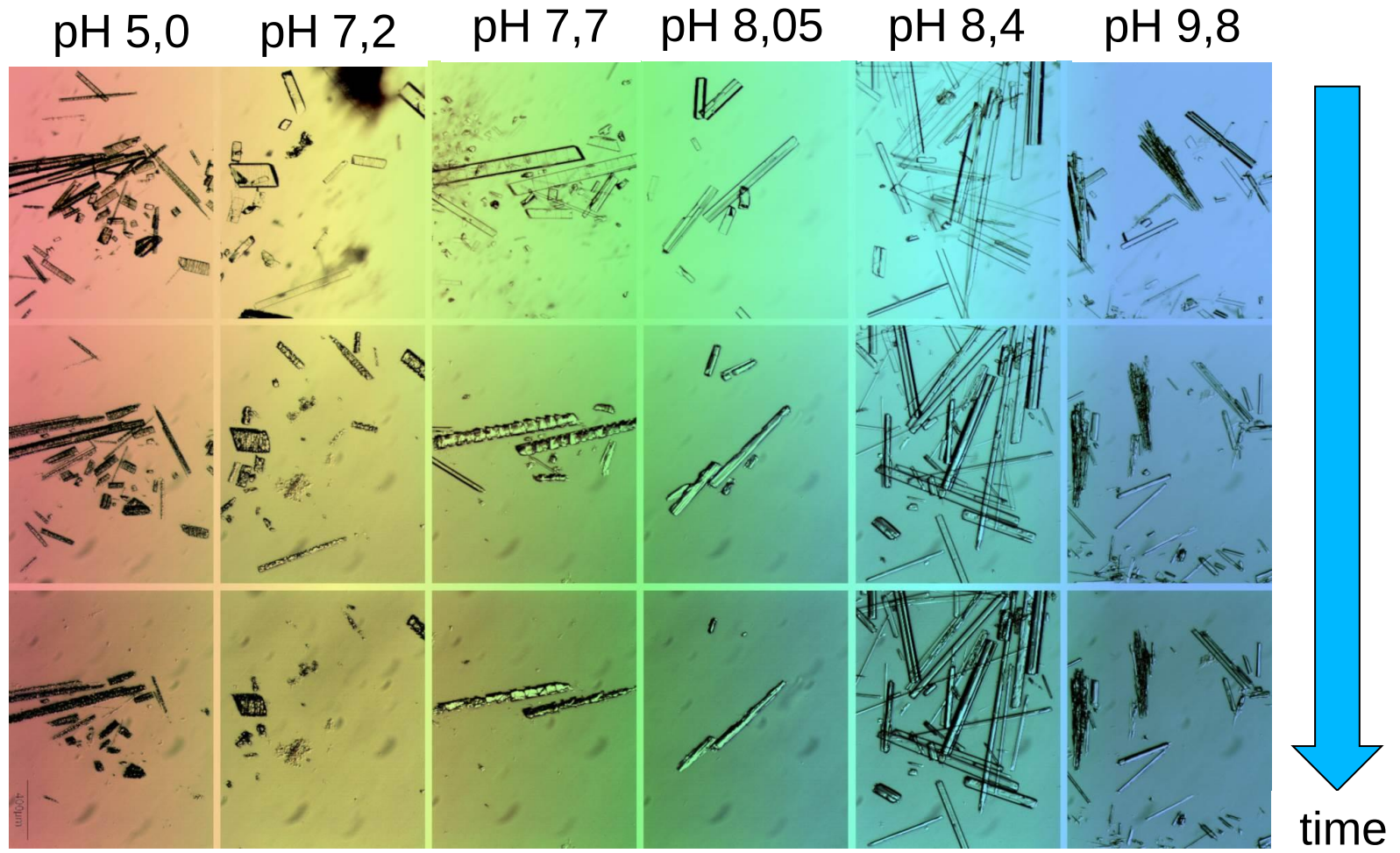
- fresh lysozyme in glycine buffer pH10 + 7 wt%
- crystals fall apart during dissolution



- HTO changes the dissolution behavior of the “bar” shaped fragments of the HTO and LTO lysozyme crystals
- LTO seems to not dissolve



dissolution behaviour versus pH-Value



Summary

- It is clear that the established terms from industrial crystallization do not fit the phenomena to be found in protein crystallization!
- Having a look at the dissolution phenomena shows quite clearly that there are not well considered phenomena existing.

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Thank you for your attention!